

**RULES AND REGULATIONS**

**Governing**

**GREYHOUND RACING**

**In**

**ARKANSAS**

**PART I OF III**

**January 2019 Edition**

**Arkansas Racing Commission**

**Asa Hutchinson**  
Governor

**Alex Lieblong, Chairman, Conway**  
**Mark Lamberth, Batesville**  
**Butch Rice, Beebe**  
**Denny East, Marion**  
**Michael Post, Altus**  
**Bo Hunter, Fort Smith**  
**Steve Landers, Little Rock**

**John Campbell, Manager, Little Rock**  
**1515 W. 7th Street, #505**  
**P.O. Box 3076**  
**Little Rock 72203-3076**

## **FOREWORD**

This edition of the Rules and Regulations Governing Greyhound Racing in Arkansas supersedes all previous rules and regulations.

The Rules herein prescribed, and any amendments or additions thereto, apply to all persons, partnerships, Associations, firms or corporations, their officers, directors, stockholders, agents, representatives or employees, who hold, conduct, patronize, aid, assist or participate in any Meeting within the State of Arkansas where racing shall be permitted for any stake, purse or reward. The definitions and interpretations or racing terms herein set forth, as well as the Foreword are to be considered in connection with the Rules and as a part of them.

In reading the Rules, unless the text otherwise requires, it shall be understood, without constant reference thereto they apply only in the State of Arkansas.

Every franchise to hold a Meeting is granted upon the condition that the Franchise Holder shall accept, observe, and enforce said Rules. Furthermore, it shall be the duty of each and every officer, director and every official and employee of said Franchise Holder to observe and enforce the Rules.

Any and all of the Rules may be amended, altered, repealed or supplemented by new and additional Rules.

The Racing Commission may make exceptions to any Rule or Rules in individual instances as in their judgment they may deem proper.

The Racing Commission may rescind or modify any penalty or decision or infraction of the Rules imposed or made by the Racing Officials.

The Racing Commission shall have continuing jurisdiction and control over all penalties and decisions imposed or made by them, or their predecessors, except as otherwise provided by the Law. Furthermore, the Commission shall have the power and authority to review, affirm, modify or rescind any penalty or decision with regard to any infraction of these Rules, which may be imposed or made by the Racing Officials of any Meeting.

The Laws of the State of Arkansas, and the Rules promulgated by the Racing Commission, supersede the conditions of a race, or the regulations of a race Meeting.

NOTE: Numbering of these Rules will not be consecutive since the Commission Rules that apply to other types of racing are omitted.

## INDEX

A — Z	Rule Number(s)
Absolute Insurer Rule	1331
Administration of Drugs Prohibited	1320
Age of a Greyhound, definition	1003
Appeals to Commission	1362 - 1366
Application for Franchise	1202
Application for Racing Dates	1205
Arkansas Breeders Program and Purse Funds	3160 - 3161
Arrears	
<i>Definition</i>	1006
<i>See Financial Responsibility</i>	1351
Authorized Agent	3080, 3081, 3083, 3084, 3090, 3098
Board of Judges	
<i>Definition</i>	1010
<i>Other</i>	1358 - 1361, 3005 - 3016
Breeder, <i>Definition</i>	1013
Breeding Place, <i>Definition</i>	1014
Camera	1251
Cancellation of Races	3250 - 3253
Chemists	1335 - 1343
Claims (Tickets)	3260
Clerk of Scales	3000, 3046

A — Z	Rule Number(s)
Commission Judge <i>See Board of Judges</i>	
Complaints	1358
<i>Frivolous</i>	3081
<i>Patron</i>	1248
Conflict of Interest	1210
Corporate Name <i>See Kennel Names</i>	3104 - 3112
Corporate Owners <i>See Kennel Owners</i>	3115, 3116
Corrupt Practices	1300 - 1334, 1348 - 1361
Daily Double <i>See Pari-Mutuel Wagering Rules Book</i>	5010 - 5012 Vol. III
Day, Definition	1018
Dead Heats <i>See Pari-Mutuel Wagering Rules Book</i>	3240, 3241 5008
Declaration, Definition	1019
Definitions	1000 - 1105
Director of Racing	3000, 3020 - 3024
Director of Mutuels	1245, 3261, 3262, 3271
Disciplinary Action <i>Definition</i> <i>See Fines and Suspensions</i>	1020
Display of Identification Badge in Paddock and Kennel Areas	3098
Disqualification/Distribution of Purse Money	1234

<b>A — Z</b>	<b>Rule Number(s)</b>
Drug Testing/Licensees	3103
Drugs	
<i>Definition</i>	1022
<i>Further Explanations / Fines</i>	1320 – 1334 and Vol. II
Electrical Devices	1319
Entries	
<i>Definition</i>	1024
<i>Other</i>	3185 - 3190
Equipment	
<i>Definition</i>	1025
<i>Other</i>	3230
Exacta Pools	5015 Vol. III
Financial Responsibility	1351
Fines and Suspensions	
<i>Definition</i>	1099
<i>Other</i>	1223 -1235, 1350, 1359 - 1362
Finish	
<i>Definition</i>	1029
<i>Determination of</i>	3007, 3008
Fraudulent Practices, <i>see Corrupt Practices</i>	
Greyhounds, <i>Fighting or Quitting</i>	3236
Handbooking	1218, 1219
Hearings	1366
Hypodermic Needles	1324
Identification of Greyhounds	3041, 3115

---

Illegal Practices, <i>see Corrupt Practices</i>	
Inoculation of Greyhounds Required	1381
Judges	
<i>Definition</i>	1010
<i>Board of</i>	3005 - 3016
<i>Paddock</i>	3041, 3042
Kennel Names and fees	3104 - 3112
Lead-Outs	3070 - 3071
Licenses <i>and fees</i>	3090 - 3098
Lure	
<i>Definition</i>	1044
<i>Operator</i>	3065 - 3067
Maiden, <i>Definition</i>	1045
Matinee Performance, <i>Definition</i>	1048
Medication	
<i>Administration of any Drug</i>	1320 - 1344
Minus Pools	3275 Vol. III
Narcotics, <i>see Drugs</i>	
Objections and Appeals	1362 — 1 366
Officials	
<i>Board of Judges</i>	3005 - 3016
<i>Clerk of Scales</i>	3046
<i>Commission Veterinarian</i>	1375 - 1380
<i>Director of Racing</i>	3020 - 3024
<i>Lure Operator</i>	3065 - 3067
<i>Official Starter</i>	3050 - 3053
<i>Paddock Judge</i>	3040, 3041
<i>Patrol Judge</i>	3060
<i>Racing Secretary</i>	3030 - 3036

A — Z	Rule Number(s)
Overweights	3221
Owner(s)	
<i>Definition</i>	1065
<i>Other</i>	3080, 3081,
<i>Licenses and fees</i>	3090 - 3102
Paddock Judge	3040, 3041
Partnership	3120 - 3126
Patrol Judge	3060
Past Performances	3205 - 3210
Presiding Judge	
<i>See Board of Judges</i>	
Penalties	
<i>See Corrupt Practices</i>	
Photo Finish	3008
Pleadings	1366 (B) (1)
Pool Calculations	
<i>See <u>Pari-Mutuel Wagering Rules</u> in Vol. II and available at the Racing Commission office</i>	
Post Position	
<i>Drawing for</i>	3200
Postponement and Cancellation of Races	3250 - 3253
Practices, Illegal	
<i>Absolute Insurer Rule</i>	1333
<i>Medication</i>	1320 - 1344
Program	
<i>Definition</i>	1081
<i>Daily Printed</i>	1247, 1248, 3205 - 3210

A — Z	Rule Number(s)
Purse Distribution	1254, 1256
Race, The	3230 - 3236
Racing Personnel	3000
Racing Secretary	3030 - 3036
Refunds	
<i>Accident</i>	3053
<i>Failure of Starting Box to Open</i>	3053
<i>If no Greyhound Finishes</i>	3233
<i>Race Declared Off</i>	3254
<i>Improper Operation of Lure</i>	3233
Registration	
<i>Greyhounds</i>	3175 - 3177
<i>Participants in Racing</i>	3090 - 3102
Samples, <i>Urine and/or Saliva</i>	1335 - 1344
Schooling	3041, 3180, 3181, 3221
Scratch	
<i>Definition</i>	1090
<i>Other</i>	3195 - 3197, 3225
Starter	
<i>Greyhound definition</i>	1095
<i>Other</i>	3230
<i>Official</i>	3050 - 3053
Stimulation	
<i>See Drugs</i>	
Subscription, <i>Definition</i>	1096
Suspension, <i>Definition</i>	1099
<i>See Fines and Suspensions</i>	
Suspensions and Rulings	1223 - 1240



A — Z	Rule Number(s)
Tests and Procedures	1320 - 1344
Totalisator	1249, 3528, 3265, 3266
Trainer	
<i>Definition</i>	1100
<i>Absolute insurer Rule</i>	1333
<i>See Authorized Agents</i>	3140 - 3146
Veterinarian (Commission) Powers and Duties	1328 - 1330, 1375 - 1381, 3195, 3221, 3224
Weights and Weighing In	
<i>Definitions</i>	1101
<i>Other</i>	3220 - 3225
<i>See Clerk of Scales</i>	3046
Wagering Rules	See Vol. III
Winnings	
<i>Deductions Prohibited</i>	1256
<i>Tickets - See Wagering</i>	3258 - 3273 Vol. III

## DEFINITIONS

1000. The following definitions and interpretations shall apply throughout these Rules unless the text otherwise requires:

1002. **Added Money** — The amount exclusive of trophy added into a stakes by the Franchise Holder, or by sponsors, state-bred programs or other funds added to those monies gathered by nomination, entry, sustaining and other fees coming from the greyhound owners or kennel operators.

1003. **Age** — The age of a greyhound is reckoned as beginning on the day it is whelped.

1004. **Appeal** — A request for the Commission or its designee to investigate, consider, and review any decisions or rulings of Judges.

1005. **Applicant** — Shall mean an Arkansas corporation applying to conduct racing subject to these Rules, or any Franchise Holder applying for dates to conduct a racing Meeting.

1006. **Arrears** — Includes all monies due for entrance, forfeits, fees, fines, and any default incident to these Rules.

1007. **Association** — See Franchise Holder.

1008. **Bertillion** — A card which lists identifying features of a greyhound.

1009. **Blanket** — The covering on which a greyhound's post position number is displayed.

1010. **Board of Judges** — (also Judges), shall include the Presiding Judge, Commission Judge, and the Racing Secretary.

1011. **Bolt** — When a greyhound leaves the race course during the running of an official race.

1012. **Breakage** — The amount of odd cents remaining in each pari-mutuel pool after re-distributions are made in a sum equal to the next lowest multiple of ten cents (10¢). The minimum payout on all winning wagers shall be equal to the amount wagered plus ten percent (10%); (i.e., the minimum payout on a \$1.00 wager shall be \$1.10; the minimum payout on a \$2.00 wager shall be \$2.20, etc.).

1013. **Breeder** — The owner of a greyhound's dam at the time of whelping.

1014. **Breeding Place** — The location of whelping.

1015. **Carryover** — Non-distributed pool monies which are retained and added to a corresponding pool in accordance with pari-mutuel rules.

1016. **Commission** — Shall mean the Arkansas State Racing Commission or its duly authorized representative(s), as established by the Laws of the State of Arkansas, or the person or body to whom such authority may be delegated by amendments to the Law from time to time.

1017. **Complaint** — A written allegation of a violation of these rules.

1018. **Day** — (a) **Dark Day** — A day during a live or a simulcast race meeting when no pari-mutuel wagering is conducted.

(b) **Race Day** — A day during a race meeting when pari-mutuel wagering is conducted on live racing.

(c) **Simulcast Race Day** — A day during a race meeting when pari-mutuel wagering is conducted on simulcast racing.

1019. **Declaration** — Shall mean the act of withdrawing an entered greyhound from a race.

1020. **Disciplinary Action** — Means revocation of license, suspension, fine or reprimand, or any combination thereof.

1021. **Draw** — The process of selecting runners and the process of assigning post positions in a manner to ensure compliance with the conditions of the rules of racing.

1022. **Drug**— Shall mean:

(a) Articles recognized in the official United States Pharmacopoeia, the official Homeopathic Pharmacopoeia of the United States, or the official National Formulary, or any supplement to any of them; and

(b) Articles intended for use in the diagnosis, cure, mitigation, treatment or prevention of disease in man or other animals; and

(c) Articles (other than foods) intended to affect the structure of any function of the body of man or other animals; and

(d) Articles intended for use as a component of any articles specified in clause a, b, or c; but does not include devices or their components, parts or accessories.

Nothing in the foregoing definition shall be deemed to include water, heat or customary liniments or salves, provided the same be applied externally only.

1023. **Ejection** — The removal of a person from the premises under the jurisdiction of the Commission.

1024. **Entry** — A greyhound eligible for and entered in a race.

1025. **Equipment** — Means muzzles, number blankets, and all other paraphernalia common or otherwise which might be used on or attached to a greyhound while racing.

1026. **Exclusion** — The act of preventing a person from entering or remaining on the premises of any Franchise Holder and/or simulcast facility under the jurisdiction of the Arkansas Racing Commission.

1027. **Expired Ticket** — An outstanding ticket which was not presented for redemption within the required time period for which it was issued.

1028. **False Start** — Any race which fails to start as stipulated by the rules.

1029. **Finish** — The order in which the greyhounds complete the race.

- (a) Win, to finish first.
- (b) Place, to finish second.
- (c) Show, to finish third.

1030. **Foreign Substance** — Any drug, medication or other substance uncommon to a greyhound's body which can or may affect the greyhound's performance or which does or may affect sampling or testing procedures.

1031. **Forfeit** — Money due from a licensee because of error, fault, neglect of duty, breach of contract, or a penalty imposed by the Judges or the Commission.

1032. **Franchise Holder** — Shall mean any domestic corporation receiving a license or franchise from the Arkansas Racing Commission to conduct racing. Association is used interchangeably with Franchise Holder throughout this book.

1033. **Fraudulent Practice** — Means any attempt to enrich oneself or associates, or gain any advantage through unfair, unlawful or dishonest behavior in connection with the racing of greyhounds.

1034. **Guest Association** — An Association which offers licensed pari-mutuel wagering on contests conducted by another Association (the host) in either the same jurisdiction or another jurisdiction.

1035. **Greyhound** — A dog that is registered with the National Greyhound Association.

1036. **Handle** — The total amount of all pari-mutuel wagering sales excluding refunds and cancellations.

1037. **Host Association** — The Association conducting a licensed pari-mutuel meeting from which authorized contests or entire performances are simulcast.

1038. ***ITW or Inter Track Wire*** — The importation of races from Host Associations (sending location) to the Franchise Holder(receiving location), upon which pari-mutuel wagering takes place.

1039. ***Kennel Compound*** — The facilities provided for the housing of racing kennels under the jurisdiction of the Commission.

1040. ***Kennel Helper*** — A person employed by a kennel owner to assist in the conditioning of greyhounds for racing.

1041. ***Kennel Name*** — (Assumed name) — Any name other than the legal name or names of the operator.

1042. ***Kennel Owner*** — The person entered into a contract or agreement with the Franchise Holder to provide greyhounds to race in a meeting conducted by the Franchise Holder.

1043. ***Lead-Out*** — An individual employed and provided by the Franchise Holder to lead greyhounds from the paddock to the starting box.

1044. ***Licensee*** — Any person or entity holding a license from the Commission to engage in racing or a regulated activity.

1045. ***Lock-Out Kennel*** — The secure and restricted facility within the paddock used to temporarily house entered greyhounds prior to their participation in the current performance.

1046. ***Lure*** — A mechanical attraction designed to entice a greyhound around the course.

1047. ***Maiden*** — A greyhound which at the time of starting has never won an official race in any country.

1048. ***Maiden Race*** — A contest restricted to non-winners.

1049. ***Match Race*** — A race between two or more greyhounds under conditions agreed to by their owners, the Commission, and the Franchise Holder.

1050. ***Matinee Performance*** — A schedule of races beginning in the afternoon.

1051. ***Meeting*** — A group of 24-hour days, Sundays excepted, on which racing is conducted for any stakes, purse or reward, at one racetrack for which a license to race has been granted by the Commission. It begins at 10:00 a.m. on the first day and ends at 12:00 midnight of the last day.

1052. **Minus Pool** — Occurs when the payout is in excess of net pool.

1053. **Net Income** — Means the taxable income reflected on the Franchise Holder's federal income tax return for the immediately preceding year, less federal income tax liability reflected thereon.

1054. **Net Pool** — Is the amount of gross ticket sales less refundable wagers and statutory commissions.

1055. **Night Performance** — A schedule of races conducted in the evening.

1056. **Nomination** — The initial naming of a greyhound for entry in a stakes race.

1057. **Nominator** — The person in whose name a greyhound is nominated.

1058. **No Race** — A race cancelled for any reason by the Judges.

1059. **Objection** — A written complaint made by a kennel owner and/or trainer to the Judges claiming a grievance pertaining to a race.

1060. **Off Time** — The moment at which the greyhounds break and start to run.

1061. **Official Order of Finish** — The order of finish of the greyhounds in a contest as declared official by the Judges.

1062. **Official Race** — A race in the presence of duly appointed Racing Officials for which purse monies are paid and/or pari-mutuel wagering is conducted.

1063. **Official Schooling Race** — Trial races supervised by the Commission which are conducted for qualification purposes, but on which pari-mutuel wagering is prohibited.

1064. **Official Starter** — The individual charged with the responsibility of the actual dispatching of the entries in each race.

1065. **Official Winning Time** — The period of time in a race beginning when the starting box opens, and ending when the first greyhound crosses the finish line.

1066. **Outstanding Ticket** — A winning or refundable pari-mutuel ticket which was not cashed during the performance for which it was issued; also known as *Outs*.

1067. **Owner** — The person in whose name the greyhound is registered with the National Greyhound Association. No greyhound shall be permitted to race except in the owner's name.

1068. **Paddock** — The area restricted to Commission, Franchise Holder management, and racing department employees. The paddock area includes the lock out kennel, reviewing stand, and offices.

1069. **Parent** — Means any person, partnership, Association, firm, or corporation exercising control of an applicant or Franchise Holder or its Board of Directors whether or not the parent owns fifty percent (50%) or more of its stock.

1070. **Pari-Mutuel System** — The manual, electro-mechanical, or computerized system and all software (including totalisator, account betting system, and off-site betting equipment) that is used to record bets and transmit wagering data.

1071. **Pari-Mutuel Wagering** — A form of wagering on the outcome of an event in which all wagers (bets) are pooled and held by a Franchise Holder for distribution of the total amount, less the deductions authorized by law, to the holders of winning tickets. A person making a wager in a pari-mutuel system is betting against other people making similar wagers.

1072. **Patron** — An individual present on Franchise Holder premises during a race meeting to wager and/or observe the racing.

1073. **Payout** — The amount of money payable to winning wagers.

1074. **Performance** — The schedule of races run consecutively as one program. Any race program that begins as a night performance shall be allowed to be completed as the original program even if it runs into the next day (new twenty-four hour period). Sundays are excluded, except when approved by state law.

1075. **Person** — Any individual, partnership, corporation, or other Association or entity.

1077. **Place** — Is to finish second in a race.

1078. **Post Position** — Is the position assigned to the greyhound for the start of the race.

1079. **Post Time** — The time set for the start of the race.

1080. **Premises** — All land and improvements used in the conduct of a race meeting including additional off-site wagering facilities.

1081. **Principal Stockholder** — Means each person, firm, or corporation owning of record or beneficially more than ten percent (10%) of any class of capital stock of a corporation.

1082. **Profit** — The net pool after deduction of the amount bet on the winners.

1083. **Program** — The published listing of all races and entrants for a specific performance.

1084. **Prohibited Substances** — Substances of any type that are not approved by the Commission and/or federal and state agencies.

1085. **Purse** — The total cash amount for which a race is contested.

1086. **Race** — Means a contest for purse, stakes, or entry fees on any course, and in the presence of duly appointed Racing Officials.

1087. **Racetrack** — See **Premises**.

1088. **Restricted Area** — An enclosed portion of the Franchise Holder grounds to which access is limited to licensees whose occupation or participation requires access.

1089. **Result** — That part of the official order of finish used to determine the pari-mutuel payout of pools for each individual contest.

1090. **Rule Off** — Means the act of being barred from the- grounds of a Franchise Holder or denying racing privileges.

1091. **Rules** — Means the Rules and Regulations of Greyhound Racing herein prescribed and any amendments or additions thereto.

1092. **Scratch** — Means the act of withdrawing an entry on the day of the race.

1093. **Section** — Means a section of the Arkansas Statutes Annotated.

1094. **Show** — To finish third in a race.

1095. **Simulcast** — The live audio and visual transmission of a contest to another location for pari-mutuel wagering purposes.

1096. **Stakes Race** — A race specifically designated as an added money race.

1097. **Starter** — A greyhound that is in the starting box when the doors of the starting box open in front of it at the time the Official Starter dispatches the greyhounds.

1098. **Subscription** — Means the act of nominating or entering a stakes race.

1099. **Suspension** — Means that any privileges granted by the officials of a racing meeting or by the Commission to a person licensed by the Commission has been withdrawn.



1100. **Takeout** — The total amount of money withheld from each pari-mutuel pool, as authorized by statute or rule.

1101. **Tote Board** — Means the totalisator display board where the mutuels are displayed for live, on-track racing.

1102. **Trainer** — Means a person employed by a kennel owner to condition greyhounds for racing.

1103. **Weight** — As applied to greyhounds shall be as follows:

(a) **Pre-Post Weight** shall be known as the weight taken at the designated time before the first race of the day.

(b) **Established Racing Weight** shall be known as the racing weight, established by the trainer or kennel representative, as the greyhound's best racing weight.

(c) **Track Weight** shall be known as the weight of the greyhound at post time or time of race.

1104. **Weight Loser** — A greyhound that consistently loses weight while in the lock-out kennel.

1105. **Whelp** — The birth of a greyhound.

1106. **Win** — To finish first in a race.

1107. **Winner** — The greyhound whose muzzle or nose reaches the finish line first or is placed first through disqualification by the Judges.

## **FRANCHISES AND LICENSES FOR RACING DATES**

1200. Every franchise and every license to hold a meeting is granted upon the condition that the Franchise Holder shall accept, observe and enforce the Rules and Regulations of the Commission, and it shall be the duty of each and every officer, director and employee of said Franchise Holder to observe and enforce the rules.

1201. All applications and notices shall be verified under oath and shall be manually signed in ink.

## **APPLICATIONS FOR FRANCHISES**

1202. Every application to become a Franchise Holder shall contain the following:

## I. OWNERSHIP AND MANAGEMENT

A. State the name of the applicant and indicate whether it is an individual, firm, Association, partnership or corporation.

B. State the following information as to the applicant: (If the applicant has a parent corporation, the same information must be submitted both for the parent and applicant corporation.)

1. State the year in which the applicant was organized, its form of organization and the name of the state under the laws of which it was organized. Attach a copy of the Articles and By-laws to the application.

2. State the classes of capital stock authorized, the amount authorized, and the amount outstanding as of the date not less than fifteen ( 5) days prior to the date of filing of the application. State the amount of dividends paid to stockholders during the five (5) years immediately preceding the application.

3. State the name and address of each person who owns, of record or beneficially, one or more shares of any class of capital stock.

This can be indicated in columnar forms as follows:

- a. Name and address
- b. Class of stock owned
- c. Type of ownership whether of record or beneficial.
- d. Amount owned
- e. Percent of the class of stock

4. Describe briefly the terms of any voting trust in which any of the capital stock is held and the name, address, class of stock and number of shares of stock for all stock held in said voting trust.

5. Describe briefly the terms of any proxy by which any of the capital stock is held, the holder of the proxy and the name, address, class of stock and number of shares of stock for all stock held by said proxy.

6. State whether 5% or more of the applicant's assets, or 5% or more of any principal stockholders' stock, is encumbered by any long-term debt. Explain fully, by stating names and addresses of parties holding security interests or promissory notes from the applicant and the stockholders, where the stock is pledged as security, and outline the terms of the agreements creating the security interests.

7. Outline briefly the dividend rights, voting rights, liquidation rights, preemptive rights, conversion rights, and redemption provisions. If the rights of holders of such stock may be modified otherwise than by a vote of majority or more of the shares outstanding, voting as a class, so state and explain briefly.

8. If the applicant was organized as a corporation within the past five (5) years, furnish the following information: the names of the promoters; the nature and amount of anything of value received or to be received by each promoter directly or

indirectly from the applicant; and the nature and amount of any assets, services or other consideration therefor received by the applicant.

9. List the names of all directors and officers of the applicant and all persons chosen to become directors or officers and attach a personal history resume for each person named. Indicate all positions and offices with the applicant held by each person named, and the principal occupation during the past five years of each person named.

10. List all parents of the applicant showing the basis of control and as to each parent, the percentage of voting securities owned, or other basis of control by any of its parents.

C. 1. Attach to the application, balance sheets and profit and loss statements for each of the three fiscal years immediately preceding the application, or for the period of organization if less than three years. If the applicant has not completed a full fiscal year since its organization or if it acquires or is to acquire the majority of its assets from a predecessor within the current fiscal year, the financial information shall be given for the current fiscal year. Balance sheets, profit and loss statements, and all other financial statements required herein shall be prepared, audited and certified by independent, certified public accountants in accordance with generally accepted accounting procedures and practices applied on a consistent basis. Any report containing exceptions of a material nature, will not be considered to be certified.

2. State all loans by applicant in excess of 1% of net income and describe fully the name of the borrower, amount of the loan, collateral, and terms.

D. Briefly describe any pending legal proceedings to which the applicant or any of its subsidiaries or parent corporation is a party or of which any of their property is the subject. Include the name of the court or agency in which the proceedings are pending, the date instituted, and the principal parties thereto.

E. State if the applicant, or its directors, officers, policy-making manager or principal stockholders have owned an interest in any firm, partnership, Association, or corporation previously licensed by the Arkansas Racing Commission, or are now engaged in the business of racing outside of the State of Arkansas. Explain.

F. Describe briefly and where practical state the approximate amount of any material interest, direct or indirect, of any officer, director or principal stockholder of the applicant, or any associate of any of the foregoing persons in any material transactions during the last three years, or in any material proposed transactions, to which the applicant was, or is to be a party.

G. State generally the principal purposes for which the net income received by the applicant is intended to be used, (whether it be for payment of dividend, retained earnings for enumerated purposes, or other purposes) and show the approximate percentage of the amount intended for each such purpose.

H. State all contracts by the applicant entered into within the year preceding the date of application, and all executory contracts not otherwise described pursuant to these rules in which the consideration exceeds 1% of net income and describe fully, including the names of the parties to the contract, amount of consideration, and terms.

I. List all direct remuneration paid by the applicant and its subsidiaries, if any, during the applicant's last fiscal year to:

1. each director and officer of the applicant whose aggregate direct remuneration exceeded \$25,000, naming each such person;
2. all directors of the applicant as a group without naming them;
3. all officers of the applicant as a group without naming them;
4. all other persons whose aggregate remuneration exceeds \$25,000, naming each such person. As used in this paragraph, direct remuneration shall include salary, retirement benefits, automobiles furnished, expenses reimbursed, and all other sums paid for the benefit of the officer, director, or other recipient.

J. State whether the applicant, its officers, directors and principal stockholders have complied with and are in compliance with Rule 1210. If not in compliance, explain in full.

## **II. LOCATION AND PHYSICAL PLANT**

- A. State county and municipality of track.
- B. Give actual legal description of a site, names and addresses of the title holders to the real property and names and addresses of all persons holding mortgages or other security interests in the property.
- C. State the number of miles from the nearest population center, and describe briefly the transportation facilities serving that population's center.
- D. Indicate the exact dimensions of any track proposed.
- E. Describe the grandstand size and type construction. Submit at least one copy of architect's plans or renderings showing detail of any proposed construction.
- F. Describe briefly the efforts made to insure the security, safety and comfort of patrons and license holders.
- G. State the availability of fire protection and adequacy of law enforcement and police protection.
- H. Indicate the parking lot capacity and describe the construction and type of parking facilities.

I. Indicate the number and type of construction of kennels and other areas, indicating capacities and fire prevention facilities for all areas.

J. Indicate the provisions for facilities for kennel owners, trainers, and other racing personnel.

K. Describe the arrangements for food and drink concessions, indicating the names and addresses of concessionaires and the terms of the concession contracts. Attach copy of contract.

L. Describe any concessions, clubs, or other special facilities for patrons.

### **III. RACING OPERATION**

A. Indicate by actual dates the live racing days requested by the applicant.

B. Indicate the kind of live racing to be conducted.

1. Indicate the kind of Simulcast and ITW racing to be conducted.

C. Describe the pari-mutuel operation in general and indicate the terms of the pari-mutuel ticket sales.

### **IV. ECONOMIC AND OTHER ASPECTS OF TRACK LOCATION**

A. Describe briefly climatic conditions prevalent during the proposed racing season.

B. Indicate the population of the local area, and the growth trend. Indicate the potential market, including tourists, transients, and patrons from neighboring areas.

C. Indicate the principal sources of local income, showing the percentage from farming and ranching, industrial, professional and services, and military and other governmental sources.

D. Indicate the effect of competition with other racetracks in and out of the State and with other sports or recreational facilities in the area. State in detail what effect the competition from other racetracks will have on the availability of racing stock and track personnel.

E. Indicate what affect opposition from area residents will have on the economic outlook for the proposed track.

## APPLICATION FOR LIVE RACING DATES

1205. Application for racing dates must be filed by a Franchise Holder at least one hundred twenty (120) days prior to the date upon which it is desired to begin the racing meet. Whenever mutually agreeable to the Commission and the Franchise Holder, the Commission may allot racing dates other than those requested in the application. Immediately following the allotting of any racing dates, and the issuance of a license to hold a racing meet, the Commission shall notify the Franchise Holder of the dates allotted which notice shall be in writing and sent by registered or certified United States Mail to the Franchise Holder, and each such notice and license shall be mailed by the Commission at least ninety (90) days before the date fixed for the beginning of the racing meet.

1206. Every application for a license for dates to conduct greyhound racing shall contain the following:

- A. State the name of the applicant.
- B. The applicant shall supply the following information: (If the application has a parent corporation, the same information must be submitted both for the parent and the applicant corporation.)
  1. On the initial application of a Franchise Holder for racing dates, a certified copy of the articles of incorporation of the applicant must be attached to the application and any applications for racing dates filed subsequent to the initial application and any subsequent amendments to the aforementioned articles of incorporation shall be attached thereto, so that there will be on file at the Commission at all times a current copy of the applicant's articles of incorporation and amendments thereto.
  2. State the classes of capital stock authorized, the amount authorized and the amount outstanding as of the date not less than fifteen (15) days prior to the date of filing of the application. State the amount of dividends paid to stockholders during the five (5) years immediately preceding the application.
  3. State the name and address of each person who owns, of record or beneficially, more than ten percent (10%) of the shares of any class of capital stock. This can be indicated in columnar form as follows:
    - (a) Class of stock
    - (b) Type of ownership, whether of record or beneficial.
    - (c) Amount owned
  4. Describe briefly the terms of any voting trust in which any of the capital stock is held and the name, address, and class of stock for all stock held in said voting trust.
  5. Describe briefly the terms of any proxy by which any of the capital stock is held, the holder of the proxy and the name, address, and class of stock held in said voting trust.
  6. State whether 5 percent (5%) or more of the applicant's assets is encumbered by any long-term debt. If so, state the names and addresses of parties holding security interests or promissory notes from the applicant where the stock is

pledged as security. Copies of such agreements shall be made available for inspection by the Commission on request.

7. Outline briefly the dividend rights, voting rights, liquidation rights, preemptive rights, conversion rights, and redemption provisions. If the rights of holders of such stock may be modified otherwise than by a vote of a majority or more of the shares outstanding, voting as a class, so state and explain briefly.

8. List the names of all directors and officers of the applicant and all persons chosen to become directors or officers and attach a personal history resume for each person named. Indicate all positions and offices with the applicant held by each person named, and the principal occupation during the past five years of each person named.

9. List all parents of the applicant showing the basis of control and as to each parent, the percentage of voting securities owned, or other basis of control by any of its parents.

C. 1. The applicant shall furnish to the Commission a copy of its balance sheet and profit and loss statement for the immediate past fiscal year within ninety (90) days after the close of the applicant's fiscal year. Balance sheets, profit and loss statements, and all other financial statements required herein shall be prepared, audited and certified by an independent, certified public accountant in accordance with generally accepted accounting procedures and practices applied on a consistent basis. Any report containing exceptions of a material nature will not be considered to be certified.

2. State all loans by applicant in excess of one per cent (1%) of net income and describe fully the name of the borrower, amount of the loan collateral, and terms.

D. Each year when submitting the annual request for race days, briefly describe any pending legal proceedings to which the applicant or any of its subsidiaries or parent corporations is a party or of which any of their property is the subject. Include the name of the court or agency in which the proceedings are pending, the date instituted and the principal parties thereto.

E. State if the applicant, or its directors, officers, policy-making manager or principal stockholders have owned an interest in any firm, partnership, Association or corporation previously licensed by the Arkansas Racing Commission, or are now engaged in the business of racing outside of the State of Arkansas. Explain.

F. Describe briefly and where practical state the approximate amount of any material interest, direct or indirect, of any officer, director or principal stockholder of the applicant, or any associate of any of the foregoing persons in any material transactions during the last three years, or in any material proposed transactions, to which the applicant was, or is to be a party.

G. State generally the principal purposes for which the net income received by the applicant is intended to be used, (whether it be for payment of dividend, retained earnings for enumerated purposes, or other purposes) and show the approximate percentage of the amount intended for each such purpose.

H. State all contracts by the applicant entered into within the year preceding the date of application, and all executory contracts not otherwise described pursuant to these rules, in which the consideration exceeds \$50,000.00 and indicate the general type of contract involved and the names and addresses of the parties to the contract. A copy of any such contract shall be made available for inspection by the Commission on request.

I. List all direct remuneration paid by the applicant and its subsidiaries, if any, during the applicant's last fiscal year to:

1. each director and officer of the applicant whose aggregate direct remuneration exceeded \$25,000.00 naming each such person;
2. all directors of the applicant as a group without naming them;
3. all officers of the applicant as a group without naming them;
4. all other persons whose aggregate remuneration exceeds \$25,000.00, naming each such person. As used in this paragraph, direct remuneration shall include salary, retirement benefits, automobiles furnished, expenses reimbursed, and all other sums paid for the benefit of the officer or other recipient.

J. State whether the applicant, its officers, directors and principal stockholders have complied with and are in compliance with Rule 1210. If not in compliance, explain in full.

## **II. RACING OPERATION**

- A. Indicate by actual dates the live racing dates requested by the applicant.
- B. Indicate the kind of live racing to be conducted.
  1. Indicate the kind of Simulcast and ITW races to be conducted.
- C. Describe the pari-mutuel operation in general and indicate in particular the terms of the pari-mutuel ticket sales.
- D. Describe the arrangements for food and drink concessions, indicating the names and addresses of concessionaires and the terms of the concession contracts. A copy of any such Contract shall be made available for inspection by the Commission on request.
- E. Describe any clubs or other special facilities for patrons.

## **III. INFORMATION SUBMITTED AFTER APRIL 1, 1970**

Any information submitted after April 1, 1970, may reference information previously submitted under an application.

1207. In the event that the interest in a racing operation held by an individual proprietorship, partnership or Association, or in the event the control (whether majority



or less of the capital stock) of any corporation holding a franchise for racing from the Commission, is to be conveyed, no sale shall take effect until approval is obtained from the Arkansas Racing Commission. The application of the purchaser for the permission and consent of the Commission shall contain, where applicable, the same information as is required to be furnished under Rule 1202.

1208. The reports required by § 23-111-407 of the Arkansas Code shall be submitted under oath.

## **DUTIES AND OBLIGATIONS**

1210.A. No applicant, officer, director, or principal stockholder of the applicant, nor any officer or director of any corporation which is a principal stockholder of the applicant, nor any spouse or lineal heir of any such person, nor any corporation in which the applicant or an officer, director or principal stockholder of the applicant holds stock, shall, directly or indirectly, in the name of or in behalf of the applicant, promise or offer to give or cause or procure to be promised, offered, or given, any money, goods, present or reward, or any promise, contract, undertaking, obligation or security for the payment or delivery of any goods, money, present or reward or any other thing of value whatsoever, to:

1. Any member of the Commission
2. Employees of the Commission
3. Any spouse, lineal heir, or employee of any member of the Commission or any corporation in which any member of the Commission is a principal stockholder, with the intent to influence the action or decision of any such person on any question, matter, cause or proceeding concerning the applicant, which may be pending or which may hereafter in the future be brought before any such person in his official capacity.

B. No officer, director, or principal stockholder of the applicant, nor any officer or director of any corporation which is a principal stockholder of the applicant, nor any spouse or lineal heir of any such person, nor any corporation in which an officer, director or principal stockholder is a principal stockholder shall:

1. Contract the applicant (except for bona fide contracts for salaries for directors and officers actually serving as such or for professional services actually rendered).
2. Provide goods or services, which are ultimately sold to applicant's patrons.

C. Upon application to the Commission, prior to entering into any such contract or doing any such business, or making any such payment or contribution, the provisions of Paragraphs A or B of this Rule may be waived by the Commission, in its discretion, if the proposed contract, or the proposed business, or any proposed payment or contribution, is, under the circumstances, advantageous to the applicant in the conduct of its business of greyhound racing.

D. No license shall be granted to any applicant if the applicant or an officer, director, or principal stockholder of the applicant has been convicted of a felony by any court of record of any state or country.

1211. No applicant shall enter into any contract in which the term exceeds three (3) years or the consideration exceeds five percent (5%) of the net income of the applicant for the year immediately preceding the date of the contract without first submitting advance written notice thereof to the Commission.

1212. Minutes of the meetings of stockholders and directors of the applicant shall be made available to the Commissioners, but copies thereof need not be filed as a matter of record in the office of the Commission.

1213. The original and six (6) copies of all applications, notices, and other matters required by these rules, shall be filed with the Commission, 101 East Capitol Avenue, Suite 114, Little Rock, Arkansas. One (1) additional copy shall be submitted to each Commissioner at his address of record on file in the office of the Commission. All applications, notices, and other matters shall be verified, under oath, and all copies shall be manually signed in ink.

1215. Members of the Arkansas State Racing Commission, and its designated representative(s), shall have the right of full and complete entry to all parts of the grounds and mutuels plant of the Franchise Holder licensed to conduct racing in Arkansas.

1216. Each Franchise Holder shall provide and install within its grounds:

- A. Offices for the use of the Commission and all its officials;
- B. Stands for Racing Officials shall be maintained in positions commanding an uninterrupted view of the entire racing strip, which shall be subject to approval of the Commission;
- C. A suitable telephone system between the Racing Officials;
- D. A first aid room in the grandstand.

1217. No person shall in any matter, or at any time, disturb the peace or make himself/herself obnoxious on the grounds of a Franchise Holder.

1218. No Franchise Holder shall permit the making of handbooks on its grounds under penalty of having its license revoked. Any owner, trainer, or other person who bets with or through any such handbook shall be ejected from the grounds and refused

admission to the grounds of all other licensed Franchise Holders in Arkansas, and in the case of an owner or trainer, the entries of said owner and/or trainer shall be refused for all Arkansas Tracks.

1219. No Franchise Holder having a race meeting under a license issued by the Commission shall permit any illegal gambling device of any kind on said grounds.

1220. A. Any licensee who unlawfully solicits bets from the public by correspondence or other methods shall be suspended.

B. Resale of any ticket from one individual to another is prohibited and shall be grounds for ejection.

### **SUSPENSIONS AND RULINGS**

1223. No person or greyhound ruled off, or under suspension by any licensing body in any country, or any greyhound Franchise Holder, shall be admitted to the grounds of any Franchise Holder, once the Franchise Holder has been notified.

1225. When a person is ruled off any track or suspended by any licensing body of any country, or any greyhound Franchise Holder, every greyhound owned in whole or in part by him/her shall be ineligible to be entered or to start in any race until said greyhound has been reinstated either by the rescission of the owner's suspension or by its transfer through bona fide sale to an ownership acceptable to the Board of Judges.

1227. When a person is ruled off any track or suspended by any licensing body of any country, or any greyhound Franchise Holder, any greyhound which is under his/her care, management, training, or superintendence shall not be qualified to be entered or to start in any race until said greyhound has been reinstated by the rescission of said person's suspension or by the placement of the greyhound in the hands of a licensed trainer and the approval of the transfer is granted by the Board of Judges.

1229. When a person is ruled off any track or suspended by any licensing body of any country, or any greyhound Franchise Holder, he/she shall not be qualified, whether acting as agent or otherwise, to subscribe for or to enter or run any greyhound in any race either in his/her own name or in that of any other person until the rescission of that person's suspension.

1231. A greyhound or kennel ruled off or under suspension by any licensing body of any country, or any greyhound Franchise Holder, shall not be permitted to race on any Arkansas track where these rules are in force, during the continuance of such ruling.

1232. During the term of disqualification of any participant in racing, it shall be the duty of the Franchise Holder to see to it that the privilege of the admission badge is revoked, and that person is kept out of the grounds unless otherwise permitted to enter

under certain conditions and at certain times as may be provided for elsewhere in these Rules.

1233. Violators of any Rule will be subject to ejection from the grounds and/or to fine, suspension, or ruling off.

1234. Any time a greyhound is disqualified from a race for any reason, the purse money won by that greyhound shall be redistributed, unless the Commission finds that there are special circumstances justifying the owner or trainer sharing in the purse.

1235. The Board of Judges may fine, suspend, or rule off any person who, in their opinion, has acted to the detriment of racing or violated the Rules.

1237. Each Franchise Holder shall police its grounds at all times in such a manner as to preclude the admission of any person in and around the kennels, excepting those having bona fide business or duly licensed by the Commission.

1238. Each Franchise Holder shall furnish to the Commission the names and addresses of all persons ejected by the Franchise Holder from its grounds, together with the offense or offenses alleged against them and any other material information relating thereto.

1239. The officials of all Race Meetings, in making decisions, shall be guided by the practices and procedures that are recognized and established in the conduct of all properly authorized Race Meetings.

1240. Racing Franchise Holders shall exclude from the paddock, in the interest of public safety, all those persons who have no immediate business with the entries, except the members of the Commission, its staff and special representatives, and those having special permission from the Franchise Holder.

### **MUTUEL SUMMARY SHEETS**

1245. The Director of Mutuels shall furnish the auditor who represents the Commission with a copy of all “summary sheets” of the mutuels as soon as practicable after each race. The mutuels work sheets will be kept on file if needed.

1247. All daily programs sold at a racetrack must have one page containing the following:

**NOTICE**

This racetrack is licensed for the year \_\_\_\_ by the Arkansas State Racing Commission and operates under its regulations.

**ARKANSAS STATE RACING COMMISSION**

\_\_\_\_\_, Chairman

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_, Manager

Officers and Directors of the Association:

\_\_\_\_\_

\_\_\_\_\_

Racing Officials for the Meeting:

\_\_\_\_\_

\_\_\_\_\_

1248. All daily programs sold at the racetrack, whether for live races or ITW races, must contain a prominent notice that there is an information window and/or complaint window or windows where complaints may be made or filed in writing — and the exact locations of these windows must be set forth in said notice.

1249. A. Franchise Holder shall maintain in good service a satisfactory Totalisator.

1251. The Commission may require a Franchise Holder to install and maintain in good service a photographic device, and where installed it shall be required that all finishes be recorded by the said photographic device; provided, however, in the event of any mechanical difficulty or insufficient light for a picture to be taken, the Presiding Judge and the Commission Judge shall decide the order of finish, whose decision is final.

1252. When finishes are so recorded each entry in each race shall wear a blanket number of adequate size on the side which at the finish will be toward the camera, corresponding to entrant’s number on the official program.

**PURSE DISTRIBUTION**

1254. All portions of purse money shall be paid to the winners thereof within seven (7) days following their winning, except where required otherwise elsewhere in these Rules.

1256. No percentage of purse winnings shall be deducted by a Franchise Holder for itself or for another person, club, or body, unless at the request of the person to whom such winnings are payable, and except that a Franchise Holder may withhold from winnings any money due it.

1257. Winning tickets must be redeemed within one hundred eighty (180) days following the last race day of each race meet. The Franchise Holder shall publish in the racing program a notice to patrons advising them of this rule and listing the specific dates when each of the two race meets end.

1258. No person shall be allowed to wager in violation of any Law of the State of Arkansas.

### **CORRUPT, FRAUDULENT AND PROHIBITED PRACTICES**

1300. No employee of the Arkansas State Racing Commission, including but not limited to Stewards, Judges, Supervisors, Auditors, Investigators, Inspectors, Racing Commission Office Manager, Veterinarians, Secretaries, Bookkeepers, Gatemen, and their assistants shall, nor shall any person acting in the capacity of a Racing Official or an assistant Racing Official, wager money or anything of value on races at the track at which they are employed or acting during the time they are employed.

A. As a condition for employment by the Arkansas State Racing Commission or employment by the Franchise Holder, each employee must sign an acknowledgment that he/she has been given a copy of the prohibited practices.

1301. No one interested in the results of a race, either because of ownership of any entry or of his sire or dam, or because of bets or otherwise, shall act as a Racing Official in respect to that race.

1303. No director, officer, or employee of the Franchise Holder and no person acting in the capacity of a Racing Official or an assistant Racing Official or the spouse of any such person shall at or in connection with the meeting conducted by the Franchise Holder:

A. Enter or cause to be entered or to be run at the meeting, either directly or indirectly, any greyhound in which they have any financial interest.

B. Accept, directly or indirectly, any gratuity, reward, or favor in connection with any race.

C. Sell or buy any greyhound for themselves or another, either directly or indirectly.

D. Write or solicit greyhound insurance at the meeting.

E. Solicit for or bet from a bookmaker on the grounds of the Franchise Holder.

1305. Any person subject to these rules shall report to the Board of Judges all observed violations of the Rules.

1306. No person shall enter, or cause to be entered, or start an entry which they know or believe to be ineligible or disqualified.

1307. If a person wrongfully gives or offers money, shares in a bet, or other benefit to any person having official duties in relation to a race, or if a person having official duties in relation to a race wrongfully accepts or offers to accept money, shares in a bet, or other benefit; or if any person fraudulently offers or receives any amount of money for declaring any entry out of a purse or stake, then any such person shall be ruled off all Arkansas tracks by the Commission.

1313. No transfer of any greyhound shall be made for the purpose of avoiding ineligibility or disqualification.

1316. No person shall tamper or attempt to tamper with any greyhound in such a way as to affect its speed in a race, nor shall they counsel or in any way aid or abet any such tampering.

1319. No electrical or mechanical device or other expedient designed to increase or decrease the speed of a greyhound, or that would tend to do so, shall be possessed by anyone or applied by anyone to a greyhound at any time on the grounds of a Franchise Holder during a meeting whether in a race or otherwise.

1320. No person shall administer, or permit to be administered in any manner whatsoever, internally or externally, to any entry entered or which may be entered in a race, any stimulant, depressant, hypnotic or narcotic drug, of any kind or description not specifically permitted by these rules.

1324. Except for lawful administration of medication, no person shall have in his possession within the confines of a racetrack or within its buildings, sheds or grounds, either inside or outside the track area of a Franchise Holder, any drugs or stimulants, hypodermic syringes or hypodermic needles or similar instruments which may be used for injections.

1326. Except for lawful administration of medication, no person shall administer, or permit to be administered, any drug in any manner whatsoever, internally or externally, to any greyhound entered or to be entered in a race, prior to the race.

1328. Any trainer who injects, gives, uses, or administers any drug or medicines of any kind whatsoever, or who authorizes, allows or permits any other person to give, inject, or administer any drug of any kind whatsoever to a greyhound prior to the running

of a greyhound in a race, must give notice to the Board of Judges and the Commission Veterinarian of the use, injection, or administering of said drugs or medicines prior to the running of said race. Any trainer failing to give such notice may be subject to a fine, suspension, and/or license revocation.

1330. Any person who shall be adjudged guilty of any participation in or knowledge of the administration of any drug to any entry in a race or otherwise unlawfully tampering with greyhounds for the purpose of increasing or retarding the speed of such greyhound shall for the first and second offenses be punished to such extent as the Board of Judges rule, and for the third offense may be thereafter ruled off and denied a license for life. The maximum fine the Board of Judges can give is one thousand dollars (\$1,000) with a suspension for the duration of the meet plus thirty (30) days.

Substances checked by the laboratory from samples collected from the greyhounds fall into five different classifications as reported by the Association of Racing Commissioner's International. Subsequent positives within the time of the Commission Veterinarian's first report, if for the same substance, may be viewed the same as the first violation; depending on the results of the investigation. Subsequent positives, not within the time of the Commission Veterinarian's first report, will result in an increase of the fine and/or suspension. The five drug classifications and the minimum fine the Board of Judges shall impose for a first violation are:

A. **Class 1.** Stimulant and depressant drugs which have the highest potential to affect performance and which have no generally accepted medical use in the racing industry. Many of these agents are DEA schedule II substances.

MINIMUM Fine: \$1,000  
MAXIMUM Suspension: Duration of meet, plus 30 days  
Redistribution of Purse

B. **Class 2.** Drugs which have a high potential to affect performance, but less of a potential than **Class 1**. These drugs are (1) not generally accepted as therapeutic agents in the racing industry, or (2) they are therapeutic agents that have a high potential for abuse.

MINIMUM Fine: \$500  
MINIMUM Suspension of 30 days  
Redistribution of Purse



C. **Class 3.** Drugs which may or may not have generally accepted medical use in the racing industry, but the pharmacology of which suggest less potential to affect performance than drugs in **Class 2**.

MINIMUM Fine: \$200  
Suspension of 0 — 10 days  
Redistribution of Purse

D. **Class 4.** Includes therapeutic medications which should have less potential to alter performance than those in **Class 3**.

MINIMUM FINE: \$50  
Possible Redistribution of Purse

E. **Class 5.** Includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents and other medications as determined by the regulatory body.

MINIMUM Fine: \$25  
Possible Redistribution of Purse

A copy of the Association of Racing Commissioner's International Drug Classification is contained in Volume II of the rules and is available in the State Veterinarian's Office and the Commission Office.

If said purse is paid before the disqualification, then the proper owner entitled to said purse may recover from those who had received such purse. In the event that a greyhound establishes a track record in a race and it later develops that the chemical analysis of any sample taken from such greyhound indicates the presence of a narcotic, stimulant, depressant, or local anesthetic, then such track record shall be null and void.

1331 .Any trainer, attendant, owner, or other person having on their past record three or more convictions of unlawfully administering any drug for the purpose of increasing or retarding the speed of such greyhound in Arkansas, or any state, may be denied a license in Arkansas.

1333. TRAINERS SHALL BE RESPONSIBLE FOR AND BE THE ABSOLUTE INSURER OF THE CONDITION OF AN ENTRY THEY ENTER REGARDLESS OF THE ACTS OF THIRD PARTIES. SHOULD THE CHEMICAL OR OTHER ANALYSIS OF SALIVA, URINE, OR BLOOD SPECIMENS PROVE POSITIVE, SHOWING THE PRESENCE OF ANY NARCOTIC, STIMULANT, DEPRESSANT, CHEMICAL OR DRUG OF ANY KIND OR DESCRIPTION, THE TRAINER MAY, IN THE DISCRETION OF THE BOARD OF JUDGES, SUBJECT TO APPEAL TO THE COMMISSION, BE FINED, SUSPENDED AND/OR RULED OFF THE TRACK. IN ADDITION, ANY OTHER PERSON SHOWN TO HAVE HAD

THE CARE OR ATTENDANCE OF THE ENTRY SHALL NOT PARTICIPATE IN THE PURSE DISTRIBUTION.

1334. Any person who has been convicted by any court, having criminal jurisdiction, of the possession or use of narcotics may be denied a license, or be ruled off.

1335. At the beginning of each racing season, the Commission may employ a Chemist for the purpose of making a chemical analysis of saliva or other excretions or body fluids to be taken by the Commission Veterinarian from any entry running on any track operating under a franchise from the Commission.

1337. If, before or after a race, a sample of saliva; or other excretions or body fluids, is to be taken by the Commission Veterinarian from a greyhound which will compete or has competed in a race, nothing shall be administered or given in any manner whatsoever to the said greyhound until the Commission Veterinarian obtains the sample.

1338. Every owner, or his/her authorized agent, or trainer of any entry shall immediately upon request by the Commission submit any entry of which he/she is the owner, or authorized agent, or trainer to any Veterinarian designated by the Commission for such examination or tests as may be deemed advisable. The Commission Veterinarian may detain an entry as long as is deemed necessary in order to obtain a specimen.

1339. During the taking of the samples by the Commission Veterinarian, the trainer or kennel representative in each instance may be present and witness the procedure. If, for any reason, the trainer or kennel representative chooses not to be present, they must first advise the Commission Veterinarian of the names of those persons who may act as their witness when the sample is taken. A kennel may name a maximum of 5 persons as witnesses.

1340. The sample so taken shall be immediately sealed in a container, and evidence of such sealing must be noted thereon by the signature of the Commission Veterinarian or Commission Veterinary Assistant.

1341. Upon such sealing of the container containing the specimen, the container shall be encased and deposited in a large size carrying case all of which shall be stored and kept while at the racetrack, provided further that any such carrying case shall be properly locked with an individual lock, the keys to which shall only be in possession of the Commission Veterinarian or a designated assistant.

A. The *Standard Operating Procedures of Sample Collection, Identification, and Labeling* is available at the Commission Veterinarian's Office and the Commission Office.

1342. The Franchise Holder shall make provision for suitable space with a door equipped with proper locks and keys where such specimens can be stored by the

Commission Veterinarian. The Commission Veterinarian shall be in control and custody of all specimens until such time as they are picked up at the Track for delivery to the Chemist.

1343. The Commission Office and Commission Chemist shall each keep, in addition to the record placed on the containers containing the specimen, separate individual records showing date, race, entry, name of owner, trainer and witness.

1344. Every Franchise Holder and all officials and employees thereof shall give every possible aid and assistance to any department, bureau, division, officer, agent or inspector, or any other person connected with the United States Government or with the State of Arkansas, or any local authority, who may be investigating or prosecuting any such person they may suspect of being guilty of possessing any drug, hypodermic needles, batteries, or other similar appliances.

1348. If any licensee uses profane or indecent language to officials and/or Commission employees, or otherwise disturbs the peace on any track enclosure, they shall be liable for a fine, suspension, and/or shall be ruled off.

1350. The Board of Judges may fine, suspend, and/or rule off any person who in their opinion has acted to the detriment of racing or violated any of the Rules of the Commission.

1351. Should any licensee of the Commission incur expenses while racing at any licensed track and wrongfully refuses to pay the same when due and payable, or within a reasonable time after demand, such licensee shall be deemed guilty of conduct detrimental to the best interest of racing, and for such reason may be suspended until proper restitution is made.

1352. Employees or patrons who are careless of the safety of themselves and others, negligent, insubordinate, dishonest, immoral, quarrelsome or otherwise vicious, or who do not conduct themselves in such manner and handle their personal matters while on the premises of any licensed track in such a way that the Franchise Holder or Commission will not be subject to criticism or loss of good will, will be prohibited or removed from the premises of any track and denied wagering privileges.

1358. Complaints against an official or officials shall be made to the Board of Judges in writing, signed by the complainant(s). Complaints charging any infraction of any law of the State of Arkansas or rule of the Commission may be made by any person. All such complaints shall be reported to the Commission, together with the action taken on them by the Board of Judges.

1359. The Board of Judges shall take notice of corrupt and fraudulent practices and any other infraction of the Rules and Regulations of the Commission. The Board of Judges shall exclude from all places under their control persons who are ruled off. The Board of Judges may also exclude any person declared guilty of any corrupt or fraudulent

practices by greyhound racing authorities of any other state or country. The Board of Judges may suspend for no greater period than the duration of the meeting plus 30 days, anyone whom they have authority to supervise, and/or they may impose a fine not exceeding the maximum amount authorized by Ark. Code Ann. 23-111-203. The Board of Judges may also suspend any person declared guilty of any corrupt or fraudulent practice by greyhound racing authorities of any other state or country. All such suspensions and fines must be paid to the Commission. If the punishment so imposed is not in the opinion of the Board of Judges sufficient, they shall so report to the Commission. The Board of Judges shall, each day, make a report in writing to the Commission of all infractions of the Rules and of all Rulings coming before them during the meeting.

1360. When any licensee or greyhound is suspended by the Board of Judges, such suspension shall immediately become effective on all other tracks under the jurisdiction of the Commission until such time as the case in question is decided upon by the Commission. The license of anyone ruled off of any Arkansas track for fraud or fraudulent practices, or for violation of any of the rules of racing of the Commission, shall thereby be revoked. When a person is ruled off for any fraudulent practice in relation to a particular greyhound wholly or partly belonging to him/her, he/she shall return all money or prizes which such greyhound has fraudulently won. Fines must be paid within three (3) live racing days upon receipt of the Board of Judges ruling. Delinquents may be suspended. All moneys imposed as fines, except such fines as may be imposed on Franchise Holders, shall be collected by on-site representatives of the Commission. An unpaid fine may not be rescinded by the Board of Judges except with the approval of the Commission.

1361. No Racing Official other than the Board of Judges shall have the right to impose a fine or suspension.

### **APPEALS TO COMMISSION**

1362. Any person, firm, Association, or corporation penalized or disciplined under the Law, or under these Rules, or who is otherwise aggrieved by any action, proceeding, or decision of a Racing Official or Franchise Holder licensed by the Commission may appeal to the Commission for a review of such action, proceeding, or decision by requesting a hearing before the Commission, which may take whatever action it deems appropriate, including the imposition of a fine not exceeding the maximum amount authorized by Ark. Code Ann. 23-111-203.

1363. Appeals to the Commission must be filed in writing at the office of the Commission within three days after the date of said action, proceeding, or imposition of said discipline or penalty.

1364. Appeals to the Commission shall be signed by the person making it and must set forth his/her reasons for believing he/she is entitled to a hearing.

1365. All papers filed with the Commission shall be the property of the Commission.

1366. An appeal from any action, proceeding or decision of a Racing Official or Franchise Holder shall not serve to stay or otherwise affect such action, proceeding until the appeal has been acted upon by the Commission unless otherwise ordered by the Commission or by a Court of competent jurisdiction.

A. An appeal from any action, proceeding or decision of a Racing Official or Franchise Holder, hearings on misconduct of owners or trainers, applications for franchises, licenses or date to conduct racing meets, and similar matters shall be heard by the Commission at an informal hearing on not less than twenty-four (24) hours notice, minutes of said hearings shall be kept by the Commission, which minutes need not be verbatim.

B. All other hearings conducted by the Commission shall be formal hearings and governed by the following practices and procedures.

1. **Pleadings.** Pleadings before the Commission shall be by application or complaint, answer, motion and reply. All pleadings shall be typewritten and, unless otherwise required by law, filed in duplicate with one additional copy mailed to each member of the Commission at his address of record.

2. **Transcript.** The proceedings shall be reported and transcribed by a qualified court reporter. However, a transcript of the proceedings shall be made at the expense of the Commission only if directed by the Chairman or any two Commission members. Minutes of the proceedings shall be maintained by the Commission, which minutes need not be verbatim.

3. **Notice.** Except as otherwise provided by law, not less than twenty-four (24) hours notice of the proceedings shall be served upon the applicant, all other parties, and such persons that have requested notice of the proceedings in writing, which request shall be directed to the Chairman of the Commission.

C. Formal and informal hearings shall be governed by the following practices and procedures:

1. **Attorneys.** Any person or party affected by the proceedings shall be entitled to represent themselves or be represented by an attorney at law to be retained at their expense; provided, however, the Chairman may prohibit an attorney from practicing before the Commission on a showing that such attorney has personally engaged in conduct in violation of the laws of the State of Arkansas pertaining to greyhound racing or rules of the Commission.

2. **Service of Complaint and Notice.** Service of all notices and complaints shall be accomplished by sending such person, or his/her agent for service, the complaint or notice by certified or registered United States mail addressed to the recipient with return receipt requested, or by service by an officer

authorized to serve process. A proof of service shall be filed with the Commission.

3. **Depositions.** Upon application to the Chairman, the Chairman may cause depositions of witnesses to be taken in such manner as they may direct.

4. **Public Hearings.** All hearings before the Commission shall be open to the public.

5. **Subpoena.** Any party to a hearing before this Commission, including an applicant, may on written or oral motion to the Chairman and the Commission, its attorney or any Commissioner, request the issuance of a subpoena, both *ad testificandum* and *duces tecum*, for any witness to appear before the Commission. Upon receiving the request, the Chairman shall issue the requested subpoena directed to the Sheriff of the County of the witness's residence or any other officer authorized by law to serve process, requiring him to summon the person named therein to attend at a particular time and place to testify as a witness. It may, when the Chairman so directs, require the witness to bring with him any book, writing paper, document, tape, record, or other thing under their control. The subpoena shall be served and the return made, both as in the manner now or hereinafter provided bylaw for the Circuit Court of this state. Any witness subpoenaed shall attend and give evidence until the matter before the Commission is decided or such witness is discharged by the Chairman. The failure to appear and be sworn shall be punished as provided by law.

In any case not provided for by this rule with regard to the issuance of subpoenas, the law of Arkansas with regard to subpoenas issued by the Circuit Courts of this state shall apply.

6. **Conduct of Hearing.** The Chairman shall have the power to preserve and enforce order during any proceeding before the Commission, to administer oaths, to rule upon all questions arising during the course of the hearing, to hold conferences before and during the hearing for the settlement or simplification of issues, to make or recommend decisions, to compel the attendance and testimony of witnesses, to require the production of books, papers, documents and other evidence and generally to regulate and guide the course of the pending proceeding. In the absence of the Chairman, a majority of the remaining members of the Commission may select one of their number to act as Chairman and the acting Chairman shall thereupon be authorized to discharge the duties of Chairman. Except as otherwise provided by law for the conduct of hearings by the Arkansas Racing Commission, the hearings shall be conducted as prescribed for adjudication and rule-making under the Arkansas Administrative Procedures Act.

7. **Appearance.** Unless incapacitated, a person placing a claim or defending a privilege before the Commission shall appear in person and may not be excused from answering questions directed by the Commission or its attorney and supplying information thereto.

8. **Consolidation of Issues.** Hearing involving several applicants or complaints having a common issue may be joined and heard together at the discretion of the Commission.

9. **Action by Commission.** All orders, findings of fact, rulings, and other formal action taken by the Commission during the course of a hearing, or at the conclusion thereof, shall be in writing and a copy furnished to all parties and persons that have requested notice pursuant to (b) (3) above. Any member of the Commission may submit a minority or supplemental report or dissent. Orders of the Commission and all pleadings and applications shall be maintained on permanent file by the Commission for public inspection.

10. **Costs.** The Commission may tax appropriate costs to any person or party.

D. Any person or party shall, upon written application to the Commission be entitled to a formal hearing upon posting a bond for costs thereof. The provisions of this Rule are severable.

## **COMMISSION VETERINARIAN POWERS AND DUTIES**

1375. The Commission shall appoint and employ a Commission Veterinarian to serve at each track during the race meeting. The Commission Veterinarian shall be a veterinarian licensed to practice under the laws of Arkansas and be in good standing. The Veterinarian, and/or the Veterinarian's assistant, shall be on the grounds at pre post weighing-in time and during all racing hours.

1376. The Commission Veterinarian, or the assistants, shall obtain saliva, other excretions, or body fluids from such greyhounds as are designated by the Presiding Judge, or the Commission Judge; and make such examinations and tests as from time to time may be required by the said Racing Officials and Racing Commission.

1377. It shall be the duty of the Commission Veterinarian to make the final examination and inspection of all greyhounds during the time they are in the show paddock before they leave for the track. If any entrant is not in condition to compete in that race, the Presiding Judge shall be immediately notified by the Veterinarian if possible.

1378. Bitches in season are not permitted to be entered for racing.

1379. The Commission Veterinarian shall have the authority to conduct kennel inspections at any time, of any and all kennel buildings in the kennel compound.

1380.A. The Arkansas Racing Commission Veterinarian and veterinary assistants are prohibited, except in emergency situations, from practicing veterinary medicine on any greyhound owned, leased, or otherwise controlled by a licensed owner or trainer on the grounds of the Franchise Holder. This prohibition applies to all greyhounds, whether they are housed on the track or elsewhere.

1381. Any greyhound coming upon the grounds of Southland Greyhound Park shall be inoculated once a year for Distemper, Adenovirus (Hepatitis), Leptospirosis, Para-Influenza, Parvo, Bordetella bronchiseptica and Rabies. Upon request by the Commission, the Board of Judges, or any official of Southland Greyhound Park, trainer or owner of any greyhound shall produce proof that his or her greyhounds have been inoculated in compliance with this rule.

A. The Commission Veterinarian may at his or her sole discretion, declare a quarantine in effect for any or all greyhounds on the grounds of the franchise holder. The Veterinarian shall have the sole power to set the terms of the quarantine, and no greyhound shall be released from the quarantine without the express permission of the Veterinarian. Any person violating the terms of a quarantine may be fined, suspended or ruled off the grounds of the franchise holder.

B. The Arkansas Racing Commission Veterinarian may, in an emergency situation, perform humane euthanasia on a greyhound, IF the registered owner of said greyhound has a signed authorization form on file with the Commission.

#### **RULES FOR GREYHOUND RACING PERSONNEL**

3000. The officials of a greyhound race meeting are the Director of Racing, Racing Secretary, Presiding Judge, Paddock Judge, Patrol Judge, Clerk of Scales, Official Starter, and Lure Operator. All officials herein designated shall be appointed by the Franchise Holder holding the meeting, all the appointments, including the Judges, being subject to the approval of the Commission which reserves the right to demand a change of personnel for what it deems good and sufficient reason, the successor to officials so replaced to be subject to the approval of the Commission.

A. Franchise Holders are hereby directed to submit to the Commission the names of such officials not less than thirty (30) days prior to the first day of the meeting.

B. A Commission Judge for each race meeting shall be named by the Commission. The Commission Judge shall supervise the conduct of the Racing Officials and the acts to which their authority extends. Any infraction or violation of the rules of greyhound racing adopted by the Commission or of the racing law shall immediately be reported by the Commission Judge to the Commission. The Judge shall be present for all races and attend official schooling races.

C. No more than two (2) Judges shall be appointed by the Racing Commission to serve as State Judge during the entire meet and he/she must be present for all races and attend schooling races.



## BOARD OF JUDGES

3005. The Board of Judges shall have general supervision over owners, trainers, grooms, and other persons attendant on greyhounds, and also over all the other Racing Officials of the Meeting.

3007. The Presiding Judge, with the approval of the Commission Judge, shall decide which greyhound wins and assign the respective order of finish in the race.

3008. When the Presiding Judge or Commission Judge considers it advisable to request a picture from the finish camera to assist in determining the position of the greyhounds finishing in the race, he/she shall not, until he/she has consulted the picture, post on the tote board any number or numbers of winning, place, or show greyhounds. Provided that if he/she has declared a greyhound the winner and requests a photograph to determine the greyhound finishing second in the race, the number of the winning greyhound may be shown on the board. In like manner, if the Presiding Judge or Commission Judge has declared the first and second greyhounds in a race and requests a photograph to determine the third greyhound in the race, he/she may show the numbers of the first and second greyhounds on the board. In no case shall the race be declared official until the Presiding Judge and Commission Judge have determined the greyhounds finishing first, second, and third.

3009. The Presiding Judge and Commission Judge shall take notice of corrupt racing and other questionable transactions on the track. Complaint thereof may be made by any person, but in the failure of the complainant to substantiate the charge, if complainant is a licensee, he/she may be liable for a fine, suspension, or both.

3010. The Board of Judges shall interpret the rules and decide all questions not specifically covered by the rules.

3011. Should any incident occur which may not be covered by the rules of racing, it shall be determined by the Board of Judges in conformity with justice and in the best interest of racing.

3012. All decisions and/or rulings within the Board of Judges' authority shall be determined by a majority of the Judges, subject to the review of the Commission.

3013. The Commission Judge and/or Presiding Judge present at weigh-in may scratch a greyhound, and in addition, at the discretion of the Board of Judges, the kennel owner and/or trainer may be fined or suspended if:

- A. A greyhound is in violation of the rules applicable to greyhound weight or weighing;
- B. A greyhound is not present at the weigh-in room promptly at the appointed time; or

C. A greyhound is in violation of any rule of racing.

3014. A greyhound may be excused by the Presiding Judge in case of an accident or casualty before being placed in the starting box, but only in cases where such greyhound is deemed to be unfit to run. In such cases, a refund of all wagers on such greyhounds shall be made.

3015. Nothing in these rules shall prevent the Board of Judges from correcting an error before the display of the sign "Official" or from recalling the sign "Official" displayed through error.

3016. The Laws of Arkansas and the Rules of Racing supersede the conditions of a race, or the regulations of a race meeting, and in matters pertaining to racing. The orders of the Board of Judges supersede the orders of all other Racing Officials and the officials of the Franchise Holder conducting the meeting.

### **DIRECTOR OF RACING**

3020. All questions pertaining directly to racing, arising during the period of the meeting, shall be determined by the Director of Racing, but should he/she fail to reach a decision in twenty-four (24) hours, the case shall be reported to the Board of Judges. If the Judges fail to reach a decision, the case shall be presented to the Racing Commission for such action as it deems proper to take.

3021. Persons entering greyhounds to run on licensed Arkansas tracks agree in so doing to accept the decision of the Director of Racing on any questions relating to a race or to racing.

3022. The Director of Racing shall have control over and free access to all stands, weighing rooms, enclosures, and other places in use for the purpose of racing.

3024. The Director of Racing has power at any time to order an examination by such person or persons as he/she thinks fit, or any greyhound entered for a race, or which has run in a race.

### **RACING SECRETARY**

3030. The Racing Secretary shall discharge all duties whether expressed or required by the Racing Rules and report to the Director of Racing, as the case may demand, all violations of these rules, or of the regulations of the track, coming under his/her notice; he/she shall keep a complete record of all races; and, he/she shall receive all stakes, entrance money, arrears and forfeitures, and pay over all monies so collected to such officers or persons as may be entitled to receive the same.

3031. The Racing Secretary shall inspect owner's and trainer's licenses and all papers and documents dealing with trainers, owners, partnership agreements, appointment of authorized agents, adoption of assumed names (kennel names); and may demand production of same in order to satisfy himself/herself as to their validity and authenticity and that the rules of the Commission in regard thereto are in compliance. Such papers shall be available to the Commission Judge at all times.

3032. Conditions of races shall not conflict with these racing rules.

3033. The Racing Secretary shall each day, as soon as the entries have closed and been compiled and the declarations have been made, post in a conspicuous place a list thereof. Any newspaper desiring the same shall also be furnished a copy.

3034. All entries and declarations are under the supervision of the Racing Secretary and he/she may, without notice, refuse the entries of any person or the transfer of any entries.

3035. The Racing Secretary has power to call for proof that a greyhound is neither itself disqualified in any respect, nor nominated by, nor the property, wholly or in part, of a disqualified person, and in default of such proof being given to his/her satisfaction, he/she may declare the greyhound disqualified.

3036. Racing Franchise Holders shall make prompt written acknowledgment of stakes nominations and subscriptions to the Racing Secretary.

### **PADDOCK JUDGE**

3040. The Paddock Judge shall unlock the kennels immediately before weighing-in time to see that the kennels are in perfect repair and that nothing has been deposited in any of the kennels for the greyhounds' consumption; to see that the kennels are sprayed, disinfected, and kept in a proper sanitary condition; to receive the greyhounds, one at a time, and see that the greyhounds are placed in their proper kennels; and, continue to ensure the security of the lock-out area from weigh-in time until the time when greyhounds are removed for the last race of a performance, all under the supervision of the Commission Veterinarian and the Racing Secretary.

3041. No greyhound shall be permitted to start in a schooling or purse race that has not been fully identified and checked against the Bertillion card index system of identification maintained by each Franchise Holder. This card shall include ear tattoo numbers. The identification cards shall be filled in and completed by the Paddock Judge before greyhounds are entered for schooling. No medicine, antiseptic, fluid or any mailer containing any color causing the marring of identification marks shall be used on any part of a greyhound.

## **CLERK OF SCALES**

3046. The Clerk of Scales shall weigh greyhounds in and out and shall exhibit the accurate weights of each greyhound on the weight board and such scale shall be regulated by some duly authorized state official. The established racing weight, pre post weight, and the track weight must be promptly posted or announced for the information of the public.

A. The Clerk of Scales shall record and publish as soon as the weights are exhibited any overweight or underweight from the established racing weight appearing on the weight sheet.

B. The Clerk of Scales shall promptly report to the Presiding Judge any infraction of the rules as to weight or weighing.

## **OFFICIAL STARTER**

3050. The Official Starter shall give such orders and take all measures, not in conflict with the Rules of Racing, as are necessary to secure a fair start.

3051. The greyhounds shall be started from the type of starting device approved by the Commission.

3052. Causes of delays, if any should occur, shall be reported by the Official Starter to the Presiding Judge.

3053. After a greyhound has been placed in the starting box no refund shall be made and all wagers stand. In the event of a mechanical failure to the starting box which prevents one or more, but not all, greyhounds from starting, a full and complete refund shall be made on the greyhound(s) prevented from starting. In the event of a mechanical failure to the starting box which prevents all greyhounds from starting, a full and complete refund shall be made on said greyhounds unless said greyhounds start in the next race run that day. The decision as to whether a greyhound or greyhounds were prevented from starting by a mechanical failure shall be made by the Presiding Judge after consultation with the Official Starter.

## **PATROL JUDGE**

3060. The Patrol Judge must be fully trained in the duties and procedures of the position. The Patrol Judge shall:

A. Supervise the lead-outs from paddock to post.  
B. Inspect the muzzles and blankets of the greyhounds in view of the Judges.

C. Assist the Official Starter in his/her duties upon the arrival of the lead-outs and greyhounds at the starting box.

## **LURE OPERATOR**

3065. The Lure Operator shall:

A. Operate the lure in a smooth, uniform and consistent manner so as not to impede or otherwise disrupt the running of the race.

B. Ensure the distance between the lure and lead greyhound is consistent with the distance prescribed by the Judges.

C. Be held accountable by the Judges for the lure's operation.

3066. The location on the course and the prevailing weather conditions shall be taken into consideration by the Lure Operator when calculating the appropriate distance of the lure from the lead greyhound.

3067. The lure operator shall determine that the lure is in good operating condition and shall immediately report any circumstance that may prevent the normal, consistent operation of the lure to the Judges.

## **KENNEL MASTER**

3068. The Kennel Master or his/her designee must receive the greyhounds from the trainer, one at a time, and ensure that each greyhound is placed in its lock-out crate and continue to ensure the security of the lock-out area from weigh-in time until the time when greyhounds are removed for the last race of a performance.

## **LEAD-OUTS**

3070. Kennel owners, trainers, or their representatives will not be allowed to lead their greyhounds from the paddock to the post. The greyhounds shall be led from the paddock to the starting post by the Lead-Outs provided by each Franchise Holder for that purpose. Lead-Outs will be required to present a neat appearance and conduct themselves in an orderly manner and must be attired in a clean uniform provided by the Franchise Holder. The Lead-Out must put the greyhound in its proper box before each race and then retire to the assigned place. No Lead-Out will be permitted to have any ownership interest in any greyhound racing for said Franchise Holder. Lead-Outs shall be assigned to post position by the Paddock Judge by lot.

3071. Lead-Outs are prohibited from holding any conversation with the public or with one another, either in the paddock, enroute to the starting box, or while returning to the paddock.

## **OWNERS, TRAINERS, AND AUTHORIZED AGENTS**

3080. Owners, authorized agents, and trainers will be expected to retire greyhounds off form, or in poor condition. Failure to do so will bring the suspension of said greyhounds for a period to be decided by the Presiding Judge.

3081. Owners, authorized agents, and trainers who make frivolous complaints may be liable for a fine, suspension, and/or ruled off.

3082. Any person who applies for a Trainer's License must pay the applicable fee, secure a license from the Commission and sufficiently pass qualifications as mandated in Rules 3094 and 3095. Only one trainer license may be issued to a single kennel operation and will be known as the "trainer of record." Any trainer license holder shall be required to notify the Board of Judges or the Director of Racing when they will be absent from the grounds for more than 48 hours and will designate in writing another licensee who holds an active assistant trainer license who will be responsible for any infraction of the Rules and Regulations.

3083. Any person who applies for an Assistant Trainer's License must pay the applicable fee, secure a license from the Commission and sufficiently pass qualifications as mandated in Rules 3094 and 3095. Any holder of an "Assistant Trainer's" license, if so designated in writing by a kennel's trainer of record, may temporarily be responsible for any infraction of the Rules and Regulations during a Trainer's absence for up to 14 consecutive calendar days. The Board of Judges may extend that period for up to an additional 14 consecutive days in the event of an emergency situation if it is in the best interest of greyhound racing.

3084. Any person who applies for a "Kennel Helper" license must pay the applicable fee and secure a license from the Commission. A person holding a "Kennel Helper" license is not authorized to weigh-in greyhounds prior to official races, without being accompanied by a licensed Assistant Trainer or Trainer that works for the same kennel operation. A "Kennel Helper" may not act as an agent or authorized representative for a kennel or otherwise make decisions regarding the active career of any greyhound on the grounds of the Franchise Holder.

## **LICENSES, REGISTRATIONS, AND FEES FOR PARTICIPANTS IN RACING**

3090. All owners and/or trainers, agents, handlers, attendants, employees of a kennel or Franchise Holder, and all other persons, firms, Associations, or corporations patronizing or participating in a racing meeting are subject to the Laws of the State of Arkansas and the Rules and Regulations promulgated by the Commission, and all such persons, firms, Associations, or corporations shall abide by said Laws and the Rules of the Commission. Further, said persons, firms, Associations, or corporations shall accept and abide by the decisions of the Racing Officials on any and all questions to which their authority extends.

3091. All applications for licenses and registrations to participate in racing shall be made to the Commission on forms supplied by it.

3093. No application for a license shall be approved, and no license will be issued, by the Commission unless satisfactory evidence is first presented that the applicant will participate in the Meeting for which the license is sought.

3094. All applications for owner's and trainer's licenses must be approved by the Director of Racing before any action on the application will be taken by the Commission. Before approving any application for a license, it shall be the duty of the Director of Racing to ascertain whether the applicant is qualified for the license.

3095. In considering each application for a license, the Director of Racing or the authorized representatives of the Commission may require the applicant, as well as his endorsers, to appear before them and show that said applicant is qualified to receive their recommendation for the granting of the license.

3096. Any person to whom a license has been issued by the Commission may have his/her license revoked due to corrupt, fraudulent, or improper practice or conduct on the part of the licensee.

All licenses granted shall be subject to the conditions set forth in the application and the Commission shall have full discretion to suspend or revoke the same for any infraction of the conditions of the application of license and the rules and regulations of the Commission.

3098 The following persons shall be required to secure a license from the Commission and the annual fee shall be as follows:

Authorized Agent (for each owner represented)	\$38.00
Kennel Name	\$60.00
Owner	\$60.00
Partnership or Corporation	\$60.00
Trainer	\$55.00
Assistant Trainer	\$50.00
Employee	\$ 5.00
Registration for each Greyhound	\$ 2.00
Breeder	\$60.00

Any person employed on the grounds of a Franchise Holder by the Franchise Holder, or its lessees, or on any authorized off-track facility, either owned by the Franchise Holder, or by any individual, where racing greyhounds are housed, or by any concession licensed or permitted to operate on the grounds of the Franchise Holder, must secure an Employee License.

Any person to whom a license has been issued by the Commission and whose duties require that such person have access to the paddock and/or the kennel compound shall have a photographic identification badge clearly visible on his person at all times while he is in these areas. Persons failing to have a photographic identification badge clearly visible when entering the paddock and/or kennel areas are subject to ejection from the grounds, a fine, suspension, or ruling off. The photographic identification badge shall be issued by the Commission in the form approved by the Commission. Any licensee who loses his photographic identification badge will be charged \$5.00 to replace the badge. Any visitor to the paddock and/or kennel compound shall wear a visitor's pass in plain view. The visitor's pass shall be in the form approved by the Commission. Track security shall maintain a log of all persons to whom a visitor's pass has been issued.

3100. Any Franchise Holder, owner, trainer, or other licensee employing persons who fails to hire qualified persons for the job duties assigned to their employees or fails to adequately supervise, direct, or train the persons in their employment, may be fined, suspended, or ruled off.

A. No license shall be issued by the Arkansas Racing Commission to any person who:

1. Owns, operates, or has an interest in any bookmaking, pool selling, or other illegal enterprise, or who is connected with or associated with any person engaged in bookmaking, pool selling, or other illegal enterprise;
2. Has been convicted or found guilty of a crime, excluding minor traffic offenses, except that the said Commission may, in its discretion, grant a license where the applicant has been found guilty of a misdemeanor;
3. Is unqualified, by experience or otherwise, to perform the duties required of such applicant;
4. Is habitually intoxicated, or addicted to drugs;
5. Fails to disclose the true ownership or interest in any and all greyhounds as required by said application;
6. Makes misrepresentations or false statements in his application for a license;
7. Is engaged in any activity or practice which is undesirable or detrimental to the best interests of the public and the sport of racing; and/or,
8. Is under sixteen (16) years of age.

3102. It shall be the duty of each Franchise Holder to see that all owners, trainers, and authorized agents are licensed before any greyhound in which they hold an interest or which they train is allowed to race, and it shall also be the duty of each Franchise Holder to see that the application for license is filed and the prescribed fee is paid to the Commission.

3103. The Commission and the Board of Judges may order that any person be tested for illegal drug usage at the time they apply for a license. The Commission and the Board of Judges may order that any licensee be tested for illegal drugs on a random or for



cause basis. Licensees testing positive for illegal drugs are subject to fine, suspension, probation, or revocation of their license. Persons applying for a license who test positive for illegal drugs may, at the discretion of the Commission, be granted a probationary license, if they enroll in a drug treatment or counseling program approved by the Commission. Otherwise, such persons shall not be eligible for licensure.

### **KENNEL NAMES**

3104. A person wishing to race greyhounds under a kennel name may do so by registering with the Commission and by paying a fee of \$20.00 per year.

3105. A person can not register more than one kennel name at the same time, nor can they race under their real name if he/she has registered a kennel name.

3106. A kennel name may be changed at any time by registering a new name and paying the fee charged for the original registration. A person can not register as his/her kennel name a name similar to:

- A. One already registered by another person.
- B. One which is the name of another owner.
- C. One which is the name of any prominent person, other than the registrant.

3107. All registrations of kennel names by the National Greyhound Association, and all recognized by State Racing Commissions shall be respected in Arkansas.

3108. In applying to race under a kennel name, the applicant must disclose the identity or identities of the owners associated with the kennel name. Any partnership or corporation associated with a kennel name shall comply with the Rules governing partnerships or corporations and the usual fees of such partnerships or corporations shall be paid in addition to the fees for the registration of the name.

3109. Changes in identities of owners associated with the kennel name shall be reported immediately to and approval obtained from the Commission.

3110. Any person may abandon a registered kennel name at any time after he has given written notice to the Commission and the Franchise Holder.

3111. No trainer shall have any ownership interest in a greyhound of which he/she is not the trainer located at the same track.

3112. A corporate name shall be considered a kennel name for the purposes of these Rules, but the Commission may refuse any corporation the privilege of registering a kennel name.

## **KENNEL OWNERS**

3115. Each kennel owner shall submit a registration paper for each of their greyhounds with the Racing Secretary giving the name, color, sex, age, breeding, and characteristic markings, scars, and other identification features not above named. Each Franchise Holder shall keep and maintain during its meeting the registration papers for each greyhound containing the above information.

3116. If any kennel owner changes trainers, he/she must notify the Racing Secretary and cause the trainer's name to be changed on the kennel owner's roster. No greyhound will be eligible for entry until transfer has been made.

## **PARTNERSHIPS**

3120. Each and every partnership must be registered with the Commission.

3121. Partnership papers shall, among other things, set forth the following:

A. The name and address of each and every person having any interest in the greyhound involved, including husband and wife when listed on registration papers as "Mr. and/or Mrs." And racing on the program as such.

B. The relative proportions of such interest.

C. To whom the winnings are payable.

D. The terms of any contingency, lease, or any other arrangement concerning the greyhound.

3122. All partnership papers must be signed by all of the parties or by their authorized agents.

3123. In cases of emergency, authority to sign declarations of partnership may be given to the Racing Secretary by a telegram promptly confirmed in writing.

3124. If the Racing Secretary is unable to communicate with all proper parties in an attempt to obtain a declaration of partnership, he/she may authorize a greyhound involved in a partnership to enter and start in a stake race without a declaration of partnership.

3125. Any alteration in a recorded partnership registration, to be effective, must be reported in writing to the Commission and signed by all the partners.

3126. All the parties to a partnership, and each of them, shall be jointly and severally liable for all stakes, forfeits, and other obligations.

## **CORPORATE OWNERS**

3130 .All corporations having any interest in a greyhound shall file a kennel name application with the Racing Secretary. At the time of filing application for a kennel name, a statement in duplicate setting forth the names and addresses of all officers, directors, and stockholders of said corporation, together with the amount of the respective holdings of each stockholder and a statement as to whether or not said stock is paid in full, and including the designation of an authorized agent or agents of said corporation. The said statements shall be signed by the president of the corporation attested to by its secretary and the corporate seal attached. A copy of said statement shall be transmitted promptly to the office of the Arkansas State Racing Commission by the Racing Secretary.

## **AUTHORIZED AGENTS**

3140. Each authorized agent must file an application for a license for each owner represented setting forth the agent's authority to act for the owner including any authority the agent may have to collect money from the Franchise Holder. A copy of the agent's agreement with the owner, if in writing, shall be attached to the application.

3141. A copy of the application and written agreement, if any, shall be filed permanently with the Racing Secretary.

3142. An Authorized Agent may appoint a subagent only when authorized in writing by the owner and written notice of such appointment is given to the Commission.

3143. Any changes in the agent's authority must be in writing and filed as above provided.

3144. If an agent represents more than one owner, a separate application shall be filed for each owner.

3145. The term of the license shall be the calendar year unless the agent's appointment is revoked by the owner, or the license is revoked by the Racing Commission.

3146. The revocation of an agent's authority shall be filed in writing with the Commission and with the Racing Secretary.

## **ARKANSAS BREEDERS PROGRAM**

3160. *Arkansas Breeders Program.* "Registered Arkansas-bred" greyhound registration and eligibility to participate in the Arkansas Racing Commission Purse and Awards Fund shall be determined and made in accordance with registration rules adopted by the Greyhound Breeders of Arkansas and approved by the Commission.

**3161. *Distribution of Arkansas Breeders Purse and Award Funds.***

(a) The Arkansas Racing Commission shall pay 10% of all Funds deposited in the Arkansas Breeders Purse and Award Fund for the respective month to the Greyhound Breeders of Arkansas to promote the development of greyhound breeding in the State of Arkansas and pay for the cost of administering the program.

(b) By the 5th of the month of the appropriate calendar quarter (March, June, September, December), the designated representative for the Greyhound Breeders of Arkansas shall submit/forward to the Arkansas Racing Commission a voucher request, on forms approved by the Arkansas Racing Commission, requesting that monies be distributed to the appropriate parties pursuant to the above mentioned formula.

(c) If the Arkansas Racing Commission determines that all the documentation is proper and correct, the Arkansas Racing Commission shall distribute monies from the Arkansas Breeders Purse and Award Fund to the appropriate parties by the 20th of the month of the appropriate calendar quarter.

**GREYHOUND REGISTRATION**

3175. No greyhound shall be entered or permitted to race or to be schooled at any racetrack operated or licensed under the jurisdiction of the Commission unless properly registered with the National Greyhound Association, which is the recognized official breeding registry of all greyhounds. Any greyhound whose lack of registration with the approved registry is attributable to arbitrary, discriminatory or other unreasonable action or inaction on the part of such agencies may be certified by the Commission. A greyhound may not be entered for racing or schooling at any official track unless it has been tattooed and registered in the NGA Stud Book and the last six performance lines, if applicable, and the racing history of the greyhound are made available to the Racing Secretary.

3177. Any transfers of any title to or interest in greyhounds schooled, entered, or racing at any racetrack under the jurisdiction of the Commission shall be registered with the National Greyhound Association. No title in any greyhound will be recognized by the Commission until such title shall be evidenced by written instrument duly filed with the National Greyhound Association.

**GREYHOUND SCHOOLING**

3180. Greyhounds must be properly officially schooled in the presence of the Presiding Judge and the Commission Judge at least one time at the track where they are to race, provided that the greyhound has run an official race at another recognized track and a recognized meeting within 30 calendar days preceding its entry for official schooling. The track shall carry the past performance lines from the previous four races in the Official Racing Program. A greyhound racing at approximately 5/16 mile distance shall be schooled at that distance. Each schooling race should consist of at least

6 greyhounds. However, if conditions create a hardship, less than 6 greyhounds may be schooled with the permission of the Commission Judge. Any greyhound that has raced at a track franchised by the Arkansas Racing Commission that has not been entered for a period of 6 racing days, and/or has not raced for a period of 10 racing days or more, shall be schooled at least once at its racing weight before being eligible for entry. All greyhounds in schooling races must be raced at their established racing weight and started from the box wearing blankets. No hand schooling will be considered official.

3181. Any greyhound can be ordered on the schooling list by the Presiding Judge at any time. Any greyhound ordered placed on the schooling list by the Presiding Judge must be schooled officially and satisfactorily before being allowed to enter into an official race.

### **ENTRIES**

3185. Every person who enters a greyhound, or in any way participates in any race or racing under these rules, thereby obligates himself/herself to accept these rules upon all questions relating thereto.

3187. Every entry in an official race must be in the name of the person having ownership in the greyhound, and must be made in writing, or by telegram to be immediately confirmed in writing, by the kennel owner and/or trainer, or by an authorized agent, and the full name of every person having an ownership in a greyhound, or accepting the trainer's percentage, or having any-Interest in its winnings, must be licensed by the Commission before it starts at any meeting, as must be every change in such ownership or interest, thereafter made during that meeting. Any failure to comply with this rule shall be punishable by a fine or suspension, or both, and if an objection because of such default is duly made and sustained against a greyhound that has run in a race, its winnings in that race shall be forfeited to the greyhound that finished next behind it.

#### **3190. *DOUBLE ENTRIES:***

1. Double entries will be permitted in all races. There shall be at least six (6) greyhounds of different ownership in all overnight races.
2. No more than two (2) double entries will be allowed in any race.
3. Double entries shall not be allowed until all single interests are used and double entries shall be uncoupled for wagering purposes.
4. Double entries will be used only when that kennel is assured of having at least one (1) greyhound in all races of that particular grade on that performance.
5. The above provisions shall not apply to stakes races, feature races, and special events.

### **SCRATCHES**

3195. A greyhound that is scratched from an official race will be required to be officially schooled before being allowed to be entered for official racing.

A. Any scratches that occur that are the result of violation of a racing rule must carry a penalty or suspension for said greyhound for a period of six (6) racing days. Greyhounds will be allowed to be officially schooled during this suspension period.

B. A greyhound that is scratched for sickness or injury must be examined by the Commission Veterinarian. The Commission Veterinarian shall determine whether or not the greyhound will be placed on the Commission Veterinarian's List. The Commission Veterinarian shall examine said greyhound and will notify the Racing Secretary and Presiding Judge that the greyhound is again fit to compete and be entered for official racing if said greyhound qualifies under the time period set forth in Rule 3180. If the greyhound meets the time requirements of Rule 31 80, the greyhound will NOT be required to school officially.

C. A greyhound that is scratched by the Commission Veterinarian due to an injury or sickness in the paddock area after the official weigh-in will be placed on the Commission Veterinarian's List and may NOT be required to school officially if said greyhound meets the time requirements of Rule 3180.

D. A greyhound that is scratched as a result of an error by the Racing Office personnel will NOT be required to school officially before being re-entered for official racing.

E. The Board of Judges may waive the official schooling requirements for just cause.

3196. If three (3) or more greyhounds are scratched in any one race, the Presiding Judge may cancel said race.

3197. All declarations in the overnight entries must be made with the Racing Secretary of the Franchise Holder before the draw has been closed. Any greyhound that does not compete once the draw has been closed and made official will be considered as a scratch.

## **POST POSITION**

3200. The drawing for entries and post positions shall be held not less than twenty-four (24) hours before the start of each performance, and supervised by the Commissioner Supervisor or Commission Judge and Racing Secretary. Post positions must be drawn prior to all official races.

A. All post positions for official purse races shall be drawn by random lot. With permission from the Judges or the Racing Commission, stakes or features races may be exempt from this rule.

B. In the event there is an inadequate number of greyhounds in the “left-over” pool to complete a draw for a given performance at the scheduled time, the following steps will be taken:

1. The performance will be constructed (prepared for drawing) using the available greyhounds until the “left-over” pool is exhausted.
2. The draw will be entered, using the available greyhounds, with blank posts left at random to be filled later when eligible greyhounds become available.
3. Once a pool of greyhounds becomes available (after official schooling or official races from the next scheduled performance have been run), the blank posts are filled by selecting greyhounds at random from the pool and assigning the next available post, beginning with the post closest to one (#1) and going down through eight (#8), to the greyhound(s) selected.
4. This is repeated until all blank posts have been filled. The draw is then re-proofed and the draw is considered complete.

## **PROGRAM**

3205. A Program printed for each racing day shall be compiled by the Franchise Holder which shall contain the names of the greyhounds that are to run in each of the races for that day. These names are to appear in the order of their post positions, the said post position to be designated by numerals placed at the left and in line with the names of the greyhounds in each race, and shall also be prominently displayed on each greyhound. The printed program shall contain all the information required by these rules.

3206. The Program must carry at least two (2) performances of said greyhounds at the track they are to be raced, with the exception of greyhounds qualifying under Rule #3180.

3207. All past performances as shown in the Program shall be in the order of the races or official schooling races held, the last performance appearing on the first line, etc.

3208. The Program must also contain name, color, sex, date and location of most recent races, age, established racing weight, distance, time, track record, track weight, post position and finish, name of owner and trainer, number of starts in official races and number of times finishing first, second and third, and such other information as will enable the public to properly judge the greyhound’s ability.

3209. Each Franchise Holder shall print in heavy type, in conspicuous place in its printed program that the Daily Double Rules, 5010 and 5012; the Quiniela Pool Rule, 5020; the Trifecta Rule, 5030; and the Big Q Rule 5025 are posted in the mutuel areas and available at the information window, Racing Commission office, and General office.

3210. In case the name of a greyhound is changed, the new name, together with the former name, shall be published in the official entries and Program until after the

greyhound has started five (5) times. The violation of any part of this Rule may be sufficient cause for a fine, suspension, or both, at the discretion of the Board of Judges.

### **WEIGHTS AND WEIGHING**

3220. A. All greyhounds shall be weighed in at the designated time preceding the first race of the day. All greyhounds must weigh-in with wire or plastic muzzle, collar, lead strap, and approved identification tag.

B. All greyhounds must be weighed not less thirty minutes before the time of the first race of the performance unless a later time has been designated or approved by the Board of Judges or the Commission.

C. The weigh-in time shall be limited to a 30-minute period unless an extension has been granted by the Commission Judge or approved by the Commission.

3221. At the first official schooling of each greyhound, the trainer and/or owner must establish the racing weight ("set weight") for each greyhound.

A. At first weigh-in time (pre-post weight), should there be a variation of more than two pounds either way from its established racing weight ("set weight"), the Presiding Judge or Commission Judge must order said greyhound scratched if it cannot meet the weight requirements by the close of time for the weigh-in.

B. At the "post weight" weighing, any greyhound that loses two (2) or more pounds from its "pre-post weight" while in the lock-out kennels may be allowed to race if, in the opinion of the Commission Veterinarian, such loss of weight does not impair its racing condition.

C. The Presiding Judge shall keep a greyhound "weight loser" list updated and available at all times, and shall consult with the Commission Veterinarian on a regular basis when updating said list.

3222. The established racing weight may be changed from time to time on written request and by written consent of the Paddock Judge or Scale Clerk, provided said change is made four (4) days before the greyhound is allowed to race at new weight. All greyhounds must be schooled at least once at new racing weight before being eligible for entry; if, however, the change of weight does not exceed one (1) pound, the changed weight may be listed without the greyhound being schooled.

3223. The Presiding Judge shall have the privilege of ordering the weighing of a greyhound entered in a race at any period from the time it is entered in a race until post time.

3224. Immediately after being weighed in (pre-post weight), the greyhounds shall be placed in lock-out kennels under the supervision of the Paddock Judge, and no owner or other person excepting the Paddock Judge, Commission Veterinarian, Kennel Master,



Scale Clerk, Lead-Outs under the supervision of the Paddock Judge, Presiding Judge, or Commission's representative, shall be allowed in or near the lock-out kennel.

3225. Every trainer who does not have his/her greyhound(s) at the weighing-in room promptly at the time appointed shall have his/her greyhound(s) scratched and, in addition, at the discretion of the Board of Judges, the trainer may be liable for a fine.

## **THE RACE**

3230. All starters must wear the regulation muzzle and blanket. Muzzles and blankets must be carefully examined in the paddock by the Paddock Judge before the greyhounds leave for the starting box, and again be examined before the Presiding Judge, the Commission Judge, and the Patrol Judge.

3231. All greyhounds must be exhibited in the show paddock before post time of the race in which they are entered.

3232. After the greyhounds leave the paddock on their way to the starting box, and until the race has been completed, all persons, except the Racing Officials and necessary attendants, shall be excluded from the course.

3233. No race shall be called official unless the lure is in advance of the greyhounds at all times during the race. If at any time during the race any greyhound or greyhounds touch or overtake the lure, the Presiding Judge and Commission Judge shall declare the race a "No Race" and all wagers shall be refunded. However, when a greyhound bolts the course or runs in the opposite direction during the running of a race and in doing so, in the opinion of the Presiding Judge and Commission Judge, does not interfere with the race in any manner, the Presiding Judge and Commission Judge shall declare the race "Official." The decision of the Presiding Judge and Commission Judge shall be final.

3234. If a race is marred by jams, spills, or racing circumstances other than accidents to the machinery while a race is being run and three (3) or more greyhounds finish, the Presiding Judge and Commission Judge shall declare the race finished. If less than three (3) greyhounds finish, the Presiding Judge and Commission Judge shall declare the race a "No Race" and all wagers shall be refunded.

3235. If a greyhound bolts the course, it shall forfeit all rights in the race. The Presiding Judge and Commission Judge shall declare the race the same as if it were not a contender.

3236. All greyhounds ruled off of one track in Arkansas for fighting or quitting shall be ruled off all tracks under the jurisdiction of the Arkansas State Racing Commission and will not race again in Arkansas except with written consent of the Commission.

## **DEAD HEATS**

3240. When two (2) or more greyhounds run a dead heat for first place, all prizes which first and second greyhounds would have been entitled, shall be divided equally between them; and this applies in dividing prizes whatever the number of greyhounds running in a dead heat. All greyhounds shall be deemed to be winners. Likewise, when two (2) or more greyhounds run a dead heat for second place they shall divide the second and third moneys.

3241 .When a dead heat is run for second place and the winner of the race is subsequently disqualified, the greyhounds which ran for the dead heat shall be deemed to have a dead heat for first place.

## **POSTPONEMENT AND CANCELLATION OF RACES**

3250. If the whole or a part of a racing program is canceled, any race involved may be rescheduled at the discretion of the Commission.

3251. If the whole or a part of the racing program is canceled, any race involved may be postponed or declared off.

3252. Public notice shall be given at the earliest practicable time if a published race is declared off.

3253. No race which has closed with sufficient entries shall be declared off except by the Board of Judges.

3254. In case of fire or accident, or for other reasons, after due public notice, all races or stakes may be postponed or declared off, and when so declared off, all subscriptions and declaration money paid must be refunded.

**RULES AND REGULATIONS**

**Governing**

**GREYHOUND RACING**

**In**

**ARKANSAS**

**PART II OF III**

**Association of Racing Commissioner's  
International Drug Classification  
2009 Edition**

**January 2019 Update**

**Arkansas Racing Commission**

**Asa Hutchinson  
Governor**

**Alex Lieblong, Chairman, Conway  
Mark Lamberth, Batesville  
Butch Rice, Beebe  
Denny East, Marion  
Michael Post, Altus  
Bo Hunter, Fort Smith  
Steve Landers, Little Rock**

**John Campbell, Manager, Little Rock  
1515 W. 7th Street, #505  
P.O. Box 3076  
Little Rock 72203-3076**

**Association of Racing Commissioners International, Inc.  
Drug Testing Standards and Practices Program  
Model Rules Guidelines**



**Uniform Classification Guidelines for Foreign Substances  
and  
Recommended Penalties and Model Rule**

**Revised February 2009**

**Association of Racing Commissioners International, Inc.  
Uniform Classification Guidelines for Foreign Substances**

**Table of Contents**

Preamble..... i

Notes Regarding Classification Guidelines ..... i

Classification Criteria ..... ii

Classification Definitions..... iii

Drug Classification Scheme..... v

Alphabetized Listing of Drugs..... 1

Drug Listing by Classification..... 16

Non-Classified Substances..... 36

Recommended Penalties and Model Rule ..... 37

# Preamble to the Uniform Classification Guidelines of Foreign Substances

The Preamble to the Uniform Classification Guidelines was approved by the RCI Drug Testing and Quality Assurance Program Committee (now the Drug Testing Standards and Practices Program Committee) on August 26, 1991. Minor revisions to the Preamble were made by the Drug Classification subcommittee (now the Veterinary Pharmacologists Subcommittee) on September 3, 1991.

"The Uniform Classification Guidelines printed on the following pages are intended to assist stewards, hearing officers and racing commissioners in evaluating the seriousness of alleged violations of medication and prohibited substance rules in racing jurisdictions. Practicing equine veterinarians, state veterinarians, and equine pharmacologists are available and should be consulted to explain the pharmacological effects of the drugs listed in each class prior to any decisions with respect to penalties to be imposed. The ranking of drugs is based on their pharmacology, their ability to influence the outcome of a race, whether or not they have legitimate therapeutic uses in the racing horse, or other evidence that they may be used improperly. These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses. The facts of each case are always different and there may be mitigating circumstances which should always be considered. These drug classifications will be reviewed frequently and new drugs will be added when appropriate."

## Notes Regarding Classification Guidelines

- Where the use of a drug is specifically permitted by a jurisdiction, then the jurisdiction's rule supersedes these penalty guidelines.
- Regulators should be aware that a laboratory report may identify a drug only by the name of its metabolite. The metabolite might not be listed here, but the parent compound may be.
- These classes of drugs are intended only as guidelines and should be employed only to assist persons adjudicating facts and opinions in understanding the seriousness of the alleged offenses.
- The facts of each case are different and there may be mitigating circumstances that should be considered.
- These drug classifications will be reviewed periodically. New drugs will be added or some drugs may be reclassified when appropriate.

## Classification Criteria

The RCI Drug Classification Scheme is based on 1) pharmacology, 2) drug use patterns, and 3) the appropriateness of a drug for use in the racing horse. Categorization is decided using the following general guidelines:

- **Pharmacology.** Drugs that are known to be potent stimulants or depressants are placed in higher classes, while those that have (or would be expected to have) little effect on the outcome of a race are placed in lower classes.
- **Drug Use Patterns.** Some consideration is given to placement of drugs based on practical experience with their use and the nature of positive tests. For example, procaine positives have in the past been associated primarily with the administration of procaine penicillin, and this has been taken into consideration in the placement of procaine into Class 3 instead of Class 2 with other injectable local anesthetics.
- **Appropriateness of Drug Use.** Drugs that clearly are intended for use in equine therapeutics are placed in lower classes. Drugs that clearly are not intended for use in the horse are placed in higher classes, particularly if they might affect the outcome of a race. Drugs that are recognized as legitimately useful in equine therapeutics but could affect the outcome of a race are placed in the middle or higher classes.

The list includes most drugs that have been reported as detected by racing authority laboratories in the United States, Canada, the United Kingdom and other Association of Official Racing Chemists (AORC) laboratories, but does not include those which would seem to have no effect on the performance of the horse or drug detectability. For example, it does not include antibiotics, sulfonamides, vitamins, anthelmintics, or pangamic acid, all of which have been reported.

The list contains many drugs that have never been reported as detected. Usually, these are representatives of chemical classes that have the potential for producing an effect, and in many cases, for which at least one drug in that chemical class has been reported.

Most drugs have numerous effects, and each was judged on an individual basis. There are instances where there is a rather fine distinction between drugs in one category and those in the next. This is a reflection of a nearly continuous spectrum of effects from the most innocuous drug on the list to the drug that is the most offensive.

## Classification Definitions

- **Class 1:** Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Though not used as therapeutic agents, all DEA Schedule I agents are included in Class 1 because they are potent stimulant or depressant substances with psychotropic and often habitative actions.
- **Class 2:** Drugs that have a high potential to affect performance, but less of a potential than drugs in Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse. Drugs in this class include: psychotropic drugs, certain nervous system and cardiovascular system stimulants, depressants, and neuromuscular blocking agents. Injectable local anesthetics are included in this class because of their high potential for abuse as nerve blocking agents.
- **Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2. Drugs in this class include bronchodilators, anabolic steroids and other drugs with primary effects on the autonomic nervous system, procaine, antihistamines with sedative properties and the high-ceiling diuretics.
- **Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3. Drugs in this class includes less potent diuretics; corticosteroids; antihistamines and skeletal muscle relaxants without prominent central nervous system (CNS) effects; expectorants and mucolytics; hemostatics; cardiac glycosides and anti-arrhythmics; topical anesthetics; anti-diarrheals and mild analgesics. This class also includes the non-steroidal anti-inflammatory drugs (NSAIDs), at concentrations greater than established limits.
- **Class 5:** This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as dimethylsulfoxide (DMSO) and other medications as determined by the regulatory bodies. Included specifically are agents that have very localized actions only, such as anti-ulcer drugs, and certain anti-allergic drugs. The anticoagulant drugs are also included.



• **Prohibited Practices:**

- A) The possession and/or use of a drug, substance or medication, specified below, on the premises of a facility under the jurisdiction of the regulatory body for which a recognized analytical method has not been developed to detect and confirm the administration of such substance; or the use of which may endanger the health and welfare of the horse or endanger the safety of the rider or driver; or the use of which may adversely affect the integrity of racing:
  - 1) Erythropoietin
  - 2) Darbepoietin
  - 3) Oxyglobin
  - 4) Hemopure
- B) The possession and/or use of a drug, substance, or medication on the premises of a facility under the jurisdiction of the regulatory body that has not been approved by the United States Food and Drug Administration (FDA) for use in the United States.
- C) The practice, administration, or application of a treatment, procedure, therapy or method identified below, which is performed on the premises of a facility under jurisdiction of a regulatory body and which may endanger the health and welfare of the horse or endanger the safety of the rider or driver, or the use of which may adversely affect the integrity of racing:

## Drug Classification Scheme

- **Class 1:** Opiates, opium derivatives, synthetic opioids, psychoactive drugs, amphetamines, and all DEA Schedule I substances (see <http://www.usdoj.gov/dea/pubs/scheduling.html>), and many DEA Schedule II drugs. Also found in this class are drugs that are potent stimulants of the CNS. Drugs in this class have no generally accepted medical use in the racing horse and their pharmacologic potential for altering the performance of a racing horse is very high.
- **Class 2:** Drugs placed in this category have a high potential for affecting the outcome of a race. Most are not generally accepted as therapeutic agents in the racing horse. Many are products intended to alter consciousness or the psychic state of humans, and have no approved or indicated use in the horse. Some, such as injectable local anesthetics, have legitimate use in equine medicine, but should not be found in a racing horse. The following groups of drugs are placed in this class:
  - A. Opiate partial agonists, or agonist-antagonists.
  - B. Non-opiate psychotropic drugs. These drugs may have stimulant, depressant, analgesic or neuroleptic effects.
  - C. Miscellaneous drugs, which might have a stimulant effect on the CNS.
  - D. Drugs with prominent CNS depressant action.
  - E. Anti-depressant and antipsychotic drugs, with or without prominent CNS stimulatory or depressant effects.
  - F. Muscle blocking drugs - those that have a direct neuromuscular blocking action.
  - G. Local anesthetics that have a reasonable potential for use as nerve-blocking agents (except procaine).
  - H. Snake venoms and other biologic substances that may be used as nerve-blocking agents.
- **Class 3:** Drugs placed in this class may or may not have an accepted therapeutic use in the horse. Many are drugs that affect the cardiovascular, pulmonary and autonomic nervous systems. They all have the potential of affecting the performance of a racing horse. The following groups of drugs are placed in this class:
  - A. Drugs affecting the autonomic nervous system that do not have prominent CNS effects, but which do have prominent cardiovascular or respiratory system effects. Bronchodilators are included in this class.
  - B. A local anesthetic that has nerve-blocking potential but also has a high potential for producing urine residue levels from a method of use not related to the anesthetic effect of the drug (procaine).
  - C. Miscellaneous drugs with mild sedative action, such as the sleep-inducing antihistamines.
  - D. Primary vasodilating/hypotensive agents.
  - E. Potent diuretics affecting renal function and body fluid composition.
  - F. Anabolic and/or androgenic steroids and other drugs.

- **Class 4:** Drugs in this category comprise primarily therapeutic medications routinely used in racehorses. These may influence performance, but generally have a more limited ability to do so. Groups of drugs assigned to this category include the following:
  - A. Non-opiate drugs that have a mild central antipyretic effect.
  - B. Drugs affecting the autonomic nervous system that do not have prominent CNS, cardiovascular, or respiratory effects:
    - 1. Drugs used solely as topical vasoconstrictors or decongestants.
    - 2. Drugs used as gastrointestinal antispasmodics.
    - 3. Drugs used to void the urinary bladder.
    - 4. Drugs with a major effect on CNS vasculature or smooth muscle of visceral organs.
  - C. Antihistamines that do not have a significant CNS depressant effect. This does not include the H2 blocking agents, which are in Class 5.
  - D. Mineralocorticoid drugs.
  - E. Skeletal muscle relaxants.
  - F. Anti-inflammatory drugs. These drugs may reduce pain as a consequence of their anti-inflammatory action.
    - 1. Non-steroidal anti-inflammatory drugs (NSAIDs). (Aspirin-like drugs).
    - 2. Corticosteroids (glucocorticoids).
    - 3. Miscellaneous anti-inflammatory agents.
  - G. Less potent diuretics.
  - H. Cardiac glycosides and antiarrhythmic agents.
    - 1. Cardiac glycosides.
    - 2. Antiarrhythmic agents (exclusive of lidocaine, bretylium, and propranolol).
    - 3. Miscellaneous cardiotoxic drugs.
  - I. Topical Anesthetics - agents not available in injectable formulations.
  - J. Antidiarrheal drugs.
  - K. Miscellaneous drugs:
    - 1. Expectorants with little or no other pharmacologic action.
    - 2. Stomachics.
    - 3. Mucolytic agents.
- **Class 5:** Drugs in this category are therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents. Included specifically are agents that have very localized actions only, such as anti-ulcer drugs, and certain antiallergic drugs. The anticoagulant drugs are also included.

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Acebutolol	Sectral	3	B	Amiodarone		4	B
Acecarbromal		2	A	2-Aminoheptane	Tuamine	4	B
Aceenocoumarol		5	C	Aminophylline	Aminophyllin, etc.	3	B
Acepromazine	Atrovet, Notensil, PromAce®	3	B	Aminopyrine		4	B
Acetaminophen (Paracetamol)	Tylenol, Temptra, etc.	4	C	Amisometradine	Relictron	4	B
Acetanilid		4	B	Amisulpride	Solian	2	A
Acetazolamide	Diamox, Vetamox	4	B	Am traz	Mitaban	3	A
Acetophenazine	Tindal	2	A	Am triptyline	Elavil, Amitri, Endep	2	A
Acetophenetidin (Phenacetin)		4	B	Am lodipine	Amiviv, Norvasc	4	B
Acetylsalicylic acid (Aspirin)		4	C	Am oxapine	Amytal	2	A
Aclomethasone	Aclovate	4	C	Am perozide	Asendin	2	A
Adinazolam		2	A	Am phetamine		1	A
Adrenochrome		4	B	Am rirone		4	B
monosemicarbazone salicylate				Am yl nitrite		3	A
Albuterol (Salbutamol)	Proventil, Ventolin	3	B	Am lertidine	Lertine	1	A
Alclofenac		2		Am lopam	Anisine	2	A
Alcuronium	Alloferin	2	A	Am isindione		5	C
Aldosterone	Aldocortin, Electrocortin	4	B	Am isotropine	Valpin	4	B
Alfentanil	Alfenta	1	A	Am tipyrine		4	B
Almotriptan	Axert	3		Am azone (Azapropazone)	Rheumox	4	B
Alphaprodine	Nisentil	2	A	Am omorphine		1	A
Alpidem	Anaxyl	2	A	Am pridine		4	B
Alprazolam	Xanax	2	A	Am probarital	Alurate	2	A
Alprenolol		3	A	Am recoline		3	A
Althresin	Saffan	2	A	Am romoterol		3	A
Amberonium	Mytelase, Myeuran	3	B	Am ricaline	Septocaine; Ultracaine, etc.	2	A
Am broxol	Ambriol, etc.	4	B	Am tenolol	Tenomin	3	B
Am cionide	Cyclocort	4	C	Am tomoxetine	Strattera	2	A
Am loriide	Moduretic; Midamor	4		Am tracurium	Tracrium	2	A
Am ino caproic acid	Amicar, Caprocid	4	C				

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Atropine			3 B	Bisoprolol	Zebeta, Bisobloc, etc.		3 B
Azacylonol	Frenque		2 A	Bitolterol	Effectin		3 A
Azaperone	Stresnil, Suicalm, Fentaz (with Fentanyl)		2 A	Bolasterone			3 A
Baclofen	Lioresal		4 B	Boldenone	Equipoise		3 B
Barbital	Veronal		2 A	Boldione			3 A
Barbiturates			2 A	Bretylium	Bretylol		3 B
Beclomethasone	Propadem		4 C	Brimonidine	Alphagan		2 A
Bemegride	Megimide, Mikedimide		2 A	Bromazepam	Lexotan, Lactopam		2 A
Benazepril, Benazepril and MC-tab	Lotrel, Lotensin		3 A	Bromfenac	Duract		3 A
Bendroflumethiazide	Naturetin		4 B	Bromhexine	Oletor, etc.		4 B
Benoxaprofen			2 A	Bromisovalum	Diffuord, etc.		2 A
Benoxinate	Dorsacaine		4 C	Bromocriptine	Parlodol		2 A
Benperidol	Anquil		2 A	Bromodiphenhydramine			3 B
Benzazepam	Tiadipona		2 A	Bromperidol	Bromidol		2 A
Benzacizine	Deprol, Bronchodiletten		2 A	Brompheniramine	Dimetane, Disomer		4 B
Benzocaine			4 B	Brotizolam	Brotocol		2 A
Benzocetamine			2 A	Budasonide	Pulmacort, Rhinocort		4 C
Benzodiazepines			2 A	Bufexamac			3 A
Benzphetamine	Didrex		2 A	Bumetanide	Bumex		3 B
Benzthiazide			4 B	Bupivacaine	Marcaine		2 A
Benztropine	Cogentin		2 A	Buprenorphine	Temgesic		2 A
Benzylpiperazine (BZP)			1 A	Bupropion	Wellbutrin		2 A
Bepridil	Bepadin		4 B	Buspirone	Buspar		2 A
Betamethasone	Betasone, etc.		4 C	Butabarbital (Secbutobarbitone)	Butacaps, Butasol, etc.		2 A
Betaxolol	Kerlone		3 B	Butacaine	Butyrn		4 B
Bethanechol	Urecholine, Duvoid		4 C	Butalbital (Talbutal)	Fiorinal		2 A
Bethanidine	Esbatal		3 A	Butamben (butyl aminobenzoate)	Butesin		4 C
Biperiden	Akineton		3 A	Butanilcaine	Hostacain		2
Biriperone			2 A	Butaperazine	Repoise		2 A
				Butoctamide	Listomin		2 A

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Butorphanol	Stadol, Torbugesic	3	B	Chloraldehyde (chloral)		2	A
Butoxyacaine	Stadacain	4	B	Chloralose (Alpha-Chloralose)		2	A
N-Butylscopolamine		3	B	Chlordiazepoxide	Librium	2	A
Caffeine		2	B	Chlorthexidol		2	A
Calusterone	Methosorb	3	B	Chlormerodrin	Neohydrin	4	B
Camazepam	Paxor	2	A	Chlormezanone	Trancopal	2	A
Camphor		4	C	Chloroform		2	A
Candesartan	Atcand	3	B	Chlorophenesin	Maolate	4	BC
Captodiame	Covatine	2	A	Chloroprocaine	Nesacaine	2	A
Captopril	Capoten	3	B	Chloroquine	Avloclor	4	C
Carazolol	Carbacel, Conduction	3	A	Chlorothiazide	Diuril	4	B
Carbachol	Lentin, Doryl	3	B	Chlorpheniramine	Chlorienton, etc.	4	B
Carbamazepine	Tegretol	3	B	Chlorpropethazine	Newiplege	2	A
Carbidopa + levodopa	Sinemet	2	A	Chlorpromazine	Thorazine, Largactil	2	A
Carbinoxamine	Clistin	3	B	Chlorprothixene	Taractan	2	A
Carbromol	Mifudom	2	A	Chlorthalidone	Hydrotan	4	B
Carfentanil		1	A	Chlorzoxazone	Paraflex	4	B
Carisoprodol	Rela, Soma	2	B	Ciclesonide		4	B
Carphenazine	Proketazine	2	A	Cilostazol	Pletal	5	C
Carpiramine	Prazinil	2	A	Cimetrol		3	A
Carprofen	Rimadyl	4	B	Cimetidine	Tagamet	5	D
Carteolol	Cartrol	3	B	Cinchocaine	Nupercaine	4	C
Carticaine (see articaine)	Septocaine; Ultracaine, etc.	2	A	Citalopram	Celex	2	A
Carvedilol	Coreg	3	B	Cianobutin		4	B
Cathinone (khat, kat, qat, quat, chat, catha, Abyssinian tea, African tea)		1	A	Clemastine	Tavist	3	B
Celecoxib	Celebrex	3	B	Clenbuterol	Ventipulmin	3	B
Cetirizine	Zyrtec	4	B	Clibucaine	Batrax	4	C
Chloral betaine	Beta-Chlor	2	A	Clidinium	Quarezan, Clindex, etc.	3	B
Chloral hydrate	Nadec, Oridrate, etc.	2	A	Clobazam	Urbanyl	2	A
				Clobetasol	Temovate	4	C
				Clocapramine		2	A

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Clodolone	Cloderm	4	C	Cyproheptadine	Periactin	4	C
Clotenamide		4	B	Danazol	Danocrine	3	B
Clomethiazole (Chlormethiazole)		2	A	Dantrolene	Dantrium	4	C
Clomipramine	Anafрани	2	A	Darbepeetin	Aranesp	2	A
Clonazepam	Klonopin	2	A	Decamethonium	Syncurine	2	A
Clonidine	Catapres	3	B	Dehydrochloromethyltestosterone		3	B
Clorazepate	Tranxene	2	A	Dembroxol (Dembrexine)	Sputolysin	4	C
Clomecaine	Placacid	4	C	Demoxepam		2	A
Clostebol		3	B	Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4	C
Clothiapine	Entermin	2	A	Deracoxib	Deramaxx	3	B
Clotiazepam	Trecalmo, Rize	2	A	Desipramine	Norpromine, Perofrane	2	A
Clozazepam	Enadel, Sepazon, Tolestán	2	A	Desonite	Des Owen	4	C
Clozapine	Clozaril, Leponex	2	A	Desoximetasone	Topicort	4	C
a-Cobratroxin		1	A	Desoxymethyltestosterone		3	B
Cocaine		1	B	Detomidine	Dormosedan	3	B
Codeine		1	A	Dexamethasone	Azium, etc.	4	C
Colchicine		4	B	Dextromethorphan		4	B
Conorphone		2	A	Dextromoramide	Palfum, Narcolio	1	A
Corticaine	Ultracain	2	A	Dextropropoxyphene	Darvon	3	B
Cortisone	Cortone, etc.	4	C	Dezocine	Dalgan	2	A
Cromolyn	Intel	5	C	Diamorphine		1	A
Crotetamide		2	A	Diazepam	Valium	2	B
Cyamemazine	Tercian	2	A	Diazoxide	Proglycem	3	B
Cyclandelate	Cyclospasmol	3	A	Dibucaine	Nupercainal, Cinchocaine	4	C
Cyclizine	Merazine	4	B	Dichloralphenazone	Febenol, Isocom	2	A
Cyclobarbitol	Phanodorm	2	A	Dichlorphenamide	Daramide	4	C
Cyclobenzaprine	Flexeril	4	B	Diclofenac	Voltaren, Voltarol	4	C
Cyclomethylcaine	Surfacaine	4	C	Dicumarol	Dicumarol	5	C
Cyclothiazide	Anhydron, Renazide	4	B	Diethylpropion	Tepanil, etc.	2	A
Cyrimine	Pagitane	3	B	Diethylthambutene	Themalon	2	A

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Diflorasone	Florone, Maxiflor	4	C	Dromostanolone	Droiban	3	B
Difluocortolone	Flu-Cortnest, etc.	4	C	Droperidol	Inapsine, Droleptan, Innovar-Vet (with Fentanyl)	2	A
Diflunisal		3	B	Duloxetine		2	A
Digitoxin	Crystodigin	4	B	Dyclonine	Dyclone	4	C
Digoxin	Lanoxin	4	B	Dyphylline		3	B
Dihydrocodeine	Parcodin	2	A	Edrophonium	Tension	3	B
Dihydroergotamine		4	B	Eitripan	Relpax	3	A
Dilorzepam	Briantum	2	A	Eitenac		4	C
Diltiazem	Cardizem	4	B	Enalapril (metabolite enalaprilat)	Vasotec	3	B
Dimethylfene		3	A	Enciprazine		2	A
Dimethisoquin	Quotane	4	B	Endorphins		1	A
Dimethylsulfoxide (DMSO)	Domoso	5	C	Enkephalins		1	A
Dimethylsulphone (MSM)		5	C	Ephedrine		2	A
Diphenadone		5	C	Epibatidine		2	A
Diphenhydramine	Benadryl	3	B	Epinephrine		2	A
Diphenoxylate	Difenoxin, Lomotil	4	B	Ergoloid mesylates (dihydroergocornine mesylate, dihydroergocristine mesylate, and dihydroergocypiline mesylate)		2	A
Diprenorphine	M50/50	2	A	Ergonovine	Ergotrate	4	C
Dipyridamole	Persantine	3	B	Ergotamine	Gynergen, Cafergot, etc.	4	B
Dipyrrone	Novin, Methampyrone	4	C	Erythryl tetranitrate	Cardilate	3	A
Disopyramide	Novpace	4	B	Enthropoietin(EPO)	Epogen, Procrit, etc.	2	A
Divalproex	Depakote	3	A	Esmolol	Brevibloc	3	B
Dixyrazine	Esucos	2	A	Esomeprazole	Nexium	5	D
Dobutamine	Dobutrex	3	B	Etaazolam	Domnamid, Eurodin, Nuctalon	2	A
Dopamine	Inotropin	2	A	Etamiphylline		3	B
Donepezil	Aricept	1	A	Etanercept	Enbrel	4	B
Doxacurium	Nurumax	2	A	Ethacrynic acid	Edecrin	3	B
Doxapram	Dopram	2	A	Ethamivan		2	A
Doxazosin		3	A				
Doxefazepam	Doxans	2	A				
Doxepin	Adapin, Sinequan	2	A				
Doxylamine	Decapryn	3	B				

Association of Racing Commissioners International, Inc.  
 Uniform Classification Guidelines for Foreign Substances



# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Ethanol		2	A	Fenspride	Respride, Respan, etc	3	B
Ethchlorvynol	Placidyl	2	A	Fentanyl	Sublimaze	1	A
Ethinamate	Valmid	2	A	Fentiazac		3	B
Ethoheptazine	Zactane	4	B	Fexofenadine	Allegra	4	C
Ethopropazine	Parsidol	2	A	Firocoxib		4	B
Ethosuximide	Zarontin	3	A	Flecaainide	Idalon	4	B
Ethotoin	Peganone	4	B	Floctafenine	Idalon, Idarac	4	B
Ethoxzolamide	Cardrase, Ethamide	4	C	Fluanisone	Sedalande	2	A
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4	C	Flucinolone	Synalar, etc.	4	C
Ethylestrenol	Maxibolin, Organon	3	B	Fludiazepam	Erispan	2	A
Ethylisobutrazine	Diquel	2	A	Fludrocortisone	Alforone, etc.	4	C
Ethylmorphine	Dionin	1	A	Flufenamic acid		3	B
Ethylnorepinephrine	Bronkhephrine	3	A	Flumethasone	Flucort, etc.	4	C
Etidocaine	Duranest	2	A	Flumethazide	Ademol	4	B
Etifoxin	Stresam	2	A	Flunartizine	Sibelium	4	B
Etizolam	Depas, Pasaden	2	A	Flunisolide	Bronilide, etc.	4	C
Etodolac	Lodine	3	B	Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2	A
Etidroxizine	Indunox	2	A	Flunixin	Banamine	4	C*
Etomidate		2	A	Fluocinolone	Synalar	4	C
Etioprine HCl	M99	1	A	Fluocinonide	Licon, Lidex	4	C
Famotidine	Gaster, etc.	5	D	Fluopromazine	Psyquil, Siquil	2	A
Felbamate	Felbatol	3	A	Fluoresone	Caducid	2	A
Felodipine	Plendil	4	B	Fluorometholone	FML	4	B
Fenarbamate	Tymium	2	A	Fluoroprednisolone	Predef-2X	4	C
Fenbufen	Cincopal	3	B	Fluoxetine	Prozac	2	A
Fenclozic acid	Myalex	2	A	Fluoxymesterone	Halotestin	3	B
Fenfluramine	Pondrinin	2	A	Flupenthixol	Depixol, Fluanxol	2	A
Fenoldopam	Corlopam	3	B	Fluphenazine	Prolixin, Permitti, Anatersol, etc.	2	A
Fenopropfen	Nalfon	3	B	Flupirtine	Katadolone	3	A
Fenoterol	Berotec	3	B	Fluprednisolone	Alphadrol	4	C
				Flurandrenolide	Cordran	4	C

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Flurazepam	Dalmane	2	A	Heptaminol	Corofundol	3	B
Flurbiprofen	Froben	3	B	Heroin		1	A
Fluspirilene	Imap, Redeptin	2	A	Hexafluorenum	Myalexen	2	A
Fluticasone	Flixonase, Flutide	4	C	Hexobarbital	Evipal	2	A
Flutoprazepam	Restas	2	A	Hexocyclium	Tral	4	C
Fluvoxamine	Dumirox, Faverin, etc.	2	A	Hexylcaine	Cyclaine	4	C
Formebolone		3	B	Homatropine	Homapin	3	B
Formoterol	Altram	3	B	Homophenazine	Pelwichthol	2	A
Fosinopril, metabolite, Fosinoprilat	Monopril	3	A	Hydralazine	Apresoline	3	B
Fosphenytoin	Cerebyx	3	B	Hydrochlorothiazide	Hydrodiuril	4	B
Furazabol		3	B	Hydrocodone (dihydrocodienone)	Hycodan	1	A
Furosemide	Lasix	N/A		Hydrocortisone (Cortisol)	Cortef, etc.	4	C
Gabapentin	Neurontin	3	A	Hydroflumethiazide	Saluron	4	B
Galantamine	Reminyl	2	A	Hydromorphone	Dilauid	1	A
Gallamine	Flaxedil	2	A	Hydroxyamphetamine	Paradrine	1	A
Gepirone		2	A	4-Hydroxytestosterone		3	B
Gestrirone		3	A	Hydroxyzine	Atarax	2	B
Glutethimide	Doriden	2	A	lbornal	Noctal	2	A
Glycopyrrrolate	Robihul	3	B	lbutirofen	Motrin, Advil, Nurpin, etc.	4	C
Guafenesin (glycerol guiacolate)	Gecolate	4	C	lbutilide	Corvert	3	B
Guanadrel	Hylotrel	3	A	lloprost	Ventavis	3	A
Guanethidine	Ismelin	3	A	lmpiramine	Imavate, Presamine, Tofranil	2	A
Guanabenz	Wyfensin	3	B	lndomethacin	Indocin	3	B
Halazepam	Paxipam	2	A	lntfliximab	Remicade	4	B
Halcinonide	Halog	4	C	lpratropium		3	B
Halobetasol	Ultravate	4	C	lrbesarten	Avapro	3	A
Haloperidol	Haldol	2	A	lrisapirone		2	A
Haloxazolam	Somelin	2	A	lsocarboxazid	Marplan	2	A
Hemoglobin glutamers	Oxyglobin Hemopure	2	A	lsoetharine	Bronkosol	3	B
		2	A	lsoflupredone	Predef	4	C

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Isomethadone		2	A	Loratidine	Claritin	4	B
Isomethoprene	Octin, Octon	4	B	Lorazepam	Ativan	2	A
Isopropamide	Darbid	4	B	Lormetazepam	Noctamid	2	A
Isoproterenol	Isoprel	2	A	Losartan	Hyzaar	3	B
Isosorbide dinitrate	Isordil	3	B	Loxapine	Laxitane	2	A
Isoxicam	Maxicam	2	A	Mabuterol		3	A
Isoxsuprine	Vasodilan	4	C	Maprotiline	Ludiomil	2	A
Isradipine	DynaCirc	4	B	Mazindol	Sanorex	1	A
Kebuzone		3	B	Mebutamate	Axten, Dormate, Capla	2	A
Ketamine	Ketalar, Ketaset, Vetalar	2	B	Mecamylamine	Inversine	3	B
Ketazolam	Anxon, Latfram, Solatran, Lotfran	2	A	Mecizine	Antivert, Bonine	4	B
Ketoprofen	Orudis	4	C*	Meclofenamic acid	Arquel	4	C
Ketorolac	Toradol	3	A	Meclofenoxate	Lucidril, etc.	2	A
Labeltalol	Normodyne	3	B	Medazepam	Nobrium, etc.	2	A
Lamotrigine	Lamictal	3	A	Medetomidine	Dornitor	3	B
Lansoprazole		5	D	Medysone	Medriusar, etc.	4	C
Lenperone	Elanone-V	2	A	Metenamic acid	Ponstel	3	B
Letosteine	Viscotol, Visiotal	4	C	Meloxicam	Mobic	4	B
Levamisole		2	B	Melperone	Eunerpan	2	A
Levobunolol	Betagan	3	B	Memantine	Namenda	2	A
Levomethorphan		2	A	Meparfynol	Oblivon	2	A
Levorphanol	Levo-Dremoran	1	A	Mepazine	Pacatal	2	A
Lidocaine	Xylocaine	2	B	Mepenzolate	Cantil	3	A
Lisinopril	Prinivil, Zestril	3	A	Meperidine	Demerol	1	A
Lithium	Lithizine, Duralith, etc.	2	A	Mephenesin	Tolserol	4	B
Lobeline		2	A	Mephenoxalone	Control, etc.	2	A
Lofentanil		1	A	Mephentermine	Wyamine	1	A
Loflazepate, Ethyl	Victan	2	A	Mephenytoin	Mesantoin	2	A
Loperamide	Imodium	2	A	Mephobarbital	Mebaral	2	A
Loprazolam	Dommonort, Havlane	2	A	Methylphenobarbital			
				Mepivacaine	Carbocaine	2	B

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Meprobarbiate	Equanil, Miltown	2	A	Methocarbamol	Robaxin	4	B
Meralluride	Mercurhydrin	4	B	Methohexital	Brevital	2	A
Methaphen	Novasural	4	B	Methotrexate	Folex, Nexate, etc.	4	B
Mercaptopmerin	Thiomerin	4	B	Methotrimeprazine	Levoprome, Neurocil, etc.	2	A
Mercumallin	Cumerillin	4	B	Methoxamine	Vasoxyl	3	A
Mersalyl	Salyrgan	4	B	Methoxyphenamine	Orthoxide	3	A
Mesalamine	Asacol	5	C	Methscopolamine	Pamine	4	B
Mesoridazine	Sereniti	2	A	Methsuximide	Celontin	3	A
Mestanolone		3	B	Methylatropine		3	B
Mesterolone		3	B	Methylchlorthiazide	Enduron	4	B
Metaclozepam	Talis	2	A	Methyldienolone		3	B
Metaproterenol	Alupent, Metaprel	3	B	Methyldopa	Aldomet	3	A
Metaraminol	Aramine	1	A	Methylergonovine	Methergine	4	C
Metaxalone	Skelaxin	4	B	Methylnortestosterone		3	B
Metazocine		2	A	Methyphenidate	Ritalin	1	A
Metenolone		3	B	Methylprednisolone	Medrol	4	C
Methachloline		3	A	Methyltestosterone	Metandren	3	A
Methadone	Dolophine	1	A	Methyl-1-testosterone		3	A
Methamphetamine	Desoxy	1	A	Methyprylon	Noludar	2	A
Methandienone		3	B	Methysergide	Sansert	4	B
Methandriol	Proboloc	3	B	Metamide		4	B
Methandrostenolone	Dianabol	3	A	Metoclopramide	Reglan	4	C
Methanthelaine	Banthine	3	B	Metocurine	Metubine	2	A
Methapyrilene	Histadyl, etc.	4	B	Metolazone		3	B
Methaqualone	Quaalude	1	A	Metomidate	Hypnodil	2	A
Metharbital	Gemronil	2	A	Metopon (methyldiylromorphinone)		1	A
Methastertone		3	A	Metoprolol	Lopressor	3	B
Methazolamide	Naplazane	4	C	Mexazolam	Melex	2	A
Methcathinone		1	A	Mexilitine	Mexilil	4	B
Methdilazine	Tacaryl	4	B	Mibefradil	Posicor	3	B
Methixene	Trest	3	A				

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Mibolterone		3	B	Nefazodone	Serzone	2	A
Midazolam	Versed	2	A	Nefopam		3	A
Midodrine	Pro-Amiline	3	B	Neostigmine	Prostigmine	3	B
Milrinone		4	B	Nicardipine	Cardine	4	B
Minoxidil	Loniten	3	B	Nifedipine	Procardia	4	B
Mirtazepine	Remeron	2	A	Niflumic acid	Nifluril	3	B
Misoprostel	Cytotec	5	C	Nikethamide	Coramine	1	A
Mivacurium	Mivacron	2	A	Nimesulide		3	B
Modafinil	Provigil	2	A	Nimetazepam	Erimin	2	A
Moexipril (metabolite, moexiprilat)	Uniretic	3	B	Nimodipine	Nemotop	4	B
Molindone	Moban	2	A	Nitrazepam	Mogadon	2	A
Mometasone	Elocon	4	C	Nitroglycerin		3	B
Montelukast	Singular	4	C	Nizatidine	Axid	5	C
Moperone	Luvatren	2	A	19-Norandrostediol		3	B
Morphine		1	B	19-Norandrostedione		3	B
Mosaprimine		2	A	Norbolethone		3	B
Muscarine		3	A	Norclostebol		3	B
Nabumetone	Anthraxan, Relafen, Relifex	3	A	Nordiazepam	Calmday, Nordaz, etc.	2	A
Nadol	Corgard	3	B	Norepinephrine		2	A
Naepaine	Amylsine	4	C	Norethandrolone		3	A
Nalbuphine	Nubain	2	A	Norestosterone		4	C
Nalorphine	Nalline, Lethidrone	2	A	Nortriptyline	Aventyl, Parnelor	2	A
Naloxone	Narcan	3	A	Nyldrine	Artidin	3	A
Naltrexone	Revia	3		Olanzapine	Zyprexa	2	A
Nandrolone	Nandrolin, Laurabolin, Durabolin	3	B	Olmasetan	Benicar	3	A
Naphazoline	Privine	4	B	Olsalazine	Dipentum	4	B
Naproxen	Equiproxen, Naprosyn	4	C	Omeprazole	Prilosec, Losec	5	D
Naratriptan	Amerge	3	B	Orphenadine	Nortflex	4	B
Nebivolol		3	A	Oxabolone		3	B
Nedocromil	Tilade	5	C	Oxandrolone	Anavar	3	B
				Oxaprozin	Daypro, Deflam	4	C
				Oxazepam	Serax	2	A

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Oxazolam	Serenal	2	A	Perfluorodecahydronaphthalene		2	A
Oxcarbazepine	Trileptal	3	A	Perfluorooctylbromide		2	A
Oxlofrine (hydroxyephedrine)		2	A	Perfluorotripropylamine		2	A
Oxprenolol	Trasacor	3	B	Perfluorocarbons		2	A
Oxycodone	Percodan	1	A	Periciazine	Alodept, etc.	2	A
Oxymesterone		3	B	Perindopril	Biprel	3	A
Oxymetazoline	Afrin	4	B	Peritapine	Hypnodin	2	A
Oxymetholone	Adroyd, Anadrol	3	B	Perphenazine	Trilafon	2	A
Oxymorphone	Numorphan	1	A	Phenacemide	Phenurone	4	B
Oxyperitine	Forti, Integrin	2	A	Phenaglycodol	Acalo, Alcamid, etc.	2	A
Oxyphenbutazone	Tandearil	4	C	Phenazocine	Narphen	1	A
Oxyphenyclimine	Daricon	4	B	Phencyclidine (PCP)	Sernylan	1	A
Oxyphenonium	Antrenyl	4	B	Phendimetrazine	Bontril, etc.	1	A
Paliperidone		2	A	Phenelzine	Nardelzine, Nardil	2	A
Pancuronium	Pavulon	2	A	Phenindione	Hedulin	5	C
Pantoprazole	Protonix	5	D	Phenmetrazine	Preludin	1	A
Papaverine	Pavagen, etc.	3	A	Phenobarbital	Luminal	2	A
Paraldehyde	Paral	2	A	Phenoxybenzamine	Dibenzyline	3	B
Paramethadione	Paradione	3	A	Phenprocoumon	Liquamar	5	C
Paramethasone	Haldrone	4	C	Phensuximide	Milonin	4	B
Pargyline	Eutonyl	3	A	Phentemine	Iomarnin	2	A
Paroxetine	Paxil, Seroxat	2	A	Phentolamine	Regitine	3	B
Pemoline	Cylert	1	A	Phenylbutazone	Butazolidin	4	C*
Penbutolol	Levatol	3	B	Phenylephrine	Isophrin, Neo-Synephrine	3	B
Penfluridol	Cyperon	2	A	Phenylpropranolamine	Propadrine	3	B
Pentaerythritol tetranitrate	Duotrate	3	A	Phenytolol	Dilantin	4	B
Pentazocine	Talwin	3	B	Physostigmine	Eserine	3	B
Pentobarbital	Nembutal	2	A	Picrotoxin		1	A
Pentoxyfylline	Trental, Vazofrin	4	C	Pimindoline	Alvodine, Cimadon	2	A
Pentylenetetrazol	Metrazol, Nioric	1	A	Pimozide	Orap	2	A
Perazine	Taxilan	2	A	Pinazepam	Domar	2	A
Perfluorodecolin		2	A	Pindolol	Viskin	3	A

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Pipamperone	Dipiperon	2	A	Propanteline	Pro-Banthine	3	A
Pipecuronium	Arduan	2	A	Proparacaine	Ophthaine	4	C
Pipequaline		2	A	Propentophylline	Karsivan	3	B
Piperacetazine	Psymod, Quidé	2	A	Propiomazine	Largon	2	A
Piperocaine	Metycaine	2	A	Propionylpromazine	Tranvet	2	A
Pipotiazine	Lonseren, Pipotil	2	A	Propiram		2	A
Pipradol	Dataril, Gerondyl, etc.	2	A	Propofol	Diprivan, Disoprivan	2	A
Piquindone		2	A	Propoxycaïne	Ravocaine	2	A
Pirbuterol	Maxair	3	A	Propranolol	Inderal	3	B
Pirenzapine	Gastrozepin	5	C	Propylhexedrine	Benzedrex	4	B
Piretanide	Arelix, Tauliz	3	B	Prostanazol		3	B
Piritramide		1	A	Prothipendyl	Dominal	2	A
Piroxicam	Feldene	3	B	Protokylol	Ventaire	3	A
Polyethylene glycol		5	C	Protriptyline	Concordin, Triptil	2	A
Polythiazide	Renese	4	B	Proxibarital	Axeen, Centralgol	2	A
Pramoxine	Tronothaine	4	C	Pseudoephedrine	Cenafed, Novafed	3	B
Prazepam	Verstran, Centrax	2	A	Pyridostigmine	Mestinon, Regonol	3	B
Prazosin	Minipress	3	B	Pyrilamine	Neantergan, Equihist	3	B
Prednisolone	Delta-Cortef, etc.	4	C	Pyrrhyldione	Hybersulfan, Sonodor	2	A
Prednisone	Meticorten, etc.	4	C	Quazipam	Doral	2	A
Prilocaine	Citanest	2	C	Quetiapine	Seroquel	2	A
Prinidone	Mysoline	3	B	Quinbolone		3	B
Probenecid		4	C	Quinapril, metabolite	Accupril	3	A
Procainamide	Pronestyl	4	B	Quinidine	Quinidex, Quinocardine	4	B
Procaine		3	B	Rabeprazole	Aciphex	5	D
Procaterol	Pro Air	3	A	Racemethorphan		2	A
Prochlorperazine	Darbazine, Compazine	2	A	Racemophan		2	A
Procyclidine	Kemadrin	3	B	Raclopride		2	A
Promazine	Sparine	3	B	Ractopamine	Raylean	2	A
Promethazine	Phenergan	3	B	Ramipril, metabolite	Altace	3	A
Propafenone	Rythmol	4	B	Ranitidine	Zantac	5	D
Propranidid		2	A	Remifentanil	Ultiva	1	A

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Remoxipride	Roxiam	2	A	Succinylcholine	Sucostrin, Quelin, etc.	2	A
Reserpine	Serpasil	2	A	Sufentanil	Sufenta	1	A
Rilmazafone		2	A	Sulfasalazine	Azulfidine, Azaline	4	C
Risperidone		2	A	Sulfondiethylmethane		2	A
Ritanserin		2	A	Sulfonmethane		2	A
Ritodrine	Yutopar	3	B	Sulfondazine	Inofal	2	A
Rivastigmine	Exelon	3	B	Sulindac	Clinoril	3	A
RizatRIPTAN	Maxalt	3	B	Sulpride	Aiglonyl, Sulpritiil	2	A
Rocuronium	Zemuron	2	A	Sultopride	Barnetil	2	A
Rofecoxib	Vioxx	2	A	Sumatriptan	Imitrex	3	B
Romifidine	Sedivet	2	B	Tadalasil	Cialis	3	A
Ropivacaine	Naropin	2	A	Talbutal	Lotusate	2	A
Salicylamide		4	C	Tandospirone		2	A
Salicylate		4	C	Temisartin	Micardis	3	B
Salmeterol		3	B	Temazepam	Restoril	2	A
Scopolamine (Hyoscine)	Triptone	3	B	Tenoxicam	Alganex, etc.	3	B
Secobarbital (Quinalbarbitone)	Secoral	2	A	Tepoxalin		3	B
Selegiline	Eldepryl, Jumex, etc.	2	A	Terazosin	Hytrin	3	A
Sertraline	Lustral, Zoloft	2	A	Terbutaline	Brethine, Bricanyl	3	B
Sibutramine	Meridia	3	B	Terenadine	Seldane, Triludan	4	B
Sildenafil	Viagra	3	A	Testolactone	Testlac	3	B
Snake Venoms		2	A	Testosterone		3	B
Somatropin	Nutropin	2	A	Tetrabenazine	Nitomax	2	A
Somatrem	Protropin	2	A	Tetracaine	Pontocaine	2	A
Sotalol	Betapace, Sotacor	3	B	Tetrahydrogestrinone		3	A
Spiclomazine		2	A	Tetrahydrozoline	Tyzine	4	B
Spiperone		2	A	Tetrazepam	Musarl, Myolastin	2	A
Spirapril, metabolite Spiraprilat	Renomax	3	A	Thebaine		2	A
Spiroinalactone	Aldactone	4	B	Theobromine		4	B
Stanozolol	Winstrol-V	3	B	Theophylline	Aqualphylin, etc.	3	B
Stenbolone		3	B	Thalbarbital	Kemithal	2	A
Strychnine		1	B	Thiamylal	Surital	2	A



# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Thiethylperazine	Torecan	2	A	Trichloroethanol		2	A
Thiopental	Pentothal	2	A	Trichloroethylene	Trilene, Trimar	2	A
Thiopropazate	Dartal	2	A	Triclofos	Triclos	2	A
Thiopropazine	Majeptil	2	A	Tridhexethyl	Pathilon	4	B
Thioridazine	Mellaril	2	A	Trifluomepazine	Noctran	2	A
Thiosalicylate		4	C	Trifluoperazine	Stelazine	2	A
Thiothixene	Navane	2	A	Trifluoperidol	Triperidol	2	A
Thiphenamil	Trocinate	4	B	Triflupromazine	Velame, Vesprin	2	A
Tiapride	Italprid, Luxoben, etc.	2	A	Trihexyphenidyl	Artane	3	A
Tiaprofenic acid	Surgam	3	B	Trimepazine	Temaril	4	B
Tiletamine	Component of Telazol	2	A	Trimethadione	Tridione	3	B
Timiperone	Tolopelon	2	A	Trimethaphan	Arfonad	3	A
Timolol	Blocardrin	3	B	Trimipramine	Surmontil	2	A
Tocainide	Tonocard	4	B	Tripelennamine	PBZ	3	B
Tofisopam	Grandaxain, Seriel	2	A	Tripolidine	Actidil	4	B
Tolazoline	Priscoline	3	B	Tubocurarine (Curare)	Metubin	2	A
Tolmetin	Tolectin	3	B	Tybamate	Benvil, Nospan, etc.	2	A
Topiramate	Topamax	2	A	Urethane		2	A
Torsemide (Torasemide)	Demadex	3	A	Valdecocixib		2	A
Tramadol	Ultram	2	A	Valerenic acid		3	A
Trandolapril (and metabolite, trandolaprilat)	Tarka	3	B	Vahnoctamide	Nirvanyl	2	A
Tranexamic acid		4	C	Valsartan	Diovan	3	B
Tranylcypromine	Parnate	2	A	Vardenafil	Levitra	3	A
Trazodone	Desyrel	2	A	Vedaprofen		4	B
Trenbolone	Finoplix	3	B	Venlafaxine	Effexor	2	A
Tretoquinol	Inolin	2	A	Verallipride	Accional, Verallipril	2	A
Triamcinolone	Vertalog, etc.	4	C	Verapamil	Calan, Isoptin	4	B
Triamterene	Dyrenium	4	B	Vercuronium	Norcuron	2	A
Triazolam	Halcion	2	A	Viloxazine	Catatrol, Vivalan, etc.	2	A
Tribrommethanol		2	A	Vinbarbital	Delvinol	2	A
Tricaine methanesulfonate	Firiquel	2	A	Vinylbital	Optanox, Speda	2	A
Trichloromethazide	Naqua, Naquasone	4	C	Warfarin	Coumadin, Coufarin	5	C

# Alphabetical List

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Xylazine	Rompun, Bay Va 1470	3	B				
Xylometazoline	Otrivin	4	B				
Yohimbine		2	A				
Zafitukast	Accolate	4	C				
Zaleplon	Sonata	2	A				
Zeranol	Ralgro	4	C				
Ziconotide		1	A				
Zileuton	Zyflo	4	C				
Ziprasidone	Geoden	2	A				
Zolazepam		2	A				
Zolmitriptan	Zomig	3	B				
Zolpidem	Ambien, Stilnox	2	A				
Zomepirac	Zomax	2	A				
Zonisamide	Zonegran	3	B				
Zopiclone	Imovan	2	A				
Zotepine	Lodopin	2	A				
Zuclopenthixol	Ciatyl, Cesordinol	2	A				
Δ-1-androstene-3, 17-diol		3	A				
Δ-1-androstene-3, 17-dione		3	A				
Δ-1-dihydrotestosterone		3	A				

## Listing By Classification

**Class 1:** Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) Schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids, psychoactive drugs, all DEA Schedule 1\* substances (see <http://www.usdoj.gov/dea/pubs/scheduling.html>), amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Alfentanil	Alfenta	1	A	Levorphanol	Levo-Dremoran	1	A
Amphetamine		1	A	Lofentanil		1	A
Anileridine	Leritine	1	A	Mazindol	Sanorex	1	A
Apomorphine		1	A	Meperidine	Demerol	1	A
Benzylpiperazine (BZP)		1	A	Mephentermine	Wyamine	1	A
Carfentanil		1	A	Metaraminol	Aramine	1	A
Cathinone		1	A	Methadone	Dolophine	1	A
a-Cobratoxin		1	A	Methamphetamine	Desoxyn	1	A
Cocaine		1	B	Methaqualone	Quaalude	1	A
Codeine		1	A	Methcathinone		1	A
DEA Schedule 1 (all)*				Methylphenidate	Ritalin	1	A
Dextromoramide	Palfium, Narcoio	1	A	Metopon (methylindinromorphinone)		1	A
Diamorphine		1	A	Morphine		1	B
Donepezil	Aricept	1	A	Nikethamide	Coramine	1	A
Endorphins		1	A	Oxycodone	Percodan	1	A
Enkephalins		1	A	Oxymorphone	Numorphan	1	A
Ethylmorphine	Dionin	1	A	Pemoline	Cylert	1	A
Etorphine HCl	M99	1	A	Pentylenetetrazol	Metrazol, Nitoric	1	A
Fentanyl	Sublimaze	1	A	Phenazocine	Narphen	1	A
Heroin		1	A	Phencyclidine (PCP)	Sernylan	1	A
Hydrocodone (dihydrocodienone)	Hydrocane	1	A	Phendimetrazine	Bontril, etc.	1	A
Hydromorphone	Dilaudid	1	A	Phenmetrazine	Preludin	1	A
Hydroxyamphetamine	Paraldrine	1	A	Picrotoxin		1	A
				Piritramide		1	A

## Listing By Classification

**Class I:** Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) Schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids, psychoactive drugs, all DEA Schedule I \* substances (see <http://www.usdoj.gov/dea/pubs/scheduling.html>), amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol.

Drug	Trade Name(s)	Class	Penalty
Remifentanyl	Ultiva	1	A
Strychnine		1	B
Sufentanil	Sufenta	1	A
Ziconotide		1	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Acetabromal		2	A	Benzactizine	Deprol, Bronchodiletten	2	A
Acetophenazine	Tindal	2	A	Benzocetamine		2	A
Adinazolam		2	A	Benzodiazepines		2	A
Alclofenac		2	A	Benzphetamine	Didrex	2	A
Alcuronium	Alloferin	2	A	Benztropine	Cogentin	2	A
Alphaprodine	Nisentil	2	A	Birperone		2	A
Alpidem	Anaxyl	2	A	Brimonidine		2	A
Alprazolam	Xanax	2	A	Bromazepam	Lexotan, Lectopam	2	A
Althesin	Saffan	2	A	Bromisovalum	Diffucord, etc.	2	A
Amisulpride	Solian	2	A	Bromocriptine	Parlodel	2	A
Amtripyline	Elavil, Amitril, Endep	2	A	Bromperidol	Bromidol	2	A
Amobarbital	Amytal	2	A	Brotizolam	Protocol	2	A
Amoxapine	Asendin	2	A	Bupivacaine	Marcaine	2	A
Amperozide		2	A	Buprenorphine	Temgesic	2	A
Anilopam	Anisine	2	A	Bupirone	Buspar	2	A
Aprobarbital	Alurate	2	A	Buspropion	Wellbutrin	2	A
Articaine	Septocaine, Ultracaine, etc.	2	A	Butabarbital	Butacaps, Butasol, etc.	2	A
Atomoxetine	Strattera	2	A	Butalbital (Tabutal)	Florinal	2	A
Atracurium	Tracrium	2	A	Butanilcaine	Hostacain	2	A
Azacylonol	Frenque	2	A	Butaperazine	Repoise	2	A
Azaperone	Stresnil, Suicalm, Fentaz	2	A	Butoctamide	Listomin	2	A
Barbital	Veronal	2	A	Caffeine		2	B
Barbiturates		2	A	Camazepam	Paxor	2	A
Bemegride	Megimide, Mikedimide	2	A	Captodiamine	Covatine	2	A
Benoxaprofen		2	A	Carbidopa + levodopa	Sinemet	2	A
Benperidol	Anquil	2	A	Carbromol	Mifudorm	2	A
Bentazepam	Tiadipona	2	A	Carisoprodol	Soma, Rela	2	B

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Carphenazine	Prokeltazine	2	A	Corticaine	Ultracain	2	A
Caripramine	Prazinil	2	A	Crotetamide		2	A
Carticaine (see articaine)	Septocaine, Ultracaine, etc.	2	A	Cyamemazine	Tercian	2	A
Chloralose (Alpha-Chloralose)		2	A	Cyclobarbital	Phanodorm	2	A
Chloral betaine	Beta-Chlor	2	A	Darbeoetin	Aranesp	2	A
Chloral hydrate	Nactec, Oridrate, etc.	2	A	Decamethonium	Syncurine	2	A
Chloraldehyde (chloral)		2	A	Demoxepam		2	A
Chlordiazepoxide	Librium	2	A	Desipramine	Norpromine, Pertofrane	2	A
Chlormezanone	Trancopal	2	A	Dezocine	Dalgan®	2	A
Chloroform		2	A	Diazepam	Valium	2	B
Chlorhexidol		2	A	Dichloralphenazone	Eberdol, Isocom	2	A
Chlorprocaine	Nesacaine	2	A	Diethylpropion	Teparil, etc.	2	A
Chlorpropethazine	Newplege	2	A	Diethylthiambutene	Thermalon	2	A
Chlorpromazine	Thorazine, Largactil	2	A	Dihydrocodeine	Parcodin	2	A
Chlorprothixene	Taractan	2	A	Dilorzepam	Briantum	2	A
Citalopram	Celex	2	A	Diprenorphine	M50/50	2	A
Clobazam	Urbanyl	2	A	Dixyrazine	Esucos	2	A
Clocapramine		2	A	Dopamine	Intropin	2	A
Clomethiazole		2	A	Doxacurium	Nuromax	2	A
Clomipramine	Anafranil	2	A	Doxapram	Dopram	2	A
Clonazepam	Klonopin	2	A	Doxefazepam	Doxans	2	A
Clorazepate	Tranxene	2	A	Doxepin	Adapin, Sinequan	2	A
Clothiapine	Entermim	2	A	Droperidol	Inapsine, Droleptan,	2	A
Clotiazepam	Trecalmo, Rize	2	A	Duloxetine		2	A
Cloxacolam	Enadel, Sepazon, Tolestan	2	A	Enciprazine		2	A
Clozapine	Clozaril, Leponex	2	A	Ephedrine		2	A
Conorphone		2	A	Ephatidine		2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Epinephrine		2	A	Fluoxetine	Prozac	2	A
Ergoloid Mesylates (dihydroergocornine mesylate, dihydroergocristine mesylate and dihydroergocryptine mesylate)	Hydergine	2	A	Flupenthixol	Depixol, Fluaxol	2	A
Erythropoietin (EPO)	Epoegen, Procrit, etc.	2	A	Fluphenazine	Prolixin, Permittil, Anatsensol,	2	A
Estazolam	Domnamid, Eurodin, Nuctalon	2	A	Flurazepam	Dalmane	2	A
Ethamivan		2	A	Fluspirilene	Imap, Redeptin	2	A
Ethanol		2	A	Flutoprazepam	Restas	2	A
Ethchlorvynol	Placidyl	2	A	Fluvoxamine	Dumirox, Faverin, etc.	2	A
Ethinamate	Valmid	2	A	Galantamine	Reminyl	2	A
Ethopropazine	Parsidol	2	A	Gallamine	Flaxedi	2	A
Ethylisobutrazine	Diquel	2	A	Geprone		2	A
Etidocaine	Duranest	2	A	Glutethimide	Doriden	2	A
Etifoxin	Stresam	2	A	Halazepam	Paxipam	2	A
Etizolam	Depas, Pasaden	2	A	Haloperidol	Haldol	2	A
Etodroxizine	Indunox	2	A	Haloxazolam	Somelin	2	A
Etomidate		2	A	Hemoglobin glutamers	Oxyglobin, Hemopure	2	A
Fenarbamate	Tymium	2	A	Hexafluorenum	Myalexen	2	A
Fenclozic Acid	Cincopal	2	A	Hexobarbital	Evipal	2	A
Fenfluramine	Pondimin	2	A	Homophenazine	Pelvichthol	2	A
Fluanisone	Sedalande	2	A	Hydroxyzine	Atarax	2	B
Fludiazepam	Erispam	2	A	Ibomal	Noctal	2	A
Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodom	2	A	Imipramine	Imavate, Presamine,	2	A
Fluopromazine	Psyquil, Siquil	2	A	Isapirone		2	A
Fluoresone	Caducid	2	A	Isocarboxazid	Marplan	2	A
				Isomethadone		2	A
				Isoproterenol	Isoprel	2	A
				Isoxicam	Maxicam	2	A
				Ketamine	Ketalar, Ketaset, Vetalar	2	B

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Ketazolam	Anxon, Lafram, Solatran, Lofran	2	A	Mesoridazine	Sereniti	2	A
Lenperone	Elanone-V	2	A	Metaclozepam	Talis	2	A
Levamisole		2	B	Metazocine		2	A
Levomethorphan		2	A	Metharbital	Gemonil	2	A
Lidocaine	Xylocaine	2	B	Methohexital	Brevital	2	A
Lithium	Lithizine, Duralith, etc.	2	A	Methotrimeprazine	Levoprome, Neurocil, etc.	2	A
Lobeline		2	A	Methyprylon	Noludar	2	A
Loflazepate, Ethyl	Victan	2	A	Metocurine	Metubine	2	A
Loperamide	Imodium	2	A	Metomidate	Hypnodil	2	A
Loprazolam	Dormonort, Havlane	2	A	Mexazolam	Melex	2	A
Lorazepam	Ativan	2	A	Midazolam	Versed	2	A
Lormetazepam	Noctamid	2	A	Mirtazepine	Remeron	2	A
Loxapine	Laxitane	2	A	Mivacurium	Mivacron	2	A
Maprotiline	Ludiomil	2	A	Modafinil	Provigil	2	A
Mebutamate	Axiten, Dormate, Capla	2	A	Molindone	Moban	2	A
Meclofenoxate	Lucidril, etc.	2	A	Moperone	Lvatren	2	A
Medazepam	Nobrium, etc.	2	A	Mosaprimine		2	A
Melperone	Eunerpan	2	A	Nalbuphine	Nubain	2	A
Memantine	Namenda	2	A	Nalorphine	Nalline, Lethidrone	2	A
Meparfynol	Oblivon	2	A	Nefazodone	Serzone	2	A
Mepazine	Pacatal	2	A	Nimetazepam	Erimin	2	A
Mephenoxalone	Control, etc.	2	A	Nitrazepam	Mogadon	2	A
Mephentanyl	Mesantoin	2	A	Nordiazepam	Calmday, Nordaz, etc.	2	A
Mephobarbital	Mebaral	2	A	Norepinephrine		2	A
Mepivacaine	Carbocaine	2	B	Nortriptyline	Aventyl, Pamelor	2	A
Meprobamate	Equanil, Miltown	2	A	Olanzapine	Zyprexa	2	A
				Oxazepam	Serax	2	A



## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Oxazolam	Serenal	2	A	Pipequaline		2	A
Oxiofrine (hydroxyephedrine)		2	A	Piperacetazine	Psymod, Guide	2	A
Oxyperitine	Fort, Integrin	2	A	Piperocaine	Melycaine	2	A
Paliperidone		2	A	Pipotiazine	Lonseren, Piportil	2	A
Pancuronium	Pavulon	2	A	Pipradrol	Dataril, Gerondyl, etc.	2	A
Paraldehyde	Paral	2	A	Piquindone		2	A
Paroxetine	Paxil, Seroxat	2	A	Prazeepam	Verstran, Centrax	2	A
Perfluridol	Cyperon	2	A	Prilocaine	Citanest	2	A
Pentobarbital	Nembutal	2	A	Prochlorperazine	Darbazine, Compazine	2	A
Perazine	Taxilan	2	A	Propranidid		2	A
Perfluorodecillin		2	A	Propiomazine	Largon	2	A
Perfluorodecahydronophthalene		2	A	Propionylpromazine	Tranvet	2	A
Perfluorooctylbromide		2	A	Propiram		2	A
Perfluorotripropylamine		2	A	Propofol	Diprivan, Disoprivan	2	A
Perfluorocarbons		2	A	Propoxycaïne	Ravocaine	2	A
Periclazine	Alodept, etc.	2	A	Prothipendyl	Dominal	2	A
Perlapine	Hypnodin	2	A	Protriptyline	Concordin, Triptil	2	A
Perphenazine	Trilafon	2	A	Proxibarbital	Axeen, Centralgol	2	A
Phenaglycodol	Acalo, Alcamid, etc.	2	A	Pyrrithyldione	Hybersulfan, Sonodor	2	A
Phenelzine	Nardelzine, Nardil	2	A	Quazipam	Doral	2	A
Phenobarbital	Luminal	2	A	Quetiapine	Seroquel	2	A
Phentermine	Iomamin	2	A	Racemethorphan		2	A
Piminodine	Alvodine, Cimadon	2	A	Racemorphan		2	A
Pimozide	Orap	2	A	Raclopride		2	A
Pinazepam	Domar	2	A	Ractopamine	Raylean	2	A
Pipamperone	Dipiperon	2	A	Remoxipride	Roxiam	2	A
Pipecuronium	Arduan	2	A	Reserpine	Serpasil	2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Rilmazafone		2	A	Tetrazepam	Musaril, Myolastin	2	A
Risperidone		2	A	Thebaine		2	A
Ritanserin		2	A	Thialbarbital	Kemithal	2	A
Rivastigmine	Exelon	2	A	Thiamylal	Surtal	2	A
Rocuronium	Zemuron	2	A	Thiethylperazine	Torecan	2	A
Rofecoxib	Vioxx	2	A	Thiopental	Pentothal	2	A
Romifidine	Sedivet	2	B	Thiopropazate	Dartal	2	A
Ropivacaine	Naropin	2	A	Thiopropazine	Majeptil	2	A
Secobarbital	Seconal	2	A	Thioridazine	Mellaril	2	A
Selegiline	Elderyl, Jumex	2	A	Thiothixene	Navane	2	A
Setraline	Lustral, Zolof	2	A	Tiapride	Italprid, Luxoben, etc.	2	A
Snake Venoms		2	A	Tiletamine	Component of Telazol	2	A
Somatrem	Protropin	2	A	Timperone	Tolopelon	2	A
Somatropin	Nutropin	2	A	Tofisopam	Grandaxain, Seriel	2	A
Spiclomazine		2	A	Topirimate	Topamax	2	A
Spiperone		2	A	Tramadol	Ultram	2	A
Succinylcholine	Succostrin, Quelin, etc.	2	A	Tranlycypromine	Parnate	2	A
Sulfondiethylmethane		2	A	Trazodone	Desyrel	2	A
Sulfonmethane		2	A	Tretoquinol	Inolin	2	A
Sulfuridazine	Inofal	2	A	Triazolam	Halcion	2	A
Sulpiride	Algionyl, Sulpiril	2	A	Tribromethanol		2	A
Sulpropride	Barnetil	2	A	Tricaine methanesulfonate	Finquel	2	A
Talbutal	Lotusate	2	A	Trichloroethanol		2	A
Tandospirone		2	A	Trichloethylene	Trilene, Trimar	2	A
Temazepam	Restoril	2	A	Triclofos	Triclos	2	A
Tetabenazine	Nitoman	2	A	Trifluomepazine	Nortran	2	A
Tetracaine	Pontocaine	2	A	Trifluoperazine	Stelazine	2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Trifluoperidol	Triperidol	2	A				
Trifluopromazine	Vetame, Vesprin	2	A				
Trimipramine	Surmontil	2	A				
Tubocurarine (Curare)	Metubin	2	A				
Tybamate	Benvil, Nospan, etc.	2	A				
Urethane		2	A				
Valdecoxib		2	A				
Valnoctamide	Nirvanyl	2	A				
Venlafaxine	Efflexor	2	A				
Veralipride	Accional, Veralipril	2	A				
Vercuronium	Norcuron	2	A				
Viloxazine	Catrol, Vivalan, etc.	2	A				
Vinbarbital	Delvinol	2	A				
Vinylbital	Optanox, Speda	2	A				
Yohimbine		2	A				
Zaleplon	Sonata	2	A				
Ziprasidone	Geodon	2	A				
Zolazepam		2	A				
Zolpidem	Ambien, Stilnox	2	A				
Zomepirac	Zomax	2	A				
Zopiclone	Imovan	2	A				
Zotepine	Lodopin	2	A				
Zuclopenthixol	Ciatyl, Cesordinol	2	A				

## Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Penalty Class	Drug	Trade Name(s)	Class	Penalty Class
Acebutolol	Sectral	3	B	Brimonidine	Alphagan	3	B
Acetpromazine	Atrovet, Notensil, PromAce®	3	B	Bromfenac	Duract	3	A
Albuterol (Salbutamol)	Proventil, Ventolin	3	B	Bromodiphenhydramine		3	B
Almotriptan	Axert	3	A	Bufexamac		3	A
Alprenolol		3	A	Bumetanide	Bumex	3	B
Ambenonium	Myrelase, Myeuran	3	B	Butorphanol	Stadol, Torbugesic	3	B
Aminophylline	Aminophyllin, etc.	3	B	N-Butylscopolamine		3	B
Amirtraz	Miltaban	3	A	Calusterone		3	B
Amlodipine	Norvasc	3	A	Candesartan	Atacand	3	B
Amlyl nitrite		3	A	Captopril	Capolen	3	B
Arecoline		3	A	Carazolol	Carbacel, Conduction	3	A
Arformoterol		3	A	Carbachol	Lentin, Doryl	3	B
Atenolol	Tenormin	3	B	Carbamezapine	Tegretol	3	B
Atropine		3	B	Carbinoxamine	Clistin	3	B
Benazeprilat, Benazepril and MC-Tab	Lotensin	3	A	Carteolol	Cartrol	3	B
Betaxolol	Kerlone	3	B	Carvedilol	Coreg	3	B
Bethanidine	Espatal	3	A	Celecoxib	Celebrex	3	B
Biperiden	Akineton	3	A	Cimetrol		3	A
Bisoprolol	Zebeta, Bisobloc, etc.	3	B	Clemastine	Tavist	3	B
Bitolterol	Effectin	3	A	Clenbuterol	Ventipulmin	3	B
Bolasterone		3	A	Clidinium	Quarezan, Clindex, etc.	3	B
Boldenone	Equipoise	3	B	Clonidine	Catapres	3	B
Boldione		3	A	Clostebol		3	B
Bretylium	Bretylol	3	B	Cycandelate	Cyclospasmol	3	A

## Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Cycrimine	Pagitane	3	B	Etamiphylline		3	B
Danazol	Danocrine	3	B	Ethacrynic acid	Edecrin	3	B
Dehydrochloromethyltestosterone		3	B	Ethosuximide	Zarontin	3	A
Deracoxib	Deremaxx	3	B	Ethylestrenol	Maxibolin, Organon	3	B
Desoxymethyltestosterone		3	B	Ethylnorepinephrine	Bronkaphrine	3	A
Detomidine	Dormosedan	3	B	Etodolac	Lodine	3	B
Dextropropoxyphene	Darvon	3	B	Felbamate	Felbatol	3	A
Diazoxide	Proglycem	3	B	Fenbuten	Cincopal	3	B
Diflunisal		3	B	Fenoldopam	Corlopam	3	B
Dimetiline		3	A	Fenopropfen	Nalfon	3	B
Diphenhydramine	Benadryl	3	B	Fenoterol	Berotec	3	B
Dipyridamole	Persantine	3	B	Fenspiride	Respiinde, Respan, etc	3	B
Divalproex	Depakote	3	A	Fentiazac		3	B
Dobutamine	Dobutrex	3	B	Flurbiprofen	Froben	3	B
Doxazosin		3	A	Flufenamic Acid		3	B
Doxylamine	Decapryn	3	B	Fluoxymesterone	Halotestin	3	B
Dromostanolone	Drolban	3	B	Flupirtine	Katadolone	3	A
Dyphylline		3	B	Formebolone		3	B
Edrophonium	Tensilon	3	B	Formoterol	Altram	3	B
Eletripan	Relpax	3	A	Fosinopril, Fosinoprilat	Monopril	3	A
Enalapril (metabolite enalaprilat)	Vasotec	3	B	Fosphenytoin	Cerebryx	3	B
Ergoloid mesylates		3	B	Furazabol		3	B
Ethinyl tetranitrate	Cardilate	3	A	Gabapentin	Neurontin	3	A
Esmolol	Brevibloc	3	B	Gestrinone		3	A
				Glycopyrrolate	Robinul	3	B

### Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Penalty Class	Drug	Trade Name(s)	Class	Penalty Class
Guanadrel	Hylorel	3	A	Metenamic Acid	Ponstel	3	B
Guanethidine	Ismelin	3	A	Mepenzolate	Canfil	3	A
Guanabenz	Wytenzin	3	B	Mestanolone		3	B
Heptaminol	Corofundol	3	B	Mesterolone		3	B
Homatropine	Homapin	3	B	Metaproterenol	Alupent, Metaprel	3	B
Hydralazine	Aprisoline	3	B	Metenolone		3	B
4-Hydroxytestosterone		3	B	Methacholine		3	A
Ibutilide	Corvert	3	B	Methandienone		3	B
Iloprost	Ventavis	3	A	Methandriol	Proboloc	3	B
Indomethacin	Indocin	3	B	Methandrostenolone	Dianabol	3	A
Ipratropium		3	B	Methantheline	Barthine	3	B
Iribesarten	Avapro	3	A	Methasterone		3	A
Isoetharine	Bronkosol	3	B	Methixene	Trest	3	A
Isosorbide dinitrate	Isordil	3	B	Methoxamine	Vasoxyl	3	A
Kebuzone		3	B	Methoxyphenamine	Orthoxide	3	A
Ketorolac	Toradol	3	A	Methsuximide	Celontin	3	A
Labetalol	Normodyne	3	B	Methylatropine		3	B
Lamotrigine	Lamictal	3	A	Methyldienolone		3	B
Levobunolol	Betagan	3	B	Methyldopa	Aldomet	3	A
Lisinopril	Prinivil, Zestril	3	A	Methylnortestosterone		3	B
Losartan	Hyzaar	3	B	Methyltestosterone	Metandren	3	A
Mabuterol		3	A	Methyl-1-testosterone		3	A
Mecamylamine	Inversine	3	B	Metolazone		3	B
Medetomidine	Dormitor	3	B	Metoprolol	Lopressor	3	B
		3	B	Mibefradil	Posicor	3	B

### Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Penalty Class	Drug	Trade Name(s)	Class	Penalty Class
Milbolerone		3	B	Oxandrolone	Anavar	3	B
Mildodrine	Pro-Amiline	3	B	Oxcarbazepine	Trileptal	3	A
Minoxidil	Loniten	3	B	Oxprenolol	Trasacor	3	B
Moexipri (metabolite moexipriat)	Uniretic	3	B	Oxymesterone		3	B
Muscarine		3	A	Oxymetholone	Adroyd, Anadrol	3	B
Nabumetone	Anthraxan, Relafen, Reliflex	3	A	Papaverine	Pavagen, etc.	3	A
Nadolol	Corgard	3	B	Paramethadione	Paradione	3	A
Naloxone	Narcan	3	A	Pargyline	Eutonyl	3	A
Naltrexone	Revia	3	A	Pendutolol	Levatol	3	B
Nandrolone	Nandrolin, Laurabolin, Durabolin	3	B	Pentaerythritol tetranitrate	Duotrate	3	A
Naratriptan	Amerge	3	B	Pentazocine	Talwin	3	B
Nebivolol		3	A	Perindopril	Biprel	3	A
Nefopam		3	A	Phenoxybenzamine	Dibenzyline	3	B
Neostigmine	Prostigmine	3	B	Phentolamine	Regitine	3	B
Niflumic Acid	Nifluril	3	B	Phenylephrine	Isophrin, Neo-Synephrine	3	B
Nimesulide		3	B	Phenylpropanolamine	Propadrine	3	B
Nitroglycerin		3	B	Physostigmine	Eserine	3	B
19-Norandrostenediol		3	B	Pindolol	Viskin	3	A
19-Norandrostenedione		3	B	Pirbuterol	Maxair	3	A
Norbolethone		3	B	Piretanide	Arelix, Tauliz	3	B
Norclostebol		3	B	Piroxicam	Feldene	3	B
Norethandrolone		3	A	Prazosin	Minipress	3	B
Nylidrine	Aridin	3	A	Primidone	Mysoline	3	B
Olmesartan	Benicar	3	A	Procaine		3	B
Oxabolone		3	B				

### Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Penalty Class	Drug	Trade Name(s)	Class	Penalty Class
Proccaterol	Pro Air	3	A	Stenbolone		3	B
Procyclidine	Kemadrin	3	B	Sulindac	Clinoril	3	A
Promazine	Spanine	3	B	Sumatriptan	Imitrex	3	B
Promethazine	Phenergan	3	B	Tadalafil	Cialis	3	A
Propanteline	Pro-Banthine	3	A	Telmisartan	Micardis	3	B
Propentophylline	Karsivan	3	B	Tenoxicam	Alganex, etc.	3	B
Propranolol	Inderal	3	B	Tepoxalin		3	B
Prostanazol		3	B	Terazosin	Hytrin	3	A
Protoktylol	Ventaire	3	A	Terbutaline	Brethine, Bricanyl	3	B
Pseudoephedrine	Cenafed, Novafed	3	B	Testolactone	Testlac	3	B
Pyridostigmine	Mestinon, Regonol	3	B	Testosterone		3	B
Pyrilamine	Neocantergan, Equihist	3	A	Tetrahydrogestrinone		3	B
Quinapril, Quinaprilat	Accupril	3	A	Theophylline	Aqualphylin, etc.	3	A
Quinbolone		3	B	Tiaprofenic Acid	Surgam	3	B
Ramipril, metabolite Ramiprilat	Altace	3	A	Timolol	Blocardin	3	B
Ritodrine	Yutopar	3	B	Tolazoline	Priscoline	3	B
Rizatriptan	Maxalt	3	B	Tolmetin	Tolectin	3	B
Salmeterol		3	B	Torsemide (Torasemide)	Demadex	3	A
Scopolamine (Hyoscine)	Triptone	3	B	Trandolapril (and metabolite, Trandolaprilat)	Tarka	3	B
Sibutramine	Meridia	3	B	Trenbolone	Finoplix	3	B
Sildenafil	Viagra	3	A	Trihexyphenidyl	Artane	3	A
Sotalol	Betapace, Sotacor	3	B	Trimethadione	Tridione	3	B
Spirapril, metabolite Spiraprilat	Renomax	3	A	Trimethaphan	Arfonad	3	A
Stanozolol	Winstrol-V	3	B				



### Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name(s)	Class	Penalty Class	Drug	Trade Name(s)	Class	Penalty Class
Tripelennamine	PBZ	3	B				
Valerenic acid		3	A				
Valsartan	Diovan	3	B				
Vardenafil	Levitra	3	A				
Xylazine	Rompun, Bay Va 1470	3	B				
Zolmitriptan	Zomig	3	B				
Zonisamide	Zonegran	3	B				
Δ-1-androstene-3, 17-diol		3	A				
Δ-1-androstene-3, 17-dione		3	A				
Δ-1-dihydrotestosterone		3	A				

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4	C	Benoxinate	Dorsacaine	4	C
Acetanilid		4	B	Benzocaine		4	B
Acetazolamide	Diamox, Vetamox	4	B	Benzthiazide		4	B
Acetophenetidin (Phenacetin)		4	B	Bepridil	Bepadil	4	B
Acetylsalicylic acid (Aspirin)		4	C	Betamethasone	Betasone, etc.	4	C
Acromethasone	Aclovate	4	C	Bethanechol	Urecholine, Duvoid	4	C
Adrenochrome		4	C	Bromhexine	Oletor, etc.	4	B
monosemicarbazone salicylate		4	B	Brompheniramine	Dimetane, Disomer	4	B
Aldosterone	Aldocortin, Electrococtin	4	B	Budesonide	Pulmacort, Rhinocort	4	B
Ambroxol	Ambrol, etc.	4	B	Butacaine	Butyrn	4	C
Amcinonide	Cyclocort	4	C	Butamben (butyl aminobenzoate)	Butesin	4	C
Amiloride	Moduretic; Midamor	4		Butoxycaïne	Stadacain	4	B
Aminocaproic acid	Amicar, Caprocid	4	C	Camphor		4	C
Aminodarone		4	B	Carprofen	Rimadyl	4	B
2-Aminoheptaine	Tuamine	4	B	Cetirizine	Zyrtec	4	B
Aminopyrine		4	B	Chlormerodrin	Neohydrin	4	B
Amisometradine	Rolicton	4	B	Chlorophenesin	Maolate	4	C
Amlodipine	Norvasc, Amnivin	4	B	Chloroquine	Avlocor	4	C
Amrinone		4	B	Chlorothiazide	Diuril	4	B
Anisotropine	Valpin	4	B	Chlorpheniramine	Chlorfrenon, etc.	4	B
Antipyrene		4	B	Chlorthalidone	Hydroton	4	B
Apazone (Azapropazone)	Rheumox	4	B	Chlorzoxazone	Paraflex	4	B
Aprindine		4	B	Ciclesonide		4	B
Baclofen	Lioresal	4	B	Cinchocaine	Nupercaine	4	C
Beclomethasone	Propaderm	4	C	Clanoubtin		4	B
Benazepril	Lotrel	4	B	Clibucaine	Batrax	4	C
Bendroflumethiazide	Naturetin	4	B	Clobetasol	Temovate	4	C

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Clocortolone	Cloderm	4	C	Dimethisoquin	Quotane	4	B
Clofenamide		4	B	Diphenoxylate	Difenoxin, Lomofil	4	B
Clommecaine	Placacid	4	C	Dipyron	Novin, Methampryone	4	C
Colchicine		4	B	Disopyramide	Norpace	4	B
Cortisone	Cortone, etc.	4	C	Dyclonine	Dyclone	4	C
Cyclizine	Merazine	4	B	Ethenac		4	C
Cyclobenzaprine	Flexeril	4	B	Ergonovine	Ergotrate	4	C
Cyclomethylcaline	Surfacaine	4	C	Ergotamine	Gynergen, Cafergot, etc.	4	B
Cyclothiazide	Anhydron, Renazide	4	B	Etanercept	Enbrel	4	B
Cyproheptadine	Periactin	4	C	Ethioheptazine	Zactane	4	B
Dantrolene	Dantrium	4	C	Ethotoin	Peganone	4	B
Dembroxol (Dembrexine)	Sputolysin	4	C	Ethoxzolamide	Cardrase, Ethamide	4	C
Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostin	4	C	Ethylaminobenzoate (Benzocaine)	Sernets, etc.	4	C
Desonite	Des Owen	4	C	Felodipine	Plendil	4	B
Desoximetasone	Topicort	4	C	Fexofenadine	Allegra	4	C
Dexamethasone	Azium, etc.	4	C	Fircoxib		4	B
Dextromethorphan		4	B	Flecainide	Idalon	4	B
Dibucaine	Nupercainal, Cinchocaine	4	C	Floctafenine	Idalon, Idarac	4	B
Dichlorphenamide	Daramide	4	C	Flucinolone	Synalar, etc.	4	C
Diclofenac	Voltaren, Voltarol	4	C	Fludrocortisone	Alforone, etc.	4	C
Diflorasone	Florone, Maxiflor	4	C	Flumethasone	Flucort, etc.	4	C
Diflucortolone	Flu-Cortinest, etc.	4	C	Flumethiazide	Ademol	4	B
Digitoxin	Crytodiugin	4	B	Flunarizine	Sibelium	4	B
Digoxin	Lanoxin	4	B	Flunisolide	Bronilide, etc.	4	C
Dihydroergotamine		4	B	Flunixin	Banamine	4	C*
Diltiazem	Cardizem	4	B	Fluocinolone	Synalar	4	C

Association of Racing Commissioners International, Inc.  
Uniform Classification Guidelines for Foreign Substances

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Fluocinonide	Licon, Lidex	4	C	Meloxicam	Mobic	4	B
Fluorometholone	FML	4	B	Mephensin	Tolserol	4	B
Fluoroprednisolone	Predef-2X	4	C	Merralluride	Mercuhydrin	4	B
Fluprednisolone	Alphadrol	4	C	Merbaphen	Novasural	4	B
Flurandrenolide	Cordan	4	C	Mercaptoprofenin	Thiomerin	4	B
Fluticasone	Flixonase, Flutide	4	C	Mercumallin	Cumerflin	4	B
Guafenesin (glycerol guiacolate)	Gecolate	4	C	Mersalyl	Salrgan	4	B
Halcinonide	Halog	4	C	Metaxalone	Skelaxin	4	B
Halobetasol	Ultravate	4	C	Methapyrilene	Histadyl, etc.	4	B
Hexocyclium	Tral	4	B	Methazolamide	Naptazane	4	C
Hexylcaine	Cyclaine	4	C	Methdilazine	Tacaryl	4	B
Hydrochlorothiazide	Hydrodiuril	4	B	Methocarbamol	Robaxin	4	B
Hydrocortisone (Cortisol)	Cortef, etc.	4	C	Methotrexate	Folex, Nexate, etc.	4	B
Hydroflumethiazide	Saluron	4	B	Methscopolamine	Pamine	4	B
Ibuprofen	Motrin, Advil, Nurpin, etc.	4	C	Methylchlorothiazide	Enduron	4	B
Infliximab	Remicade	4	B	Methylergonovine	Methergine	4	C
Isoflupredone	Predef	4	C	Methylprednisolone	Medrol	4	C
Isomethoptene	Octin, Octon	4	B	Methysergide	Sansert	4	B
Isopropamide	Darbid	4	B	Metamide		4	B
Isoxsuprine	Vasodilan	4	C	Metoclopramide	Reglan	4	C
Isradipine	DynaCirc	4	B	Mexilitine	Mexlil	4	B
Ketoprofen	Orudis	4	C*	Milrinone		4	B
Letosteine	Viscotol, Visiotal	4	C	Mometasone	Elocon	4	C
Loratidine	Claritin	4	B	Montelukast	Singulair	4	C
Mecizline	Antiver, Bonine	4	B	Naepaine	Amylsine	4	C
Meclofenamic acid	Arquel	4	C	Naphazoline	Privine	4	B
Medrysone	Medriuser, etc.	4	C	Naproxen	Equiproxen, Naprosyn	4	C

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name(s)	Class	Penalty	Drug	Trade Name(s)	Class	Penalty
Nicaripine	Cardine	4	B	Salicylamide		4	C
Nifedipine	Procardia	4	B	Salicylate		4	C
Nimodipine	Nemotop	4	B	Spironolactone	Aldactone	4	B
Nortestosterone		4	C	Sulfasalazine	Azulfidine, Azaline	4	C
Olsalazine	Dipentum	4	B	Terfenadine	Seldane, Triludan	4	B
Orphenadrine	Norfex	4	B	Tetrahydrozoline	Tyzine	4	B
Oxaprozin	Daypro, Deflam	4	C	Theobromine		4	B
Oxymetazoline	Afrin	4	B	Thiosalicylate		4	C
Oxyphenbutazone	Tandearil	4	C	Triphenamyl	Trocinate	4	B
Oxyphencyclimine	Darcon	4	B	Tocainide	Tonocard	4	B
Oxyphenonium	Antrenyl	4	B	Tranexamic acid		4	C
Paramethasone	Haldrone	4	C	Triamcinolone	Vetalog, etc.	4	C
Pentoxyfylline	Trental, Vazofrin	4	C	Triamterene	Dyrenium	4	B
Phenacemide	Phenurone	4	B	Trichlormethiazide	Naqua, Naquasone	4	C
Phensuximide	Milontin	4	B	Tridihexethyl	Pathilon	4	B
Phenylbutazone		4	C*	Trimeprazine	Temaril	4	B
Phenytol	Dilantin	4	B	Tripolidine	Actidil	4	B
Polythiazide	Renese	4	B	Tuaminoheptane	Tuamine	4	C
Pramoxine	Tronothaine	4	C	Vedaprofen		4	B
Prednisolone	Delta-Cortef, etc.	4	C	Verapamil	Calan, Isoplin	4	B
Prednisone	Meticorten, etc.	4	C	Xylometazoline	Otrivin	4	C
Probenecid		4	C	Zafirlukast	Accolate	4	C
Procalnamide	Pronesyl	4	B	Zeranol	Ralgro	4	C
Propafenone	Rythmol	4	B	Zileuton	Zyflo	4	C
Proparacaine	Ophthaine	4	C				
Propylhexedrine	Benzedrex	4	B				
Quinidine	Quinidex, Quinicaidine	4	B				

**Association of Racing Commissioners International, Inc.**  
**Uniform Classification Guidelines for Foreign Substances**

### Listing by Classification

**Class 5:** This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as DMSO and other medications as determined by the regulatory bodies.

**Drug**                      **Trade Name(s)**    **Class**    **Penalty**  
**Class**

Anisindione		5	C
Clostazol	Pletal	5	C
Cimetidine	Tagamet	5	D
Cromolyn	Intel	5	C
Dicumarol	Dicumarol	5	C
Dimethylsulfoxide (DMSO)	Domoso	5	C
Dimethylsulphone (MSM)		5	C
Diphenadione		5	C
Esomeprazole	Nexium	5	D
Famotidine	Gaster, etc.	5	D
Lansoprazole		5	D
Mesalamine	Asacol	5	C
Misoprostel	Cytotec	5	C
Nedocromil	Tilade	5	C
Nizatidine	Axid	5	C
Omeprazole	Prilosec, Losec	5	D
Pantoprazole	Protonix	5	D
Phenindione	Hedulin	5	C
Phenprocoumon	Liquamar	5	C
Pirenzapine	Gastrozepin	5	C
Polyethylene glycol		5	C
Rabeprazole	Aciphex	5	C
Ranitidine	Zantac	5	D
Warfarin	Coumadin, Coufarin	5	C

## Non-Classified Substances

<p><b>Substances that are considered to have no effect on the physiology of a racing animal except to improve nutrition or treat or prevent infections or parasite infestations, are not classified. These Substances normally include antimicrobials, antiparasitic drugs, and nutrients such as vitamins. Examples of such substances include the following:</b></p> <p><b>Sulfonamides and trimethoprim</b></p> <p><b>Antibiotics:</b></p> <ul style="list-style-type: none"> <li>Penicillins</li> <li>Cephalosporins</li> <li>Chloramphenicol</li> <li>Aminoglycosides</li> <li>Tetractckubes</li> <li>Nitrofurans</li> <li>Metronidazole</li> </ul> <p><b>Anthelmintics:</b></p> <ul style="list-style-type: none"> <li>Avermectins</li> <li>Benzimidazoles</li> <li>Piperazines</li> <li>Pyrantel</li> <li>Tetramisole</li> </ul> <p><b>Antifungals</b></p> <p><b>Vitamins:</b></p> <ul style="list-style-type: none"> <li>A, D, E, K, B vitamins</li> <li>Vitamin C</li> </ul> <p><b>Bufotenine</b></p>	<p>2. It is a metabolite of 3-methyl-N-N dimethyltryptamine, found in reed canary grass (and potentially other food source plants). It may be found in the urine of horses eating this grass (and potentially other plant foods), and has been reported as a positive finding.</p> <p>Findings of bufotenine in equine urine should not be considered for regulatory action.</p>
<p>1. It is not commercially available in any form.</p>	

## Recommended Penalties and Model Rule

The following are recommended penalties for violations due to the presence of a drug carrying a Category "A" penalty and for violations of ARCI-011-015: Prohibited Practices:

<b>LICENSED TRAINER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> LIFETIME offense in any jurisdiction</b>	<b>3<sup>rd</sup> LIFETIME offense in any jurisdiction</b>
<ul style="list-style-type: none"> <li>◦ Minimum one-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$10,000 or 10% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$25,000 or 25% of purse (greater of the two).</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ May be referred to the Commission for any further action deemed necessary by the Commission.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum three-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of license revocation with no reapplication for a three-year period.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$25,000 or 25% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$50,000 or 50% of purse (greater of the two).</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ May be referred to the Commission for any further action deemed necessary by the Commission.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum five-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of license revocation with no reapplication for a five-year period.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$50,000 or 50% of total purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% of purse (greater of the two).</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ May be referred to the Commission for any further action deemed necessary by the Commission.</li> </ul>
<b>LICENSED OWNER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> LIFETIME offense in owner's stable in any jurisdiction</b>	<b>3<sup>rd</sup> LIFETIME offense in owner's stable in any jurisdiction</b>
<ul style="list-style-type: none"> <li>◦ Disqualification and loss of purse.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse shall be placed on the veterinarian's list for 90 days and must pass a commission-approved examination before becoming eligible to be entered.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification and loss of purse.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse shall be placed on the veterinarian's list for 120 days and must pass a commission-approved examination before becoming eligible to be entered.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification, loss of purse and \$50,000 fine.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse shall be placed on the veterinarian's list for 180 days and must pass a commission-approved examination before becoming eligible to be entered.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Referral to the Commission with a recommendation of a suspension for a minimum of 90 days.</li> </ul>



## Recommended Penalties and Model Rule

The following are recommended penalties for violations due to the presence of a drug carrying Category "B" penalty, for the presence of more than one NSAID in a plasma/serum sample, subject to the provisions set forth in ARCI-011-020 E.(1)(c) and for violations of the established levels for total carbon dioxide:

<b>LICENSED TRAINER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense (365-day period) in any jurisdiction</b>	<b>3<sup>rd</sup> offense (365-day period) in any jurisdiction</b>
<ul style="list-style-type: none"> <li>◦ Minimum 15-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$1,000.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$2,500.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$5,000 or 5% of purse (greater of the two).</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ May be referred to the Commission for any further action deemed necessary by the Commission.</li> </ul>
<b>LICENSED OWNER:</b>		
<b>1<sup>st</sup> offense</b>	<b>2<sup>nd</sup> offense in stable (365-day period) in any jurisdiction</b>	<b>3<sup>rd</sup> offense in stable (365-day period) in any jurisdiction</b>
<ul style="list-style-type: none"> <li>◦ Disqualification and loss of purse [in the absence of mitigating circumstances]*.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse must pass a commission-approved examination before becoming eligible to be entered.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification and loss of purse [in the absence of mitigating circumstances]*.</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse must pass a commission-approved examination before becoming eligible to be entered.</li> </ul>	<ul style="list-style-type: none"> <li>◦ Disqualification and loss of purse, and in the absence of mitigating circumstances a \$5,000 fine.*</li> </ul> <p style="text-align: center;"><b>AND</b></p> <ul style="list-style-type: none"> <li>◦ Horse shall be placed on the veterinarian's list for 45 days and must pass a commission-approved examination before becoming eligible to be entered.</li> </ul>

\* (The RMTCC recommendation called for loss of purse to happen in absence of mitigating circumstances the Joint Model Rules Committee has made loss of purse mandatory in their proposal)

The following are recommended penalties for violations due to the presence of a drug carrying a Category "C" penalty and overages for permitted NSAIDs and furosemide: *(All concentrations are for measurements in serum or plasma.)*

**Association of Racing Commissioners International, Inc.  
Uniform Classification Guidelines for Foreign Substances**

## Recommended Penalties and Model Rule

<b>LICENSED TRAINER</b>	Phenylbutazone (5.1-9.9 mcg/ml) Flunixin (21-99 ng/ml) Ketoprofen (11-49 ng/ml) Furosemide (>100 ng/ml) and no furosemide when identified as administered**	Phenylbutazone (≥10.0 mcg/ml) Flunixin (≥100 ng/ml) Ketoprofen (≥50 ng/ml) and CLASS C Violations
1 <sup>st</sup> Offense (365-day period) in any jurisdiction	Minimum fine of \$250 absent mitigating circumstances	Minimum fine of \$500 absent mitigating circumstances
2 <sup>nd</sup> Offense (365-day period) in any jurisdiction	Minimum fine of \$500 absent mitigating circumstances	Minimum fine of \$1,000 and 15-day suspension absent mitigating circumstances
3 <sup>rd</sup> Offense (365-day period) in any jurisdiction	Minimum fine of \$1,000 and 15-day suspension absent mitigating circumstances	Minimum fine of \$2,500 and 30-day suspension absent mitigating circumstances
<b>LICENSED OWNER</b>	Phenylbutazone (5.1-9.9 mcg/ml) Flunixin (21-99 ng/ml) Ketoprofen (11-49 ng/ml) Furosemide (>100 ng/ml) and no furosemide when identified as administered**	Phenylbutazone (≥10.0 mcg/ml) Flunixin (≥100 ng/ml) Ketoprofen (≥50 ng/ml) AND CLASS C VIOLATIONS
1 <sup>st</sup> Offense (365-day period) in any jurisdiction		Loss of purse. Horse must pass commission-approved examination before being eligible to run
2 <sup>nd</sup> Offense (365-day period) in any jurisdiction		Loss of purse. If same horse, placed on veterinarian's list for 45 days; must pass commission-approved examination before being eligible to run
3 <sup>rd</sup> Offense (365-day period) in any jurisdiction		Loss of purse. Minimum \$5,000 fine. If same horse, placed on veterinarian's list for 60 days; must pass commission-approved examination before being eligible to run

**RULES AND REGULATIONS**

**Governing**

**GREYHOUND RACING**

**In**

**ARKANSAS**

**PART III OF III**

**January 2019 Edition**

**Arkansas Racing Commission**

**Asa Hutchinson**

**Governor**

**Alex Lieblong, Chairman, Conway**

**Mark Lamberth, Batesville**

**Butch Rice, Beebe**

**Denny East, Marion**

**Michael Post, Altus**

**Bo Hunter, Fort Smith**

**Steve Landers, Little Rock**

**John Campbell, Manager, Little Rock**

**1515 W. 7th Street, #505**

**P.O. Box 3076**

**Little Rock 72203-3076**

## INDEX TO VOLUME II

<b>A — Z</b>	<b>Rule Number(s)</b>
Wagering	3258 - 3273
Minus Pools	3275
Simulcast and ITW Rules	4000 - 4020
Common Pool Wagering	4030
Pari-Mutuel Wagering	5000-5060

## **WAGERING**

3258. Each purchaser of any pari-mutuel ticket agrees to be bound by the terms and provisions of this and all other applicable rules and regulations of the Arkansas State Racing Commission and by the laws of the State of Arkansas pertaining to pari-mutuel wagering. Neither the Franchise Holder, Totalisator company, nor the State of Arkansas shall be liable to any person for any ticket which is not a winning ticket in accordance with the provisions of this rule, nor shall they, or any of them, be liable to any person for any pari-mutuel ticket not delivered for any reason including, but not limited to, mechanical malfunction, electronic failure, machine locking, or other cause.

3259. All pari-mutuel rules will be posted or available at the information windows of the Franchise Holder, and copies will be available at the Racing Commission office.

3260. No tickets may be sold after the Totalisator has been locked or wagering ceased. Any claim by a person that a wrong ticket has been delivered to him/her must be made before leaving the mutuel ticket window. No claim shall be considered for tickets thrown away, lost, changed, destroyed, or mutilated beyond identification. Payment of wagers will be made only on presentation of appropriate pari-mutuel tickets.

3261. Should any emergency arise in connection with the operation of the pari-mutuel department not covered by these Rules and an immediate decision is necessary, the Director of Mutuels shall make the decision.

3262. A. If less than six (6) greyhounds start in a race, the Director of Mutuels shall be permitted to prohibit show wagering on that race;

B. If less than five (5) greyhounds start in a race, the said Director of Mutuels shall be permitted to prohibit both place and show wagering on the race; and,

C. If less than three (3) greyhounds start in a race, the said Director of Mutuels shall be permitted to prohibit wagering on that race.

3263. Wagering shall cease not later than off-time.

3265. If an error is made in posting the pay-off figures on the totalisator board, it shall be corrected promptly and only the correct amounts shall be used in the pay off, irrespective of the error on the tote board. If, because of a mechanical failure, it is impossible to promptly correct the posted pay-off, a statement shall be made over the public address system stating the facts and corrections.

3266. In the event of an irreparable breakdown of the Totalisator, or the ticket issuing machines, or both, during the wagering on a race, the wagering for that race shall be declared closed. The pay-off for that race shall be computed on the sums wagered in each pool up to the time of the breakdown.

3267. If a greyhound wins and there is no money wagered on it to win, the Win Pool shall be apportioned among the holders of the place tickets on that greyhound, if any; otherwise, among holders of the show tickets.

3268. If no money has been wagered to place on a greyhound which is placed first or second in a race, the Place Pool for that race shall be apportioned among the holders of the place tickets on the other greyhound which was placed first or second.

3269. If no money has been wagered to show on a greyhound which is placed first, second, or third in a race, the Show Pool in that race shall be apportioned among the holders of show tickets on the other greyhounds which are placed first, second, or third in that race.

3270. Any ruling of the Board of Judges with regard to the reward of purse money made after the sign "Official" has been purposely displayed by the Presiding Judge shall have no bearing on the mutuels payoff.

3271. The Director of Mutuels shall furnish a copy of the calculating sheet to the Commission.

3272. Each Franchise Holder shall, in all cases of a mutuel pool, redistribute on winning tickets not less than the face of the winning ticket, plus the minimum payoff provided by law.

3273. Payments due on all wagers shall be made in conformity with the well established practice of the Pari-Mutuel System.

## **MINUS POOLS**

3275. In the event of a Minus Pool, the deficiency shall be absorbed by the breaks accruing for that performance. In the event the breaks accruing for that performance are not sufficient to absorb such minus pool, the remaining deficiency will be borne solely by the Franchise Holder.

## **Simulcast and ITW at Greyhound Racetracks**

### **4000. Purpose.**

The Commission finds that, although wagering on ITW (Inter Track Wire) races provides additional revenue for the state treasury, the primary advantage of wagering on ITW races and simulcast races is the additional revenue it provides for purse supplements for live races conducted in this state. The Commission further finds it is in the public interest to encourage live racing, which promotes economic development in a variety of racing-related industries. Therefore, it is the Commission's intent to adopt and enforce rules relating to ITW and simulcast wagering in a manner that will encourage live racing

and enhance the greyhound breeding and training industries, and enhance the horse breeding, owning, and training industries.

**4001. *Simulcast and/or ITW License.***

A. A license to operate a pari-mutuel racetrack in this state held by the Franchise Holder that has been granted live race dates includes as a part of its privileges the privilege of conducting pari-mutuel wagering on ITW races and to simulcast races conducted by the Franchise Holder. The conducting of pari-mutuel wagering on ITW races and the simulcasting of races conducted by the Franchise Holder is subject to the approval of the Commission.

B. The approval of any particular simulcasting or wagering on particular ITW races or programs is not binding on the Commission for other requests for approval of simulcasting or wagering on ITW races or programs.

C. Written approval is required for the proposed simulcasting schedule from the recognized greyhound owners' group or the recognized horsemen's group, or both as appropriate.

**4002. *Approval of Wagering on ITW Races.*** To receive approval of the Commission for wagering on an ITW race or program, the Franchise Holder must submit a request to the Commission. In considering whether or not to approve an ITW wagering request, the Commission shall consider:

- (1) the financial stability of the Franchise Holder and the effect ITW wagering will have on the economic viability of the Franchise Holder;
- (2) the operating experience of the Franchise Holder;
- (3) the regulatory compliance and conduct of the Franchise Holder;
- (4) the impact of the Franchise Holder's proposed ITW wagering on purses at the Franchise Holder's racetrack; and
- (5) the public interest that will be served by the importation of the ITW signal.

**4005. *ITW and Simulcasting Contracts.*** All contracts executed by the Franchise Holder regarding ITW and simulcasting are subject to inspection by the Commission. The Franchise Holder shall maintain each contract regarding ITW and simulcasting for at least one year after the end of the term of the contract. The Franchise Holder shall make all contracts available to the Commission on request.

**4008. *Duties of Receiving Location (ITW).***

A. A Franchise Holder that conducts pari-mutuel wagering on an ITW race acts as a receiving location on those dates. The receiving location shall:

1. Provide adequate communication facilities, enabling pari-mutuel data transmissions and data communications between totalisator systems of the sending racetrack and the receiving location.

2. If the receiving location participates in common pools, provide a direct telephone line and a facsimile machine, or other approved means, located in the mutuels area to transmit information to the sending racetrack in case of a system failure.

3. Display the audio and video signals of the races being simulcast to the patrons.

B. The Franchise Holder shall provide the reports of its ITW pari-mutuel operations to the Commission daily and all moneys due the State of Arkansas shall be paid to the Commission daily as provided in Arkansas Code § 23-1 11-509.

**4010. *Duties of Sending Racetrack (Simulcast).***

A. A Franchise Holder that simulcasts races conducted by the Franchise Holder acts as a sending racetrack on the dates the races are conducted and simulcast.

B. A sending racetrack is responsible for the content of the simulcast and shall use all reasonable effort to present a simulcast which offers the viewers an exemplary depiction of the performance, a periodic display of wagering information, and continuity of programming between racing events.

C. The sending racetrack shall provide transmission equipment of acceptable broadcast quality that does not interfere with the closed circuit TV system of the receiving location. The sending racetrack must have the capability to transmit and receive wagering information via a data circuit. If the sending racetrack plans to form common pools, the racetrack shall provide a direct telephone line and a facsimile machine, or other approved means, located in the mutuels area to receive information from the receiving locations in case of a system failure.

D. Unless otherwise permitted by the Commission, a simulcast must contain in its video content:

- (1) The date.
- (2) A digital display of the actual time of day at the sending racetrack.
- (3) The name of the sending racetrack.
- (4) The number of the race being displayed.
- (5) Any other relevant information available to patrons at the sending racetrack.

E. At least 15 minutes before post time for the first race, the sending racetrack must be transmitting its signal to ensure proper operation of the transmission system.



4012. *Emergency Procedures.*

A. If a Franchise Holder is unable to establish or to maintain the audio or video signal from the sending racetrack, the Franchise Holder shall immediately notify the sending racetrack of the lost signal and may continue to accept wagers while attempting to establish the signal.

B. If the audio or video signal cannot be established or maintained, the Franchise Holder may continue to accept wagers on the signal provided:

1. An announcement is made to the public informing them that due to technical difficulties the audio or video signal has been lost.

C. If the sending racetrack loses the ability to transmit the audio or video signal, the sending racetrack:

1. Shall notify all receiving locations of the technical difficulties being experienced.

2. May continue to accept wagers from the receiving locations on that day's races.

3. May not accept wagers from the receiving locations for subsequent race days until the technical difficulties have been corrected.

4015. *Simulcast Officials.* The Director of Mutuels or the Director of Mutuel's designee shall be present on the Franchise Holder's grounds at all times that the Franchise Holder is accepting wagers on ITW races.

4020.

A. *Definitions.* For the purposes of this Rule, the term:

1. "**Franchise Holder**" means the holder of a franchise from the State of Arkansas to conduct greyhound racing and who, in addition to conducting pari-mutuel wagering on greyhound races on premises, has applied for permission to conduct such wagering on greyhound races and/or horse races being conducted at any other racetrack and simultaneously televised to the premises of the Franchise Holder, and who also sends the Franchise Holder's live signal simultaneously to other racetracks.

2. "**Host Racing Association**" means any entity which, pursuant to a license or other permission granted by the Host State, conducts greyhound or horse races.

3. "**Host State**" means the State in which the greyhound or horse race takes place.

4. "**ITW**" or "Inter Track Wire" means greyhound races and/or horse races conducted at another Host Racing Association which are simultaneously televised to the premises of the Franchise Holder who conducts pari-mutuel wagering on such races.

5. **“Simulcast” or “Simulcasting”** means greyhound races conducted live at the Franchise Holder’s racetrack and televised simultaneously to the premises of other racetracks where pari-mutuel wagering is conducted on such races.

B. **Wagering Rules.** The rules generally applicable to greyhound racing shall apply to wagering on ITW races, except where they conflict with any provisions of these ITW / Simulcasting Rules or are not applicable because of inherent differences between wagering on races run on premises and ITW races.

C. **Distribution of moneys wagered:**

1. The Franchise Holder shall withhold from the amount wagered those amounts specified in Arkansas Code Annotated § 23-11 1-509. However, if the percentages withheld at the Host Racing Association differ from the above percentages, the Franchise Holder may withhold the percentages of the Host Racing Association or the above percentages as the Franchise Holder elects.

2. The Franchise Holder shall pay to the state of Arkansas from the amount withheld pursuant to the provisions of sub-paragraph (1) above, the following per performance: two percent (2%) on all amounts wagered up to \$350,000.00; three percent (3%) of all amounts wagered between \$350,000.00 and \$500,000.00; and, six percent (6%) of all amounts over \$500,000.00.

3. Breaks shall be computed and disbursed in accordance with the provisions of Arkansas Code Annotated § 23-111-509.

4. After withholding the amounts specified in (1) above, the remainder of the moneys wagered shall be paid over to bettors holding winning pari-mutuel tickets as their respective interests may appear.

5. Unpresented winning tickets and the proceeds thereof shall be handled in accordance with the rules of the Arkansas Racing Commission.

## COMMON POOL WAGERING

### 4030. *General Provisions.*

A. With the prior approval of the Commission, pari-mutuel pools offered by a Franchise Holder that is participating in a simulcast may be combined with corresponding wagering pools offered by the other racetracks participating in the simulcast to form a common pool. All corresponding pari-mutuel pools offered by two or more Associations on an interstate simulcast shall be combined to form a common pool.

B. A contract governing participation in a common pool must be available to the Commission for approval.

C. In determining whether to approve an interstate common pool that does not include the sending racetrack, the Commission shall consider and may approve use of

a type of wager which is not used at the sending racetrack or other factors presented to the Commission.

D. The content and format of the visual display of racing and wagering information at facilities in other racing jurisdictions in the interstate common pool need not be identical to the information required to be displayed under these rules.

4035. *Formation of Common Pool.*

A. Wagering data shall be transmitted through a method authorized by this subsection, in the following order of preference:

- (1) via a data circuit;
- (2) via facsimile; or
- (3) by voice.

B. Except as otherwise provided by this subsection, the odds and prices for a common pool shall be calculated in accordance with the laws and rules of the jurisdiction in which the sending racetrack is located. In determining the amount distributable to the wagerers, the total takeout required in the jurisdiction in which the sending racetrack is located shall be used. If the Franchise Holder desires, it may use the net pool pricing method for determining the payoff prices.

C. A Franchise Holder shall ensure that the necessary records are maintained regarding the amounts wagered at its racetrack for accounting, auditing, and reporting purposes.

4040. *Distribution of Common Pool*

A. A wager is made at the place at which the pool originates.

B. The payoff attributable to the Franchise Holder shall be based on the actual winnings indicated by the totalisator wagering data.

C. The total takeout applicable to the wagers received in this state for a common pool shall be distributed in accordance with the Arkansas Code. A gain or loss caused by a difference in takeout totals shall be part of the Franchise Holder's revenue or expense from the interstate broadcast.

D. A surcharge or other withholding other than the takeout authorized by law shall be applied only in the jurisdiction imposing the surcharge of withholding.

4045. *Breakage.* The ratio of a Franchise Holder's allocation of the breakage to the total breakage in an interstate common pool must be equal to the ratio of the dollars contributed to the common pool from the Franchise Holder to the total amount of the common pool.

4050. **Report to Commission.** A Franchise Holder participating in a common pool shall submit to the Commission a report on the pool on the date of the performance for which the pool was formed. The report shall contain:

- (1) the total amount of the common pool generated by wagers at the site of the Franchise Holder;
  - (2) the total winnings attributable to wagers received by the Franchise Holder;
- and
- (3) the total commission derived from the Franchise Holder's share of the common pool.

4055. **Manual Merge.**

(A) If the receiving location's computer system fails to adequately transmit wagering data to the sending racetrack, the sending racetrack shall manually merge the pools if a manual merge will not endanger the pools at the sending racetrack and the sending racetrack permits a manual merge.

(B) To merge the pools manually, the receiving location's Director of Mutuels, or Director of Mutuel's designee, shall notify the sending racetrack via facsimile of the total amount in the pool, the total dollars on winning wagers, and the total dollars on the losing wagers in the pool.

4060. **Failure to Merge.**

(A) Except as otherwise provided by this section, if for any reason it becomes impossible to successfully merge a receiving location's wagers in the common pool via data circuit or manual merge, the Director of Mutuels, or the Director of Mutuel's designee, shall:

- (1) announce to the public that the pools were not merged successfully and the pools will be refunded and refund the pools not successfully merged; or
- (2) pay the winning wagerers based on the prices established at the Host racetrack.

(B) The mutuel manager or designee shall report the failure to merge any common pool to the Commission, and shall be filed no later than the day after the date the common pool failed to merge.

(C) A contract for common pools entered into by the Franchise Holder must contain a provision stating that the Franchise Holder is not liable for any measures taken which may result in a receiving location's wagers not being accepted into a common pool formed by the Association if for any reason:

- (1) it becomes impossible to successfully merge the wagers placed in another state in the common pool formed by the Franchise Holder; or

(2) the Franchise Holder's Director of Mutuels or the Director of Mutuel's designee determines that attempting to transfer pool data from the receiving location will endanger the Franchise Holder's wagering pool.

## **PARI-MUTUEL WAGERING**

### **WIN POOLS**

5000.

- A. A win ticket is a ticket selecting the greyhound which must finish first.
- B. The commission authorized by law is deducted from the sum total wagered in the win pool. The balance is called the "net pool."
- C. The amount wagered on the winner is then divided into the "net pool." The quotient thus obtained is the pay-off price on the winner for each dollar wagered, and it includes the dollar wagered on the winner. Double said pay-off price to provide for a \$2.00 ticket.

### **PLACE POOLS**

5002.

- A. A place ticket is a ticket selecting the greyhound which must finish first or second.
- B. The commission authorized by law is deducted from the sum total wagered in the place pool. The balance is called the "net pool."
- C. The sum total of the amount wagered in the place pool on the greyhounds placed first and second is deducted from the "net pool." This gives a remainder which is the profit, or winnings. The said profit is divided into two equal parts, i.e., between those who wagered, in the place pool, on the winner and those who wagered on the greyhound that was placed second.
- D. Using the amount wagered in the place pool on the winner "to place" as a divisor and one-half of the profits of the place pool (as specified in B) as a dividend, the quotient thus obtained is the profit per dollar wagered in the place pool on the winner "to place."
- E. In each of the above paragraphs C and D, the profit per dollar wagered is the resultant. The sums wagered on the greyhounds placed first and second must be returned therefore to the quotient the dollar taken out of the "net pool" in B. The result is the pay-off price for each dollar wagered on greyhounds placed first and second in the place pool, double said pay-off prices to provide the pay off for a \$2.00 ticket.

F. In each of the above paragraphs C and D, the profit per dollar wagered is the resultant. The sums wagered on the greyhounds placed first and second must be returned, therefore add to the quotient the dollar taken out of the "net pool" in B. The result is the pay-off price for each dollar wagered on greyhounds placed first and second in the place pool, double said pay-off prices to provide the pay-off for a \$2.00 ticket.

## SHOW POOLS

5004.

A. A show ticket is a ticket selecting the greyhound which must finish first, second or third.

B. The commission authorized by law is deducted from the sum total wagered in the "show pool." That balance is called the "net pool."

C. The sum total of the amount wagered in the show pool on the greyhounds placed first, second, and third is deducted from the "net pool." This gives a remainder which is the profit, or winnings. The said profit is divided into three equal parts, i.e., among those wagered, in the show pool, on the winner, the second greyhound, and the third greyhound.

D. Using the amount wagered in the show pool on the winner "to show" as a divisor and one-third of the profits of the show pool (as specified in B) as a dividend, the quotient thus obtained is the profit per dollar wagered in the show pool on the winner "to show."

E. Using the amount wagered in the show pool on the greyhound placed second "to show" as a divisor and one-third of the profits (as specified in B) as a dividend, the quotient thus obtained is the profit per dollar wagered in the show pool on the second greyhound "to show."

F. Using the amount wagered in the show pool on the greyhound placed third "to show" as a divisor and one-third of the profits (as specified in B) as a dividend, the quotient thus obtained is the profit per dollar in the show pool wagered on the third greyhound "to show."

G. In each of the above paragraphs C, D, and E, the profit per dollar wagered is the resultant. The sums wagered on the greyhounds placed first, second, and third must be returned, therefore add to the quotient the dollar taken out of the "net pool" in B. The result is the pay-off price for each dollar wagered on greyhounds placed first, second, and third in the show pool. Double said pay-off price to provide the pay-off for a \$2.00 ticket.

## **DEAD HEATS**

5008.

- A. In the case of a dead heat of two greyhounds to win, the pay-off shall be calculated as a place pool between said greyhounds.
- B. In the case of a dead heat of three greyhounds to win, the pay-off shall be calculated as a show pool between said greyhounds.
- C. In the case of a dead heat of two greyhounds for place, the winner receives his share as normally determined, and each of the two greyhounds running second receive one-half of the remaining share.
- D. In the case of a dead heat of two greyhounds to show, the first and second greyhounds receive their shares as is normally determined, and each of the greyhounds running third receives one-half of the remaining share.

## **DAILY DOUBLE POOLS**

5010.

- A. The "Daily Double" is not a parlay and is a ticket to select the winner of the first half and the second half of two consecutive races.
- B. The commission authorized by law is deducted from the sum total wagered in the daily double pool. The balance is called the "net pool."
- C. The amount wagered on the winner of the first and the winner of the second half of a Daily Double is then divided into the "net pool." The quotient thus obtained is the pay-off price on the winners of the Daily Double for each dollar wagered on the winner of the first half and winner of the second half. Double said pay-off price to provide for a \$2.00 ticket.

5012.

- A. No more than two "Daily Double," as determined by the Racing Commission, will be permitted during any single racing program.
- B. No "Daily Double" wagering will be permitted except on the first and second races and such other two consecutive races of a racing program, with the bet being made in the first half, as permitted by the Racing Commission.
- C. Before post time of the second half of the "Daily Double," there shall be posted on the tote board, or announced, the "pay-off" on each combination coupled with

the winner of the first half of the “Daily Double,” except in the case of a dead heat in the first half of the “Daily Double.”

D. If no ticket is sold combining the two winners of the “Daily Double,” the pool shall then be apportioned equally between those having tickets including the winner in the first race of the “Daily Double” and those having tickets including the winner in the last race of the “Daily Double” in the same manner in which a Place Pool is calculated and distributed.

E. If no ticket is sold on the winner of the FIRST race of the “Daily Double,” the entire pool is apportioned to the holders of tickets on the winner of the SECOND race of the “Daily Double.”

F. Likewise, if no ticket is sold on the winner of the SECOND race of the “Daily Double,” the entire pool is apportioned to the holders of tickets on the winner of the FIRST race of the “Daily Double.”

G. Should no ticket be sold containing the numbers of either winner, the pool shall be allotted to those having tickets on runners finishing next to the winners.

H. Should no ticket be sold that would require distribution of the Daily Double Pool to a winner under sub-section E, F, G, and H, a full and complete refund of the pool shall be made.

I. If a DEAD HEAT should result in either the first or second race of the “Daily Double,” the total pool is figured as a “place pool.”

J. A refund at cost value shall be made to all holders of purchased “Daily Double” tickets bearing the number of a runner in either race which has been scratched before the wagering on the “Daily Double” has ceased.

K. In the event any runner, or runners, in the first half of the “Daily Double” should be scratched by the Presiding Judge, the money wagered on any scratched runner, or runners, shall be deducted from the “Daily Double” pool and refunded to the purchaser, or purchasers, of tickets on the runner, or runners, so scratched.

L. Should any runner, or runners, be scratched by the Presiding Judge, in the last half of the “Daily Double,” all tickets including such runners shall be deducted from the “Daily Double” pool; and the pool, thus formed, shall be distributed as a straight pool to the holders of tickets combining the winner of the first half with the runner or runners scratched and prevented from completing the “Daily Double.”

M. In the event the first half of the “Daily Double” is canceled or declared a “No Race,” a full and complete refund shall be made of all money wagered.



N. In the event the second half of the "Daily Double" is canceled or declared a "No Race," the entire net pool shall be distributed as a straight pool to holders of the tickets which include the winner of the first half of the "Daily Double."

O. In the event of a "Daily Double" consolation payoff, said consolation "Daily Double" shall be kept separate from the main "Daily Double" payoff.

#### 5014. Cancellation of Jackpot Pool Wagers

A. Any wager offered by an Association that involves a jackpot carryover provision (ex. Twin-Trifecta, Tri-Super, etc.) may be canceled by the management of the Franchise Holder under the following conditions:

1. There are at least two scratches or more in any race(s) in which either the first or second half of the jackpot wager is contested, or

2. If there are "late" scratches that occur once the performance is open to wagering amounting to at least two or more scratches in which either the first or second half of the jackpot wager is contested.

B. If, for any reason, the second half of any jackpot wager is canceled or not declared "official," the winning tickets holders who have cashed their ticket(s) on the first half and have received an exchange ticket, will be entitled to a proportionate distribution of the remaining amount of the current program's divided pool. The cumulative pool from previous programs will not be distributed in this case and will be carried over to the next performance.

C. Should the Franchise Holder cancel a jackpot wager under the provisions of this rule, the Franchise Holder may, at its discretion, substitute that canceled wager with another approved wager.

D. If the Franchise Holder should cancel a jackpot under the provisions of this rule, public address announcements will be made in a reasonable amount of time to inform the betting public of the cancellation and if there is a substitute wager being offered in its place.

### **EXACTA POOLS**

#### 5015.

A. The Exacta is a ticket selecting two (2) greyhounds, which must finish first and second in exact order.

B. The commission authorized by law is deducted from the sum total wagered in the exacta pool. The balance is called the "net pool."

C. The amount wagered on the two (2) greyhounds finishing first and second in exact order is then divided into the "net pool." The quotient thus obtained is the pay-off price on the winning greyhounds finishing first and second for each dollar wagered and it includes the dollar wagered on the greyhounds finishing first and second. Triple said pay-off price to provide the pay-off for a \$3.00 ticket.

D. If no ticket is sold on the winning combination of an Exacta Pool, the net pool shall be distributed as a Place Pool between holders of tickets selecting the winning greyhound to finish first and/or holders of tickets selecting the second place greyhound to finish second.

E. If no ticket is sold that would require distribution of the net Exact Pool to winner(s) as above defined, the Association shall make a complete and full refund of the Exacta Pool.

F. In case of a dead heat between two greyhounds for first place, the net Exacta Pool shall be calculated and distributed as a place pool to holders of tickets of the winning combination(s). In case of a dead heat between two greyhounds for second place, the net Exacta Pool shall be figured as a place pool and distributed to holders of tickets combining the winning greyhound and the two greyhounds finishing second.

G. In the event of a dead heat for second place, if no ticket is sold on one of the two winning combinations, the entire net pool shall be calculated as a win pool and distributed to those holding tickets on the other winning combination. If no tickets combine the winning greyhound with either of the place greyhounds in the dead heat, the Exacta Pool shall be calculated and distributed as a place pool to holders of tickets representing any interest in the net pool. If the winning combinations are both First/All and All/Second, and there is a dead heat for second, half of the Divided Total is assigned to the First/All combination, and each All/Second combination is assigned an equal share of the remaining half.

## QUINIELA POOLS

5020.

A. The "Quiniela" is a form of pari-mutuel wagering in which the bettor selects a ticket combining two greyhounds in a single race selecting the two greyhounds that will subsequently finish either first or second in that race. The Quiniela is not a *parlay* and has no connection with or relation to the win, place, or show betting and will be calculated as an entirely separate pool.

B. The commission authorized by law is deducted from the sum total wagered in the Quiniela pool. The balance is called the "net pool."

C. The amount wagered on the winning combination is then divided into the "net pool." The quotient thus obtained is the pay-off on the two winners for each dollar

wagered on the two dogs finishing first and second. Double said pay-off price to provide the pay-off for a \$2.00 ticket.

D. In a case of a dead heat between two greyhounds for first place, the combination shall be the winner of the Quiniela pool. In case of a dead heat between two greyhounds for second place, the Quiniela pool shall be figured as a place pool, the holders of tickets combining the winning greyhound and the two greyhounds finishing second participating in the pay-off.

E. In the event of a dead heat for second place if no ticket is sold on one of the winning combinations, the entire net pool shall be calculated as a win pool and distributed to holders of tickets on the other winning combination. If no tickets combine the winning greyhound with either of the greyhounds in the dead heat, the net pool shall be paid to holders of tickets including any of the three win/place greyhounds as in a win, place, or show pool. However, if any tickets combine both of the greyhounds in the dead heat for place, the net pool shall be paid to holders of such tickets.

F. If no ticket is sold on the winning combination of a Quiniela pool, the net pool shall then be apportioned equally between those having tickets including the greyhound finishing first and those having tickets including the greyhound finishing second in the same manner in which a place pool is calculated and distributed

G. If no ticket is sold that would require distribution of a Quiniela pool to a winner as above defined, the Association shall make a complete and full refund of the Quiniela pool.

## **BIG Q POOLS**

5025.

A. The "Big Q" is a form of pari-mutuel wagering in which the bettor selects the first two greyhounds to finish in two consecutive races. Pari-mutuel wagering tickets are to be sold upon the first race of the two races only. The division of the pool shall be calculated as in a win pool, subject to the provisions of these rules to the contrary.

B. A commission authorized by law is deducted from the sum total wagered in the Big Q pool. The balance is called the "net pool."

C. Each bettor purchasing Big Q tickets shall designate his two selections as the first two greyhounds to finish the first race of the two races.

D. After the official declaration of the first two greyhounds to finish the first of the Big Q races, each bettor holding a ticket combining the said two greyhounds to finish, must prior to the running of the second race, exchange such winning ticket for a Big Q exchange ticket and at such time the said holder shall select the first two greyhounds to finish in the second race of the Big Q. No further money shall be required of the holder of the ticket in order to make the exchange.

E. No Big Q exchange ticket upon the second race shall be issued except upon the surrender of the Big Q ticket from the first race as described in these rules. The Big Q pool obtained from the sales of Big Q tickets upon the first race shall be held subject to these rules, and divided among the winning tickets of the Big Q exchange tickets, subject to these rules to the contrary. Big Q windows shall be open for the purpose of making the exchange as described only after the first race has been declared official and such windows shall close at official post time at the start of the second race of the Big Q races.

F. If a winning Big Q ticket from the first race is not presented for exchange within the time provided, the bettor forfeits all rights to any distribution or refund.

G. If a greyhound is scratched in the first race of the Big Q races, all Big Q tickets on the scratched greyhound will be refunded.

H. If a greyhound is scratched in the second race of the Big Q, all exchange tickets combining the scratched greyhound shall be refunded. If a greyhound is scratched in the second race of the Big Q races, public address announcements will be made and reasonable time will be given for exchange of tickets on the scratched dog.

I. If no Big Q ticket is sold as a winning combination in the first race of the Big Q, the Big Q pool shall be divided among those having tickets including the greyhound finishing first or second and such distributions shall be calculated and made as a place pool. In such an instance the Big Q race shall end and the pool be closed for the day.

J. If a Big Q exchange ticket combines only one of the two winners and no Big Q exchange ticket combines the other winner, the entire pool shall be distributed as a win pool to the holders of those tickets.

K. If no exchange ticket includes either the first or second greyhound of the second half of the Big Q, the entire net pool shall be distributed as a win pool to all holders of exchange tickets.

L. In the event of a dead heat for place in the first race of the Big Q races, all Big Q tickets combining the first greyhound and either of the place greyhounds shall be eligible for exchange for Big Q exchange tickets.

M. In the event of a dead heat for place in the second race of the Big Q races, the Big Q pool shall be divided, calculated, and distributed as a place pool to the holders of Big Q exchange tickets combining the first greyhound and either of the place greyhounds. In the event of the dead heat to place, and there are no tickets sold on one combination, then the other combination having winning greyhounds shall be declared the winner.

N. If no exchange tickets combine the winning greyhound with either of the greyhounds in the dead heat for place, the net Big Q pool shall be paid to holders of tickets including any of the three win/place greyhounds as in a win, place, or show pool. However, if any tickets combine both of the greyhounds in the dead heat for place, the net pool shall be paid to holders of such tickets.

O. If for any reason the first race of the Big Q races is canceled or declared a "No Race," a full and complete refund shall be made from the Big Q pool.

P. If for any reason the second of the Big Q races is canceled or declared a "No Race," the pool shall be calculated as a win pool and shall be distributed among the holders of tickets combining the first two greyhounds of the first race of the Big Q otherwise eligible for Big Q exchange tickets and also distributed to holders of the Big Q exchange tickets.

Q. If there is a dead heat for the winning greyhounds in either of the two consecutive races for the Big Q, such calculation of distribution of the Big Q pool shall be made in the manner in which any ordinary quiniela pool would be made should there be a dead heat for the win despite the number of greyhounds involved in the dead heat.

### **QUINIELA DOUBLE WITH CARRYOVER**

5026.

(A) The "Quiniela Double with Carryover" is a form of pari-mutuel wagering in which the bettor selects the first two greyhounds finishers, irrespective of order, in each of two specified races.

(B) A commission authorized by law is deducted from the sum total wagered into the "Quiniela Double" pool. The balance is called the "net pool."

(C) The net "Quiniela Double" pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

1. As a single price pool to those who selected the first two finishers in each of the two "Quiniela Double" races. If there are no such wagers, then
2. 100% of the net pool shall be placed into a "carryover" pool and those monies shall be added to the "Quiniela Double" pool on the next performance.

(D) If a greyhound is scratched in either race of the "Quiniela Double" races, all money wagered on combinations including the scratched greyhound(s) shall be deducted from the "Quiniela Double" pool and refunded.

(E) In the event of a "Dead Heat" for first in either of the two races of the "Quiniela Double," then:

1. If it is between two betting interests, the calculation and distribution of the net pool shall be figured as if no "Dead Heat" occurred, or
2. If it is between three or more betting interests, the "Quiniela Double" net pool shall be distributed as a profit split.

(F) In the event of a "DEAD HEAT" for place in either of the two races of the "Quiniela Double" involving two or more betting interests, the "Quiniela Double" shall be calculated and distributed as a profit split.

(G) If either of the "Quiniela Double" races is canceled prior to the first race of the "Quiniela Double," or if the first race of the "Quiniela Double" is declared a "NO RACE," the entire "Quiniela Double" pool shall be refunded, minus any previous carryover monies, for those races.

(H) If the second race of the "Quiniela Double" is canceled or declared a "NO RACE" after the conclusion of the first race of the "Quiniela Double," the net "Quiniela Double" pool, minus any carryover monies, shall be distributed as a single price pool to winning wagers selecting the first two finishers of the first "Quiniela Double" race. If there are no such wagers, then the entire "Quiniela Double" pool, minus any carryover monies, shall be refunded.

### **TRIFECTA WAGER**

5030.

A. The "Trifecta" is a form of pari-mutuel wagering in which the bettor selects a ticket combining three (3) greyhounds in a single race, selecting the 3 greyhounds that will subsequently finish 1st, 2<sup>nd</sup>, and 3<sup>rd</sup> in that race. Payment of the ticket shall be made only to the purchaser who has selected the exact order of finish as officially posted.

B. The Trifecta is not a parlay and has no connection with or relation to the Win, Place, and Show betting and will be calculated as an entirely separate pool.

C. Trifecta tickets shall be sold in not less than two dollar (\$2.00) denominations, except for the one dollar (\$1.00) box, the one dollar (\$1.00) wheel, and the one dollar (\$1.00) key.

D. If no ticket is sold on the winning combination of a Trifecta pool, the net pool shall be distributed to the holders of tickets selecting the Win and Place finishers in that order. If no ticket is sold combining the Win and Place finish, the net pool will be distributed to the holders of tickets selecting the winner.

E. If no ticket is sold that would require distribution of the net Trifecta pool to a winner as above defined, the Franchise Holder shall make a full refund of the Trifecta pool.

F. In the event of a dead heat or dead heats, all Trifecta ticket selecting the correct order of finish, counting a greyhound, in a dead heat as finishing in either position dead heated, shall be winning tickets. The payoff will be calculated as a place pool.

G. In the event of a scratch in the Trifecta, all bets which include the scratched greyhound are eliminated from further participation in the Trifecta pool and will be

refunded.

## TWIN TRIFECTA WAGER

5035.

A. The Twin Trifecta is a form of pari-mutuel wagering in which the bettor selects the three greyhounds that will finish first, second, and third in each of the two designated races in the exact order as officially posted

B. Twin Trifecta tickets shall be sold in not less than two dollars (\$2.00) denominations, except for the one dollar (\$1.00) box and the one dollar (\$1.00) wheel.

C. The Twin Trifecta pool shall be held entirely separate from, and has no connection with or relation to, any other pools and shall be calculated as an entirely separate pool.

D. Each bettor purchasing Twin Trifecta tickets shall designate his/her three (3) selections as the first three (3) greyhounds to finish in that order in the first race of the designated two races.

E. After the wagering closes for the first half of the Twin Trifecta; the amounts required to be withheld by law will be deducted from the pool in accordance with the laws of the State of Arkansas. The remaining pool will then be divided into two separate pools of equal amounts.

F. The moneys in the first part of the divided pool will be distributed to the holders of Twin Trifecta tickets selecting the first three greyhounds, in order, on the first designated Twin Trifecta race, in accordance with established pari-mutuel practice.

The term "First Part of Divided Pool" shall mean one-half of the net distributable pool of the total moneys wagered in the Twin Trifecta on the current performance only and, specifically excluded there from, shall be any carryover of any special cumulative second race Twin Trifecta pool from any previous performance.

G. The second part of the divided pool will be placed in a jackpot pool to be distributed to holders of "Second Half" Twin Trifecta tickets selecting the first three (3) greyhounds, in order, on the second designated Twin Trifecta race, in accordance with established pari-mutuel practice.

H. In the first half of the Twin Trifecta only, if there is a failure to select, in exact order, the first three (3) greyhounds, payoffs shall be made on the first half Divided Pool moneys on Twin Trifecta tickets selecting in the following order of priority:

1. Tickets selecting the first and second finishers in that order, for example 1-2-ALL. If no ticket is sold combining the first and second finishers, then

2. Tickets selecting the first and third finishers in that order, for example, 1-ALL-3. If no ticket is sold combining the first and third finishers, then

3. Tickets selecting the second and third finishers in that order, for

example ALL-2-3. If no ticket is sold combining second and third finishers, then

4. Tickets selecting the runner to finish first, for example 1-ALL-ALL. If no ticket is sold selecting the runner finishing first, then

5. Tickets selecting the runner to finish second, for example ALL-2-ALL. If no ticket is sold selecting the runner finishing second, then

6. Tickets selecting the runner to finish third, for example, ALL-ALL-3.

7. These payoffs will be calculated by usual pari-mutuel payoff procedure and the aggregate number of winning tickets will be divided into the first part of the Divided Pool and be paid identical payoff prices.

8. In the case where there is failure to select in exact order, the first three greyhounds, NO ONE will be entitled to an exchange ticket and the second part of the Divided Pool will be added to the JACKPOT POOL for the next performance.

9. Failure to select any of the combinations in articles (1), (2), (3), (4), (5), or (6), shall cause a refund of all Twin Trifecta tickets.

10. During a performance designated to distribute the Twin Trifecta jackpot pool, if after the first half of the Twin Trifecta, there are no wagers correctly selecting, in exact order, the first three finishers, payoffs shall be made in accordance with articles (1), (2), (3), (4), (5), (6), and (7), above, and all winners shall receive one hundred percent (100%) of the days' net Twin Trifecta pool and any existing Twin Trifecta jackpot pool as a single priced pool.

I. After the official declaration of the first three greyhounds to finish in the first race of the Twin Trifecta, each bettor holding a winning ticket must, prior to the running of the second Twin Trifecta race, exchange such winning ticket for both the monetary value established by the mutuels department and a Twin Trifecta exchange ticket, and at such time shall select the three greyhounds to finish in the second race of the Twin Trifecta in exact order as officially posted. No further money shall be required of the holders of the winning ticket in order to make the exchange.

J. No Twin Trifecta exchange ticket upon the second race shall be issued except upon surrender of the Twin Trifecta tickets from the first race as described in these rules. No Twin Trifecta exchange tickets shall be issued until the designated first race has been declared official.

K. If a winning Twin Trifecta ticket from the first race is not exchanged within the time provided, the bettor may still collect the monetary value attached to the ticket but forfeits all rights to any distribution of the second race Twin Trifecta pool.



L. If a greyhound is scratched in the first race of the Twin Trifecta races, all Twin Trifecta bets on the scratched greyhound will be refunded. If a greyhound is scratched in the second race of the Twin Trifecta races, public address announcements will be made and reasonable time will be given for exchange of tickets on the scratched dog.

M. *DEAD HEATS*; In the event of a dead-heat(s) in either the first or second race of the Twin Trifecta, all Twin Trifecta bets selecting the correct order of finish, counting a greyhound in a dead-heat as finishing in any position dead heated, shall be winning tickets. And contrary to the usual pari-mutuel practice, the aggregate number of winning tickets shall be divided into the net pool and be paid the same payoff price.

N. Except on a designated payout performance, in the event there is no Twin Trifecta ticket issued accurately selecting the officially declared first three (3) finishers of the second Twin Trifecta race, in the exact order, such second race pool, as divided earlier, shall be held for the next consecutive racing performance and combined with that program's second race Twin Trifecta pool. Distribution of this special cumulative second race Twin Trifecta pool known as the JACKPOT POOL, will be made only upon the accurate selection, in the exact order, of the first three officially declared finishers of the second Twin Trifecta race.

O. If for any reason, the second half of the Twin Trifecta is not declared "official," the winning ticket holders who have cashed their ticket for the first half and who have received an exchange ticket will be entitled to a proportionate distribution of the remaining amount of the current program's divided pool. The cumulative pool from previous programs shall not be distributed in this case and will *be* carried over to the next scheduled performance.

P. On the final performance of any calendar year and on a designated payout performance, the entire accumulated Twin Trifecta pools must be distributed. In the event there is no Twin Trifecta exchange ticket accurately selecting the officially declared first three (3) finishers of the second Twin Trifecta race, in exact order, payoffs shall be made by equally sharing the distribution of the entire second race pool and accumulated jackpot pool(s) to all patrons holding Twin Trifecta exchanges.

6. Tickets selecting the runner to finish third, for example, ALL-ALL-3. If no such ticket is outstanding, then

7. All Twin Trifecta exchange tickets shall be declared winners and shall share equally in the distribution of the entire second race pool and accumulated JACKPOT POOL(S).

8. The payoffs in articles (1), (2), (3), (4), (5), and (6), will be calculated contrary to usual pari-mutuel payoff procedure, using the aggregate number of winning selections and then calculated as a WIN POOL with equal payoffs.

Q. The Twin Trifecta will consist of the following pools:

1. *FIRST PART OF DIVIDED POOL* - Fifty percent (50%) of that performance's Twin Trifecta net pool to be distributed to holder(s) selecting the first three (3) greyhounds, in order, of the *FIRST* designated Twin Trifecta race.

2. *SECOND PART OF DIVIDED POOL* - Fifty percent (50%) of that performance's Twin Trifecta net pool to be distributed to holder(s) selecting the first three (3) greyhounds, in order, of the *SECOND* designated Twin Trifecta race.

3. *JACKPOT POOL* - Fifty percent (50%) of each performance's Twin Trifecta net pool (*SECOND PART OF DIVIDED POOL*) cumulated on a carry-over basis (performance to performance) when no one has correctly selected the first three (3) greyhounds, in order, of the *SECOND* designated Twin Trifecta race.

R. The Twin Trifecta races shall be clearly designated as such in the official program.

### **SUPERFACTA WAGERING**

5040.

A. The Superfecta is a form of pari-mutuel wagering. Each bettor selects the first, second, third, and fourth placed greyhounds, in exact order, in the designated Superfecta race

B. The Superfecta pool shall be held entirely separate from, and has no connection with or relation to any other pools and shall be calculated as an entirely separate pool.

C. Superfecta tickets shall be sold in not less than two dollar (\$2.00) denominations, except for the one dollar (\$1.00) box and the one dollar (\$1.00) wheel.

D. Races in which Superfecta wagering shall be clearly designated in the official program.

E. If a greyhound is scratched, all tickets which include the scratched greyhound are eliminated from further participation in the Superfecta pool and the moneys shall be refunded and deducted from the gross pool.

F. If there is a failure to select, in exact order, the first four (4) greyhounds, payoffs shall be made on Superfecta tickets selecting the first three (3) greyhounds, in exact order; failure to select the first three (3) greyhounds, payoffs will be made on Superfecta tickets selecting the first two (2) greyhounds, in exact order; failure to select the first two (2) greyhounds, payoffs will be made on Superfecta tickets selecting the winner to win; failure to select the winner to win shall cause a refund on all Superfecta tickets

G. If less than four (4) greyhounds finish, payoffs shall be made on tickets selecting the three finishing greyhounds, in exact order, ignoring the balance of the selection.

H. In the event of a dead heat or dead heats, all Superfecta tickets selecting the correct order of finish, counting the greyhounds in the dead heat as finishing in either position dead heated, shall be winning tickets

### **TRI-SUPER WAGERING**

5045.

A. The Tri-Super is a form of pari-mutuel wagering. It consists of selecting the first three (3) greyhounds to finish first, second, and third in exact order as officially posted in the first of two designated races and then the first four greyhounds to finish first, second, third, and fourth in exact order as officially posted in the second designated race. Pari-mutuel wagering tickets are to be sold upon the first race of the two designated races only.

B. Tri-Super tickets shall be sold in not less than \$2.00 denominations, except for the \$1.00 box and the \$1.00 wheel.

C. The Tri-Super pool shall be held entirely separate from, and has no connection with or relation to, any other wagering pool and will be calculated as an entirely separate pool.

D. . Each bettor purchasing Tri-Super tickets will designate his three (3) selections as the first three (3) greyhounds to finish in that order in the first of the two designated races.

E. After the wagering closes for the first half of the Tri-Super, the amounts required to be withheld by law will be deducted from the sum total wagered in that performance's Tri-Super pool in accordance with the laws of the State of Arkansas. The net pool will be divided into two separate pools of equal amounts.

F. The moneys in the first part of the divided pool will be distributed to holders of Tri-Super tickets selecting the first three (3) greyhounds, in exact order, on the first designated Tri-Super race, in accordance with established pari-mutuel practice.

The term "First Part of Divided Pool" shall mean one-half of the net distributable pool of the total moneys wagered in the Tri-Super on the current performance only and, specifically excluded therefrom shall be any carryover of any special cumulative second race Tri-Super pool from any previous performance.

G. The second part of the divided pool will be placed in a separate pool to be distributed to holders of Tri-Super tickets selecting the first four (4) greyhounds, in exact order, on the second designated Tri-Super race, in accordance with established pari-

mutuel practice.

H. In the first half of the Tri-Super only, if there is a failure to select, in exact order, the first three (3) greyhounds, payoffs will be made on the first half divided pool moneys on Tri-Super tickets selecting in the following order of priority:

1. The first two (2) greyhounds in exact order, for example 1-2-ALL.
2. The first and third finishers in that order, for example 1-ALL-3.
3. The second and third finishers in that order, for example ALL-2-3-.
4. The runner to finish first, for example 1-ALL-ALL.
5. The runner to finish second, for example ALL-2-ALL.
6. The runner to finish third, for example ALL-ALL-3.
7. These payoffs will be calculated by usual pari-mutuel payoff procedure

and the aggregate number of winning tickets will be divided into the first part of the divided pool and be paid identical payoff prices.

8. In the case where there is a failure to select, in exact order, the first three (3) greyhounds, no one is entitled to an exchange ticket and the second part of the divided pool will be added to the Jackpot Pool for the next performance.

9. Failure to select any of the combinations in articles (I), (2), (3), (4), (5), or (6), will cause a refund of all Tri-Super tickets

10. During a performance designated to distribute the Tri-Super carry-over, if there are no wagers correctly selecting the first three finishers, in their exact order, payoffs shall be made in accordance with articles (1), (2), (3), (4), (5), (6), and (7), above, and all winners will receive 100 percent (100%) of the day's net Tri-Super pool and any existing Tri-Super carry-over as a single priced pool.

I. After the official declaration of the first three (3) greyhounds to finish in the first race of the Tri-Super, each bettor holding a winning ticket must, prior to the running of the second Tri-Super race, exchange such winning ticket for both the monetary value established by the mutuels department and a Tri-Super exchange ticket, and at such time will select the first four (4) greyhounds to finish in the second race of the Tri-Super in exact order as officially posted. No further money will be required of the holders of the winning ticket in order to make the exchange.

J. No Tri-Super exchange ticket upon the second race will be issued except upon surrender of the Tri-Super ticket from the first race as described in these rules. No Tri-Super exchange tickets will be issued until the designated first race has been declared official.

K. If a winning Tri-Super ticket from the first race is not exchanged within the time provided, the bettor may still collect the monetary value attached to the ticket but forfeits all rights to any distribution of the second race Tri-Super pool.

L. If a greyhound is scratched in the first race of the Tri-Super races, all Tri-Super bets on the scratched greyhound will be refunded. If a greyhound is scratched in the second race of the Tri-Super races, public address announcements will be made and reasonable time will be given for exchange of tickets on the scratched greyhound.

M. *DEAD HEATS* - In the event of a dead heat in either the first or second race of

the Tri-Super, all Tri-Super tickets selecting the correct order of finish, counting a greyhound in a dead heat as finishing in any position dead heated, will be a winning ticket. Contrary to usual pari-mutuel practice, the aggregate number of winning tickets will be divided into the net pool and be paid the same payoff price.

N. Except on a designated payoff performance, in the event there is no Tri-Super ticket issued accurately selecting the officially declared first four finishers of the second Tri-Super race, in exact order, such second race pool will be held for the next consecutive racing performance and combined with that program's second race Tri-Super pool. Distribution of this special cumulative second race Tri-Super pool, known as the Jackpot Pool, will be made only upon the accurate selection in exact order of the first four officially declared finishers of the second Tri-Super race.

O. If for any reason, the second half of the Tri-Super is not declared "official," the winning ticket holders who have cashed their ticket on the first half and have received an exchange ticket, will be entitled to a proportionate distribution of the remaining amount of the current program's divided pool. The cumulative pool from previous programs will not be distributed in this case and will be carried over to the next performance.

P. On the final performance of any calendar year and on a designated payoff performance, the entire accumulated Tri-Super pools must be distributed. In the event there is no Tri-Super ticket accurately selecting the officially declared first three (3) finishers of the first Tri-Super race, in exact order, payoffs shall be made on Tri-Super tickets selecting the following order of priority:

1. The first and second finishers, in exact order, for example; 1-2-ALL.
2. The first and third finishers, in exact order, for example 1-ALL-3.
3. The second and third finishers, in exact order, for example ALL-2-3.
4. The runner to finish first, for example 1-ALL-ALL.
5. The runner to finish second, for example ALL-2-ALL.
6. The runner to finish third, for example ALL-ALL-3.
7. If no such Tri-Super ticket is outstanding, then all Tri-Super tickets are

winners and shall share equally in the distribution of that performance's Tri Super net pool and the jackpot Pool.

In the event there is no Tri-Super exchange ticket accurately selecting the officially declared first four (4) finishers of the second Tri-Super race, in exact order, payoffs shall be made on Tri-Super tickets by dividing the pool equally between holders of second half exchange tickets.

Q The Tri-Super will consist of the following pools

1. *FIRST PART OF DIVIDED POOL* - Fifty percent (50%) of that performance's Tri-Super net pool to be distributed to holders selecting the first three (3) greyhounds, in exact order, of the FIRST designated Tri-Super race.

2. *SECOND PART OF DIVIDED POOL* - Fifty percent (50%) of that performance's Tri-Super net pool to be distributed to holders selecting the first four (4) greyhounds, in exact order, of the SECOND designated Tri-Super race.

3. JACKPOT POOL-Fifty percent (50%) of each performance's Tri-Super net pool (SECOND PART OF DIVIDED POOL) cumulated on a carry-over basis (performance to performance) when no one has correctly selected the first four (4) greyhounds, in exact order, of the SECOND Tri-Super race.

R. The Tri-Super races shall be clearly designated as such in the official program.

### **GROWING TRI-SUPER WAGER**

5050.

A. The Growing Tri-Super is a Tri Super wager and is governed by all terms and provisions of the rules relating to the Tri-Super wager, except the designated pay out performance for any accumulated Growing Tri-Super pools shall be on the final performance of any calendar year.

### **PICK 6 WAGER**

5055.

A. The Pick 6 pool is not a parlay and has no connection with or relation to any other pari-mutuel pool conducted by the Franchise Holder nor to any win, place, and show pool shown on the totalisator, nor to the rules governing the distribution of such other pools.

B. The Pick 6 pari-mutuel pool consists of amounts contributed for a selection for win only in each of six races designated by the Franchise Holder. Each person purchasing a Pick 6 ticket shall designate the winning greyhound in each of the six races comprising the Pick 6.

C. The Pick 6 pari-mutuel pool shall be handled as follows:

1. The net amount in the Pick 6 pari-mutuel pool will be divided into the Major Share (75%) and the Minor (Consolation) Share (25%).

A. The Major Share (75%) will be distributed among holders of Pick 6 tickets which correctly designate the official winner in each of the six races comprising the Pick 6.

B. The Minor Share (25%) will be distributed among the holders of Pick 6 tickets which correctly designate the most official winners, but fewer than six, of the six races comprising the Pick 6.

2. In the event there is no pari-mutuel ticket properly issued which correctly designates the official winner in each of the six races comprising the Pick 6, the Major Share (75%) shall not be distributed but shall be carried over to the next racing day and be added to the Major Share for distribution among holders of Pick 6 tickets which correctly designate the official winner in each of the six races comprising the Pick 6.

3. The management of the Franchise Holder reserves the right to cancel (terminate) Pick 6 wagering. Public notice must be given at least seventy-two (72) hours

prior to the date of cancellation (termination).

4. In the event there are no wagers selecting the first-place finisher in each race comprising the Pick 6, select as the Major Share the combinations matching the most winners of the Pick 6 races, and as the Minor Share those matching the next most winners. The carryover is added to the Major Winning Share.

5. Should no distribution be made pursuant to subsection (C)(1)(a) on the final performance of any calendar year, and on a designated payoff performance, then that portion of the distributable pool and all moneys accumulated therein shall be distributed to the holders of tickets correctly designating the most winning selections of the six races comprising the Pick 6 for that day.

D. In the event a Pick 6 pari-mutuel ticket designates a selection in any one or more of the races comprising the Pick 6 and that selection is scratched, the actual favorite, as evidenced by the amounts wagered in the win pool when betting on the race is closed, will be substituted for the scratched selection for all purposes, including pool calculations and payoffs. In the event that the win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number.

E. In the event of a dead heat for win between two or more greyhounds in any Pick 6 race, all such greyhounds in the dead heat for win shall be considered as winning greyhounds in the race for the purpose of calculating the pool.

F. No Pick 6 shall be refunded except when all six legs are canceled or declared as "No Race." The refund shall apply only to the Pick 6 pool established on that racing card. Any net pool carryover accrued from a previous Pick 6 feature shall be further carried over to the next scheduled Pick 6 feature operated by the Franchise Holder.

In the event that any number of races less than six comprising the Pick 6 are completed, one hundred percent (100%) of the net pool for the Pick 6 shall be distributed among holders of pari-mutuel tickets that designate the most winners in the completed races. No carryover from a previous day shall be added to the Pick 6 pool in which less than six races have been completed. Any net pool carryover accrued from a previous Pick 6 feature shall be further carried over to the next scheduled Pick 6 pool operated by the Franchise Holder.

G. No pari-mutuel ticket for the Pick 6 pool shall be sold, exchanged, or canceled after the time of the closing of wagering in the first of the six races comprising the Pick 6, except for such refunds on Pick 6 tickets as required by these rules, and no person shall disclose the number of tickets sold in the Pick 6 pool or the number or amount of tickets selecting winners of the Pick 6 races until such time as the Presiding Judge and Commission Judge have determined the last race comprising the Pick 6 each day to be official.

## **BIG "T" WAGER**

5060.

A. The BIG "T" is a form of pari-mutuel wagering in which the bettor selects the three runners that will finish first, second, and third in each of the two designated races in the exact order as officially posted. Pari-mutuel wagering tickets are to be sold upon the first race of the two races only.

B. BIG "T" wagers will be based on a \$1.00 wager.

C. The BIG "T" has no connection with or relation to any other wagering pool and will be calculated as an entirely separate pool.

D. Each bettor purchasing BIG "T" tickets will designate the three selections as the first three runners to finish in exact order in the first of the two designated races.

E. After wagering closes for the first half of the BIG "T", a commission authorized by law is deducted from the sum total wagered in that performance's BIG "T" pool.

F. After the official declaration of the first race of the BIG "T", each bettor holding a BIG "T" ticket correctly selecting the first three runners to finish, in exact order, must, prior to the running of the second designated BIG "T" race, exchange such winning ticket and at such time will select the first three runners to finish in the second race of the BIG "T" in exact order as officially posted. No further money will be required of the holders of the winning ticket to make the exchange.

G. No BIG "T" exchange ticket upon the second race shall be issued except upon surrender of the BIG "T" ticket from the first race as described in these rules. The BIG "T" pool obtained from the sale of BIG "T" tickets upon the first race shall be held subject to these rules, and divided among the winning tickets of the BIG "T" exchange tickets, subject to these rules.

H. If a winning BIG "T" ticket from the first designated BIG "T" race is not presented for exchange within the time provided, the bettor forfeits all rights to any distribution of the BIG "T" net pool.

I. If a runner is scratched in the first BIG "T" race, all BIG "T" bets on the scratched runner will be refunded.

J. If a runner is scratched in the second race of the BIG "T" races, public address announcements will be made and reasonable time will be given for exchange of tickets on the scratched runner.

K. In the case where there is a failure to select, in exact order, the first three



runners, no one is entitled to an exchange ticket and the second race of the BIG "T" shall be canceled.

L. In the first half of the BIG "T" only, if there is a failure to select, in exact order, the first three runners, payoffs will be made on the BIG "T" net pool to holders of tickets selecting in the following order of priority:

1. The first two runners in exact order, for example: 1-2-ALL
2. The first and third finishers in that order, for example: 1-ALL-3
3. The second and third finishers in that order, for example: ALL-2-3
4. The runner to finish first, for example: 1-ALL-ALL
5. The runner to finish second, for example: ALL-2-ALL
6. The runner to finish third, for example: ALL-ALL-3
7. Failure to select any of the combinations in articles 1,2,3,4,5, or 6

will cause a refund of all BIG "T" tickets.

8. These payoffs will be calculated by usual pari-mutuel payoff procedure and the aggregate number of winning tickets will be divided into the BIG "T" net pool and be paid identical payoff prices.

M. In the second half of the BIG "T" only, if there is a failure to select, in exact order, the first three runners, as officially posted, all BIG "T" exchange tickets shall be declared winners (ALL-ALL-ALL) and shall share equally in the distribution of the BIG "T" net pool.

N. DEAD HEATS: In the event of a dead heat in either the first or second race of the BIG "T", all BIG "T" tickets selecting the correct order of finish, counting a runner in a dead heat as finishing in any position dead-heated, will be a winning ticket. Contrary to usual pari-mutuel practice the aggregate number of winning tickets will be divided into the net pool and be paid the same payoff price.

O. If for any reason, the second half of the BIG "T" is not declared "official", the winning ticket holders who have exchanged their ticket, will be entitled to a proportionate distribution of the current program's BIG "T" pool. The BIG "T" races shall be clearly designated as such in the official program.

P. The BIG "T" races shall be clearly designated as such in the official program.